



(12) **United States Patent**
Alshina et al.

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(54) **SAMPLE ADAPTIVE OFFSET (SAO)
ADJUSTMENT METHOD AND APPARATUS
AND SAO ADJUSTMENT DETERMINATION
METHOD AND APPARATUS**

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2013.

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11, 2012.

(51) **Int. Cl.**
H04N 19/13 (2014.01)
H04N 19/117 (2014.01)
(Continued)

(52) **U.S. Cl.**
CPC **H04N 19/13** (2014.11); **H04N 19/117**
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(Continued)

(58) **Field of Classification Search**

USPC 375/240–240.2
See application file for complete search history.

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Primary Examiner — Dave Czekaj

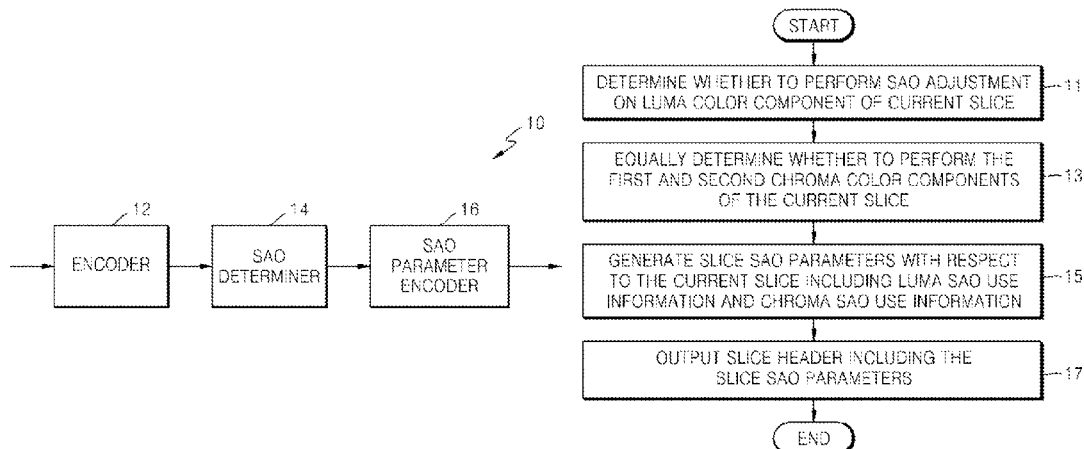
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(57) **ABSTRACT**

A video encoding method and apparatus, and a video decod-
ing method and apparatus for generating a reconstructed
image having a minimized error between an original image
and the reconstructed image. The video decoding method
accompanied by a sample adaptive offset (SAO) adjustment,
the method includes: obtaining 5 slice SAO parameters with
respect to a current slice from a slice header of a received
bitstream; obtaining luma SAO use information for a luma
component of the current slice and chroma SAO use infor-
mation for chroma components thereof from among the slice
SAO parameters; determining whether to perform a SAO
operation on the luma component of **10** the current slice based
on the obtained luma SAO use information; and equally deter-
mining whether to perform the SAO adjustment on a first
chroma component and a second chroma component of the
current slice based on the obtained chroma SAO use infor-
mation.

2 Claims, 27 Drawing Sheets



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- (52) **U.S. Cl.**
- CPC *H04N 19/157* (2014.11); *H04N 19/159* (2014.11); *H04N 19/174* (2014.11); *H04N 19/176* (2014.11); *H04N 19/186* (2014.11); *H04N 19/196* (2014.11); *H04N 19/44* (2014.11); *H04N 19/82* (2014.11); *H04N 19/86* (2014.11); *H04N 19/91* (2014.11); *H04N 19/46* (2014.11)
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FIG. 1A

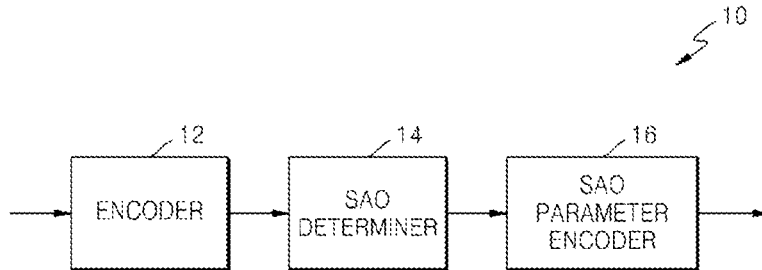


FIG. 1B

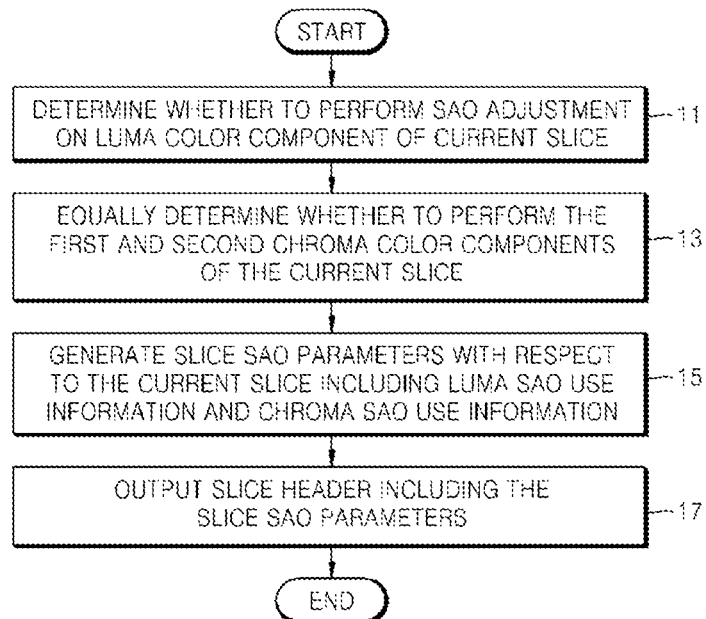


FIG. 2A

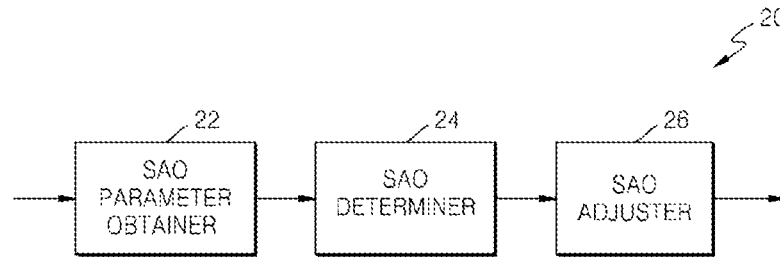


FIG. 2B

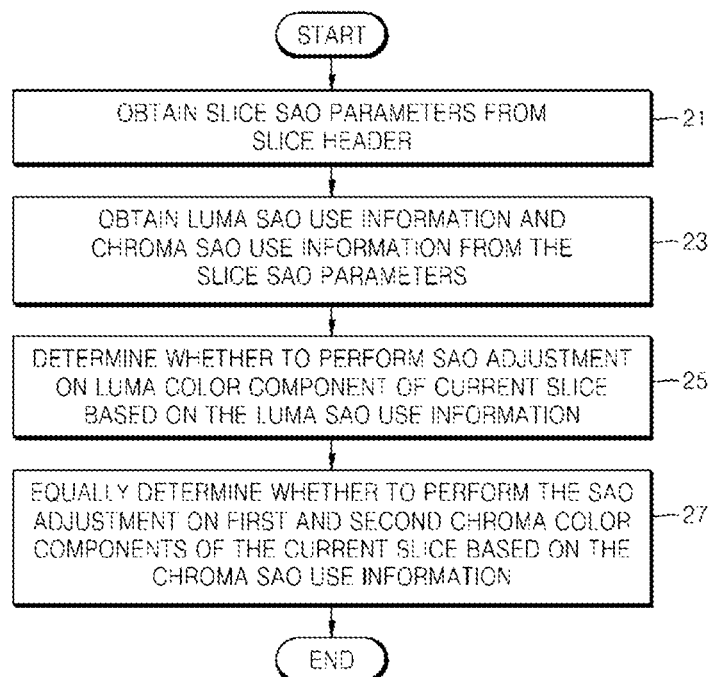


FIG. 3

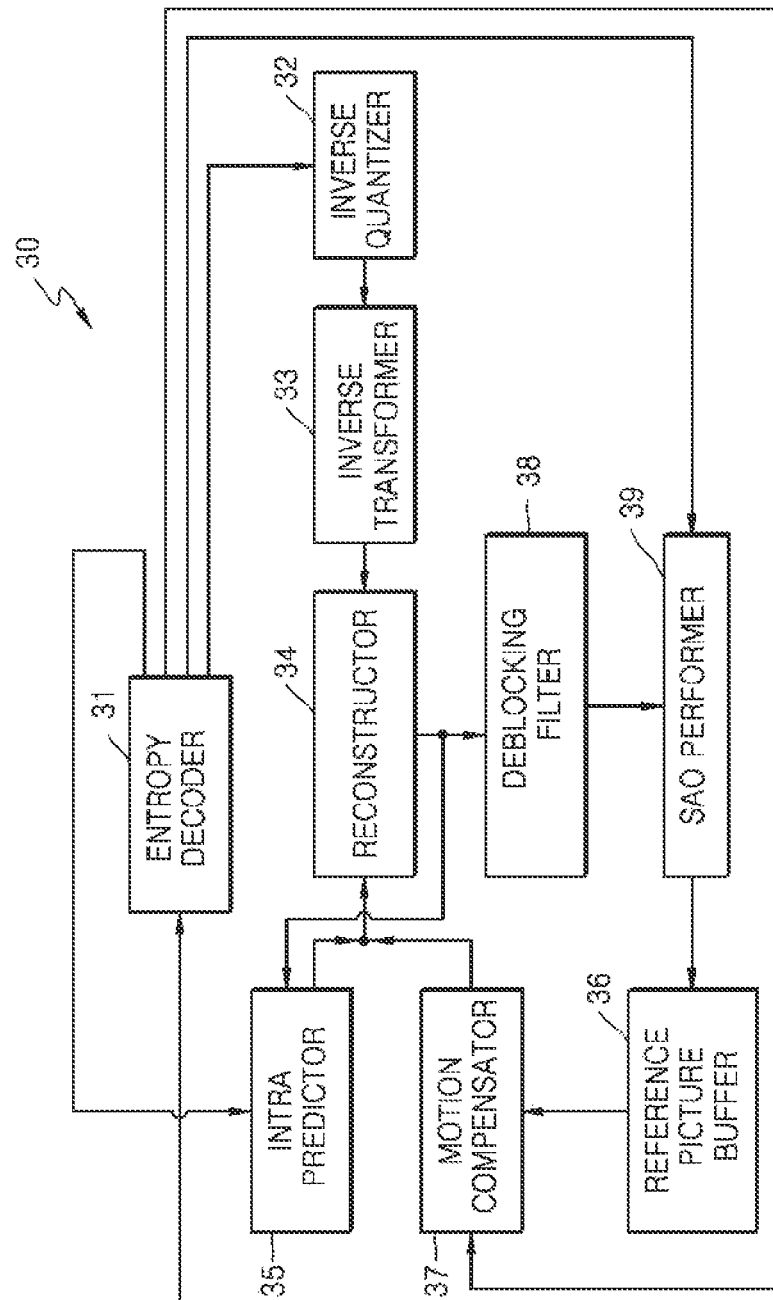


FIG. 4



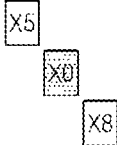
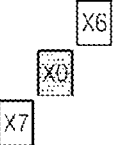
41	42	43	44
EO CLASS = 0	EO CLASS = 1	EO CLASS = 2	EO CLASS = 3
HORIZONTAL	VERTICAL	135° DIAGONAL	45° DIAGONAL
			

FIG. 5A

CATEGORY	CONDITION
1	$X_c < X_a \ \&\& \ X_c < X_b$
2	$(X_c < X_a \ \&\& \ X_c == X_b) \ \ (X_c == X_a \ \&\& \ X_c < X_b)$
3	$(X_c > X_a \ \&\& \ X_c == X_b) \ \ (X_c == X_a \ \&\& \ X_c > X_b)$
4	$X_c > X_a \ \&\& \ X_c > X_b$
0	IF CONDITIONS FOR CATEGORIES 1, 2, 3, AND 4 ARE NOT SATISFIED

FIG. 5B

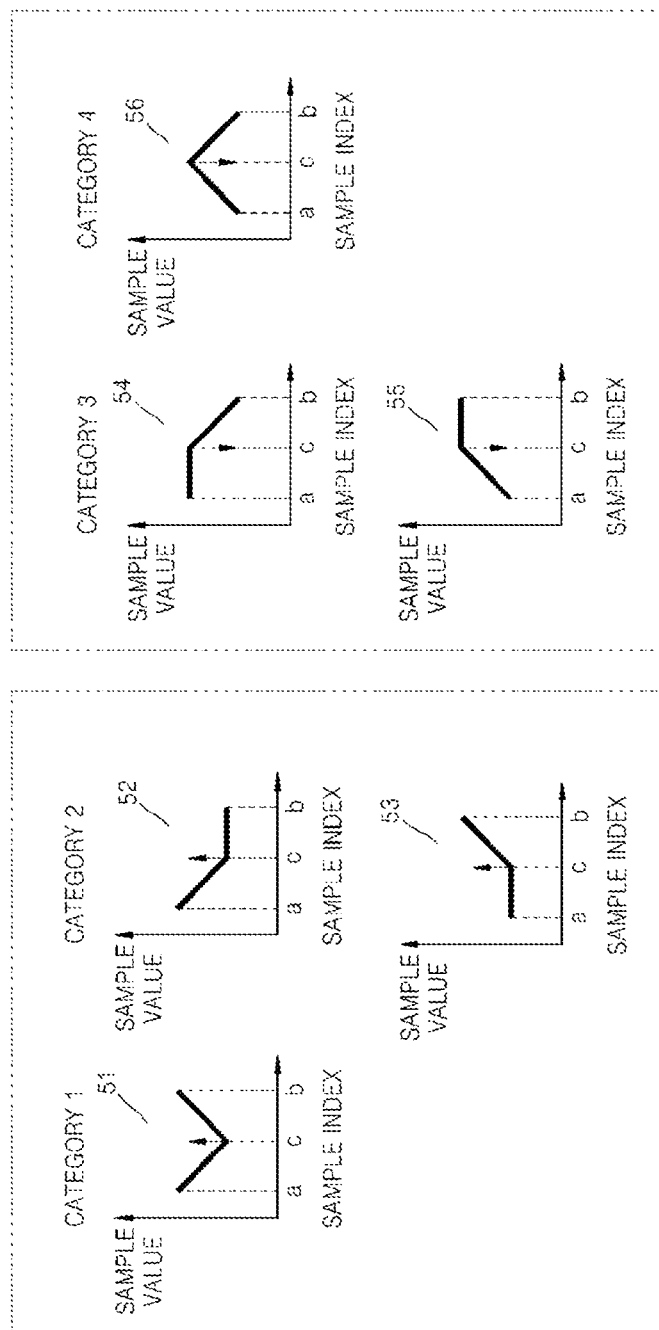


FIG. 6A

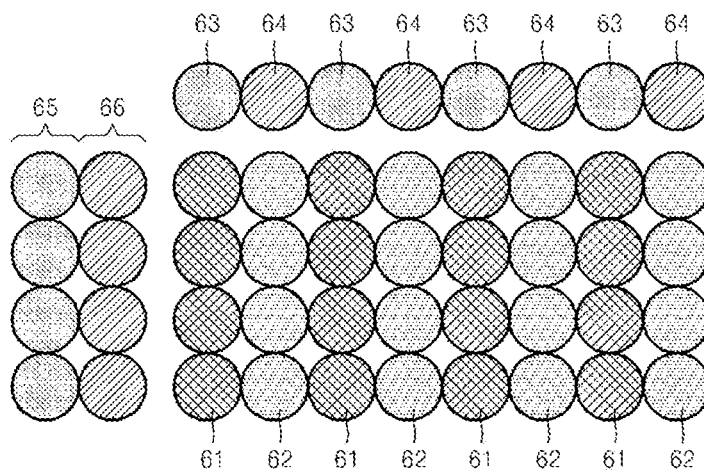


FIG. 6B

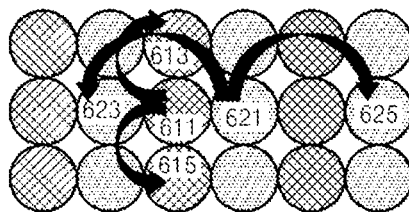


FIG. 6C

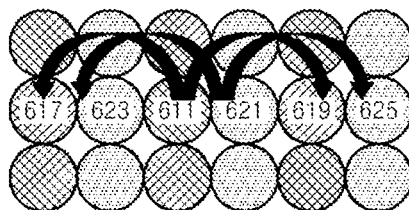


FIG. 7A

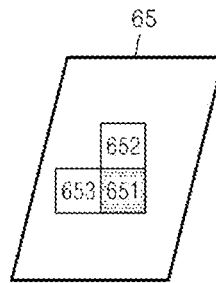


FIG. 7B

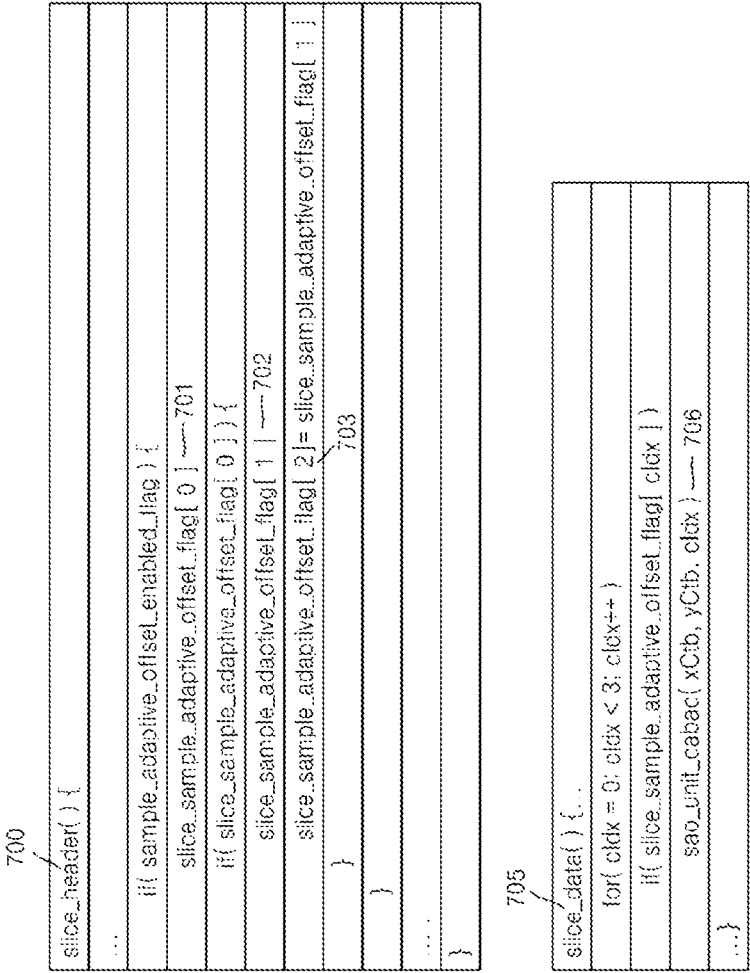


FIG. 7C

706	sao_unit_cabac(rx, ry, cidx){
	if(rx > 0) {
	if(CtbAddrInSlice != 0 &&
	TileId[CtbAddrTS] == TileId[CtbAddrRStoTS[CtbAddrRS - 1]])
	sao_merge_left_flag [rx][ry] --- 707
	}
	if(!sao_merge_left_flag [rx][ry] {
	if(ry > 0) {
	if(((CtbAddrTS - CtbAddrRStoTS [CtbAddrRS - PicWidthInCtbs]) <=
	CtbAddrInSlice) &&
	(TileId[CtbAddrTS] != TileId[CtbAddrRStoTS[CtbAddrRS -
	PicWidthInCtbs]]))
	sao_merge_up_flag [rx][ry] --- 708
	}
	if(!sao_merge_up_flag [rx][ry])
	sao_offset_cabac(rx, ry, cidx) --- 709
	}
	}

FIG. 7D

709

sao_offset_cabac(rx, ry, cldx) {
sao_type_idx[cldx][rx][ry] —711
...
if(sao_type_idx[cldx][rx][ry] != 0) {
for(i = 0; i < 4; i++)
sao_offset[cldx][rx][ry][i] —713
}
if(sao_type_idx[cldx][rx][ry] == 5) {
for(i = 0; i < 4; i++) {
if(sao_offset[cldx][rx][ry] != 0)
sao_offset_sign[cldx][rx][ry][i] —715
}
}
}

FIG. 7E

if(sao_type_idx[cldx][rx][ry] != 0) {
for(i = 0; i < 4; i++)
sao_offset_abs_1st_bin[cldx][rx][ry][i] —721
for(i = 0; i < 4; i++)
if (sao_offset_abs_1st_bin[cldx][rx][ry][i])
sao_offset_abs_remain_bins[cldx][rx][ry][i] —723
}

FIG. 7F

706

```
sao_unit_cabac( rx, ry, cldx ){  
  ...  
  if( !sao_merge_up_flag ){  
    sao_type_idx[ cldx ][ rx ][ ry ] --- 711  
    if( sao_type_idx[ cldx ][ rx ][ ry ] != 0 ) {  
      for( i = 0; i < 4; i++ )  
        sao_offset[ cldx ][ rx ][ ry ][ i ] --- 713  
      }  
      if( sao_type_idx[ cldx ][ rx ][ ry ] == 5 ) {  
        for( i = 0; i < 4; i++ ) {  
          if( sao_offset[ cldx ][ rx ][ ry ] != 0 )  
            sao_offset_sign[ cldx ][ rx ][ ry ][ i ] --- 715  
          }  
          sao_band_position[ cldx ][ rx ][ ry ] --- 717  
        }  
      }  
    }  
  }
```

FIG. 8

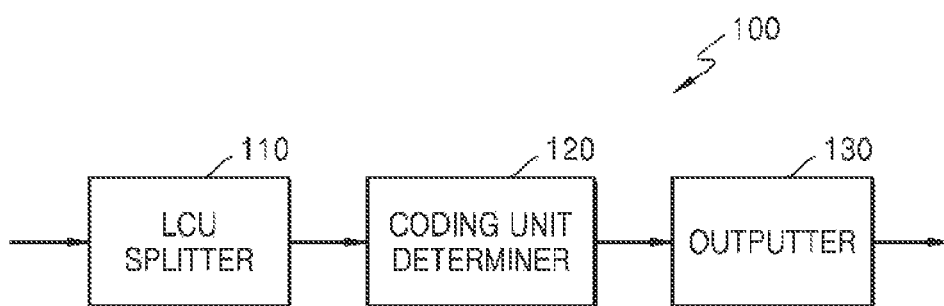


FIG. 9

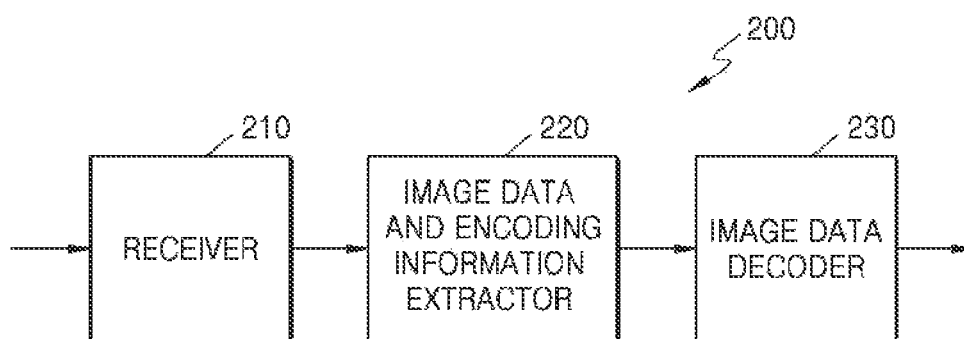


FIG. 10

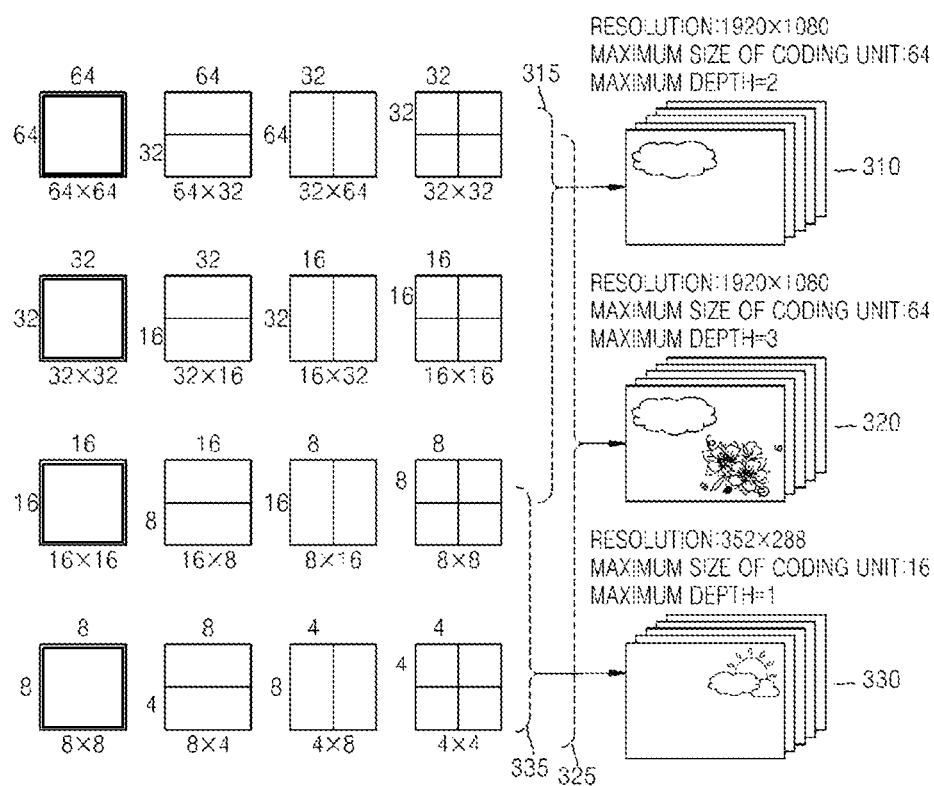


FIG. 11

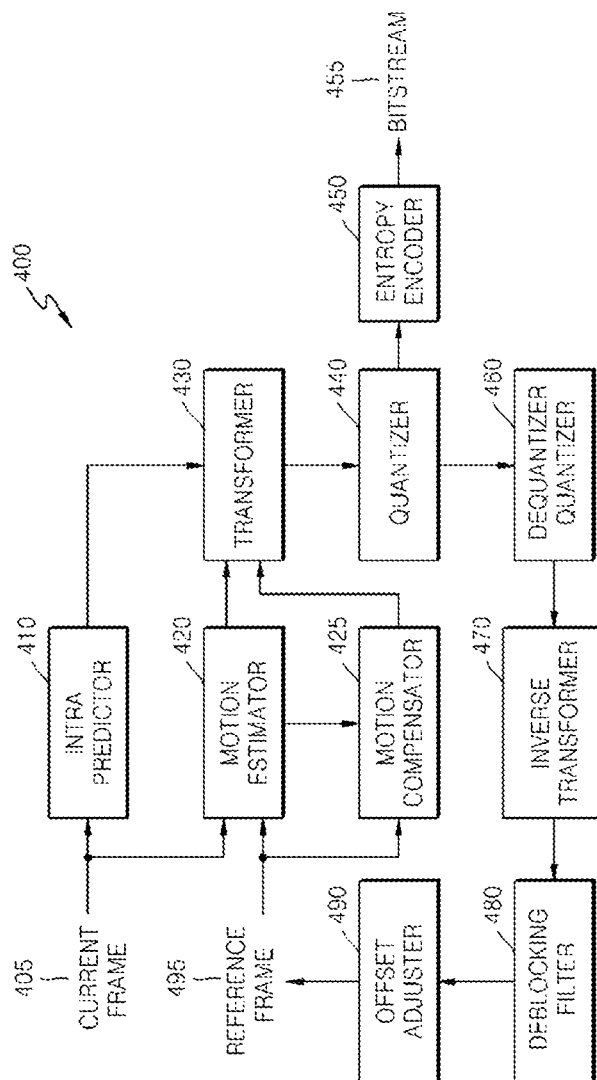


FIG. 12

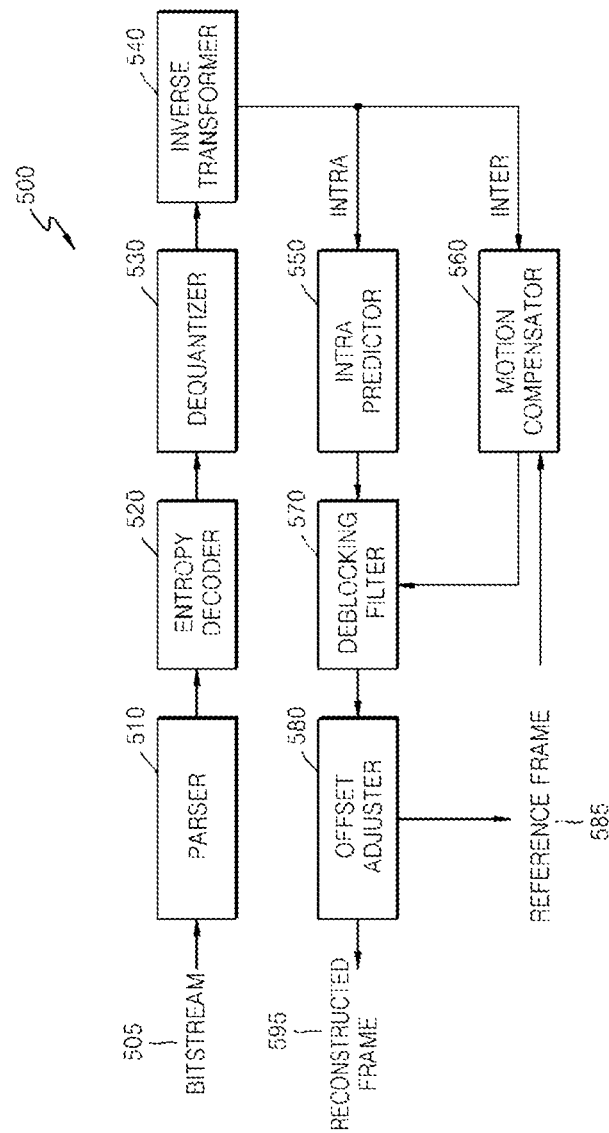


FIG. 13

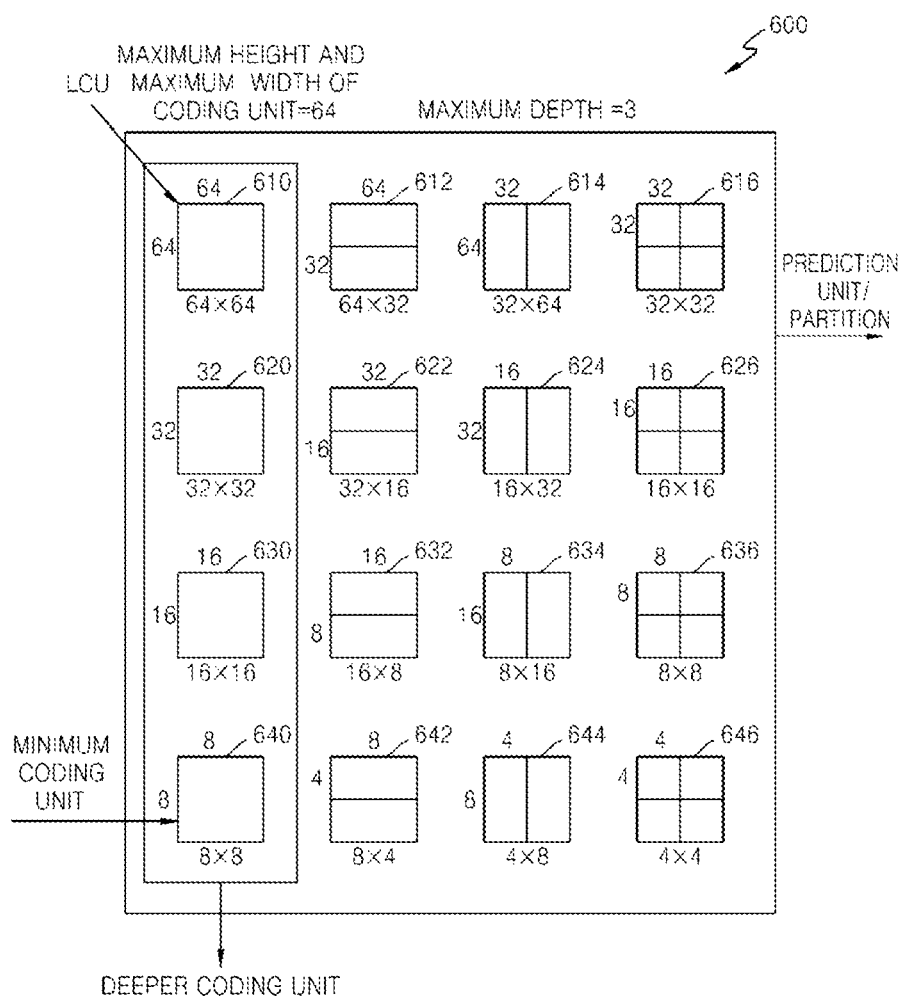


FIG. 14

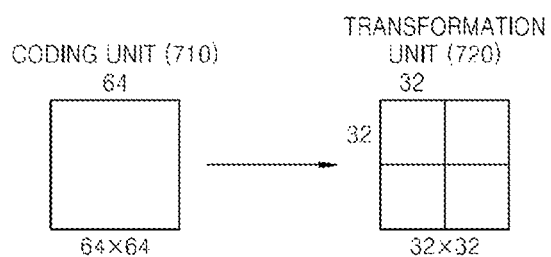
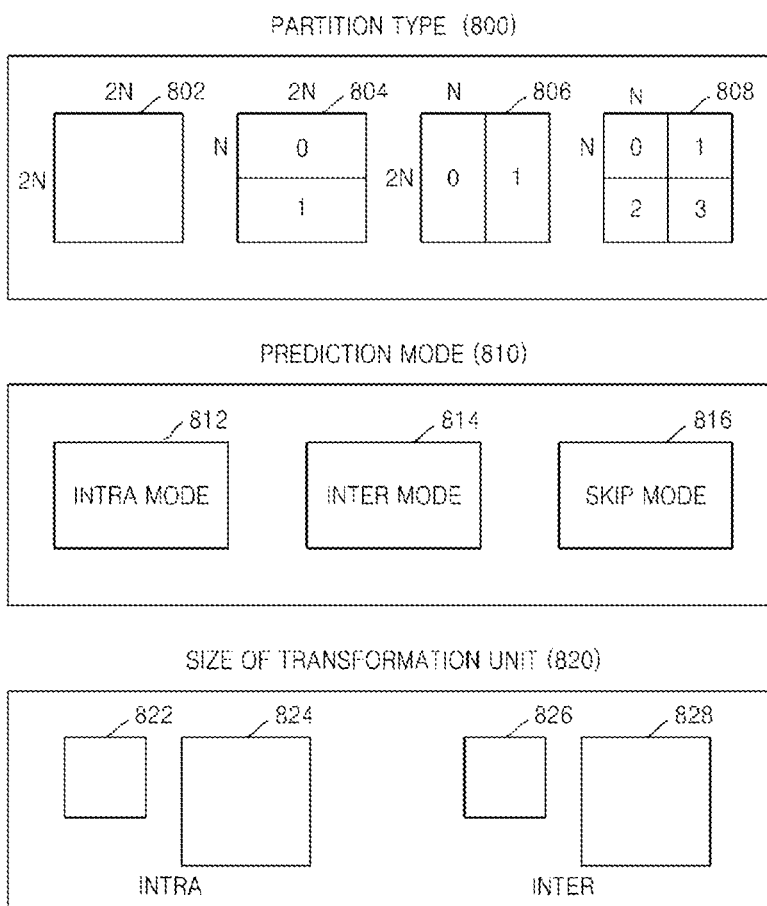


FIG. 15



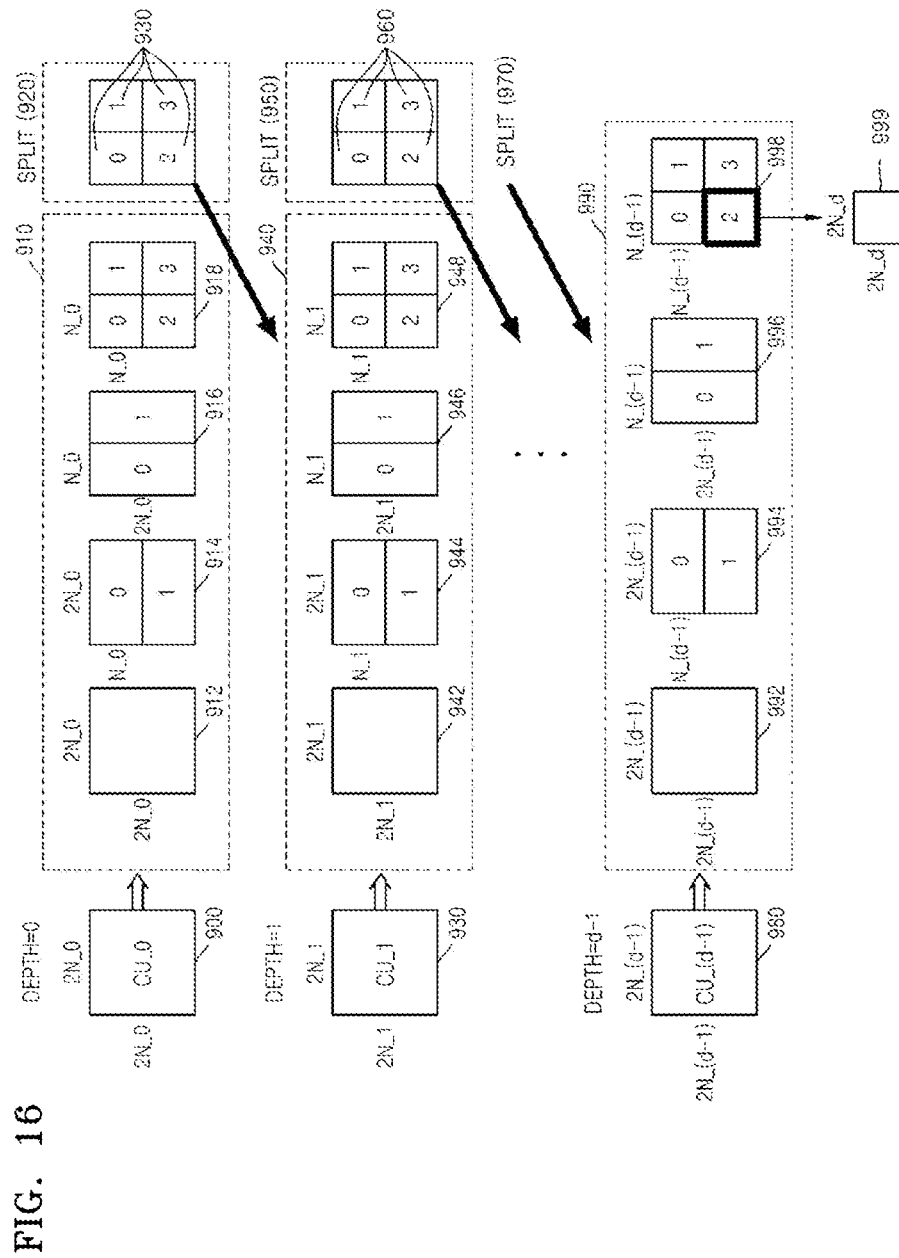


FIG. 17

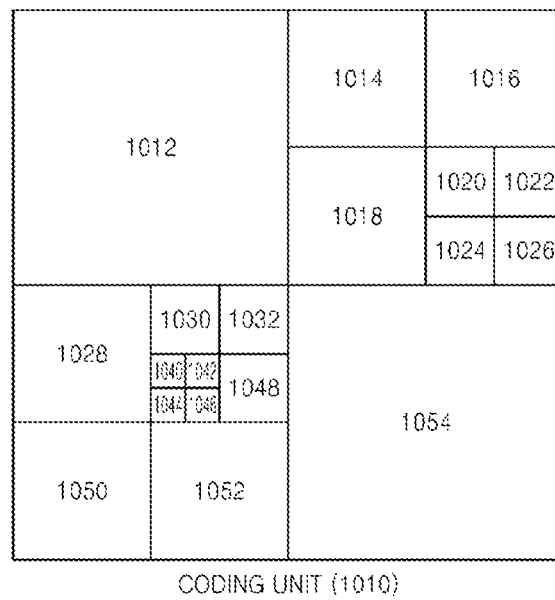


FIG. 18

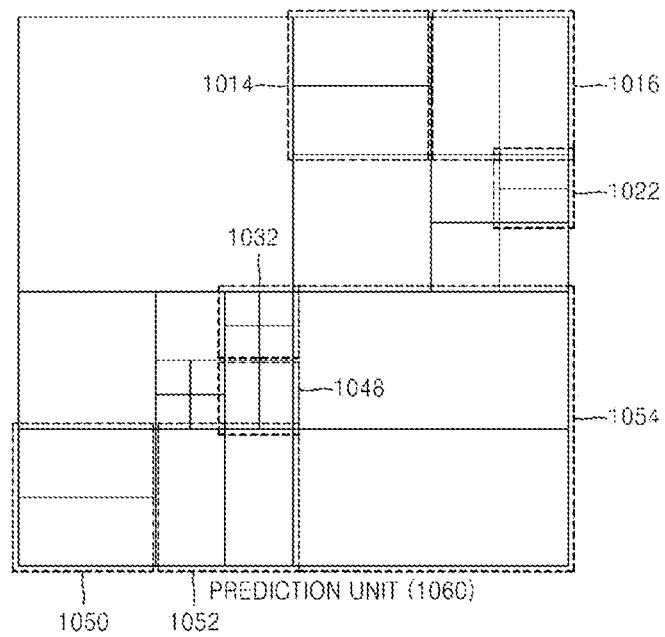


FIG. 19

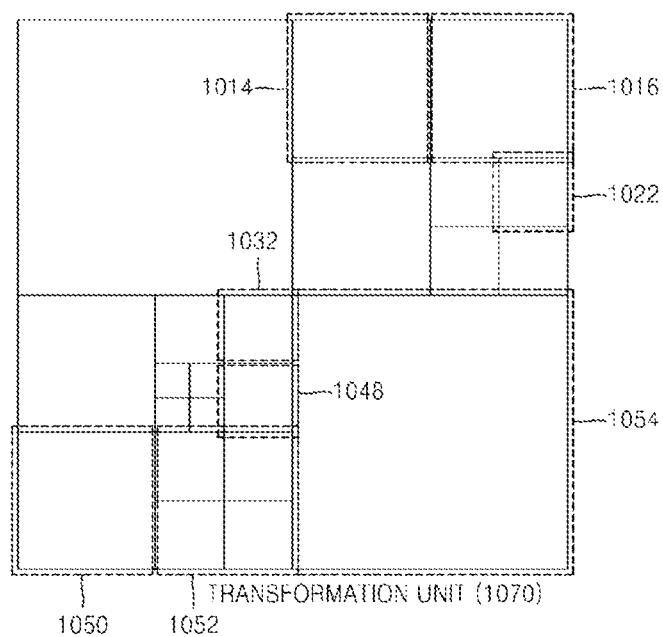


FIG. 20

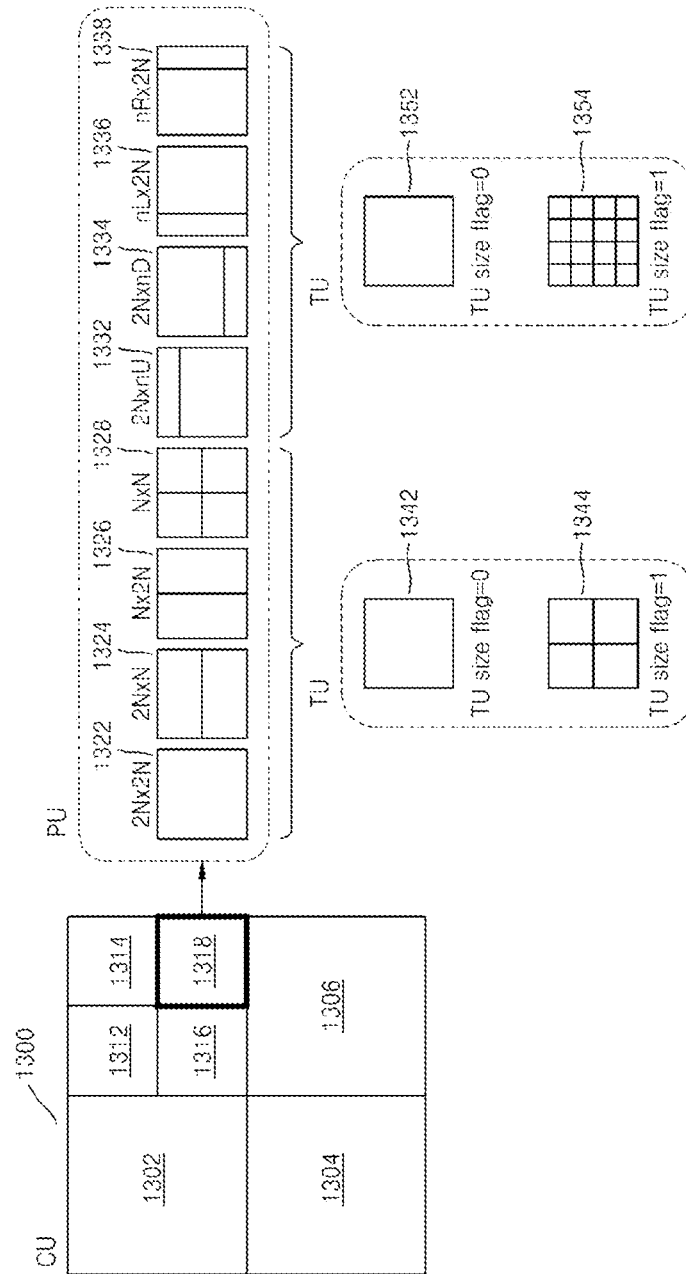


FIG. 21

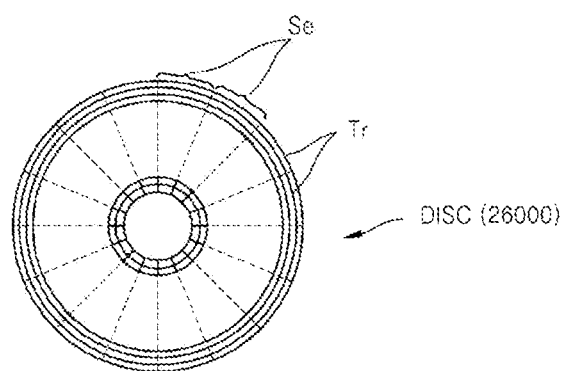
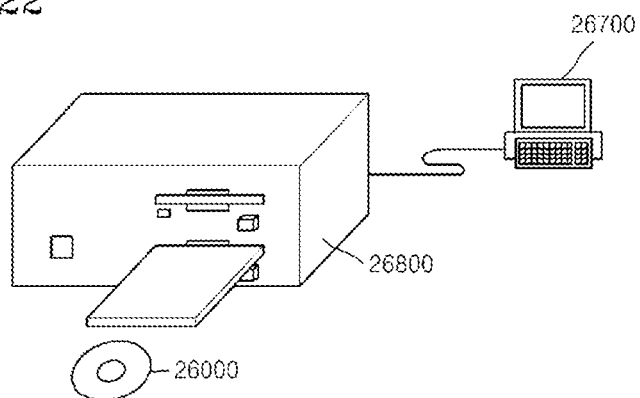


FIG. 22



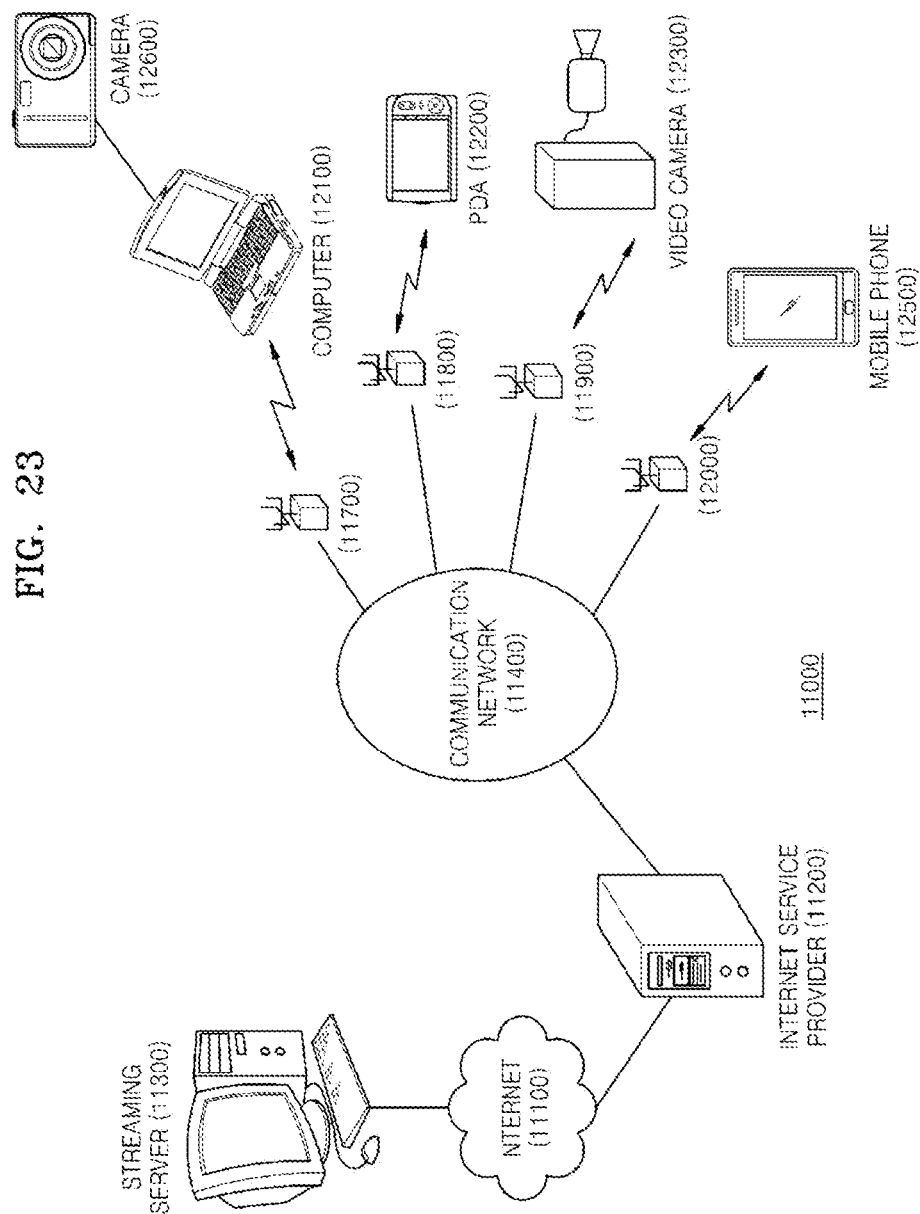


FIG. 24

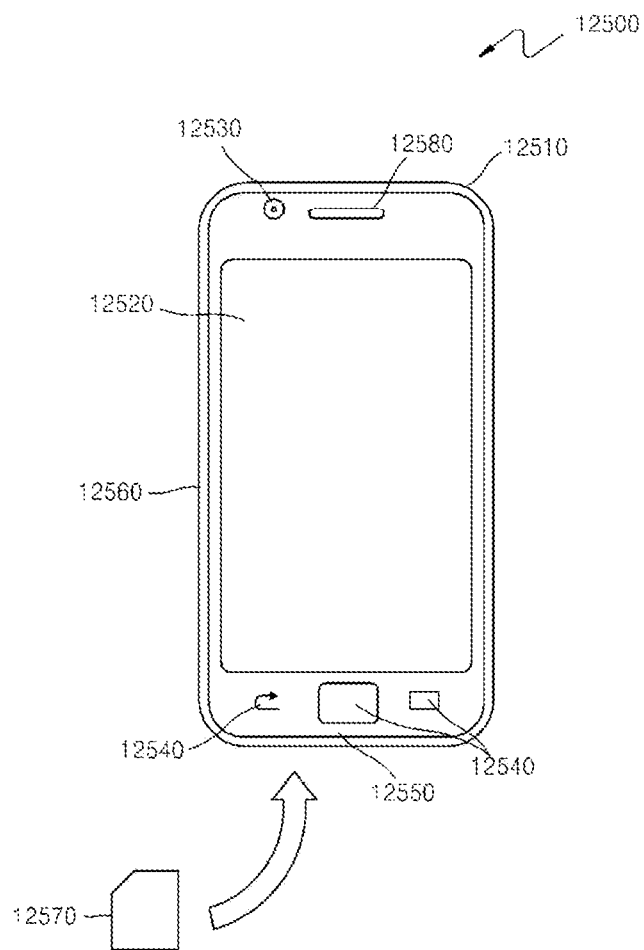


FIG. 25

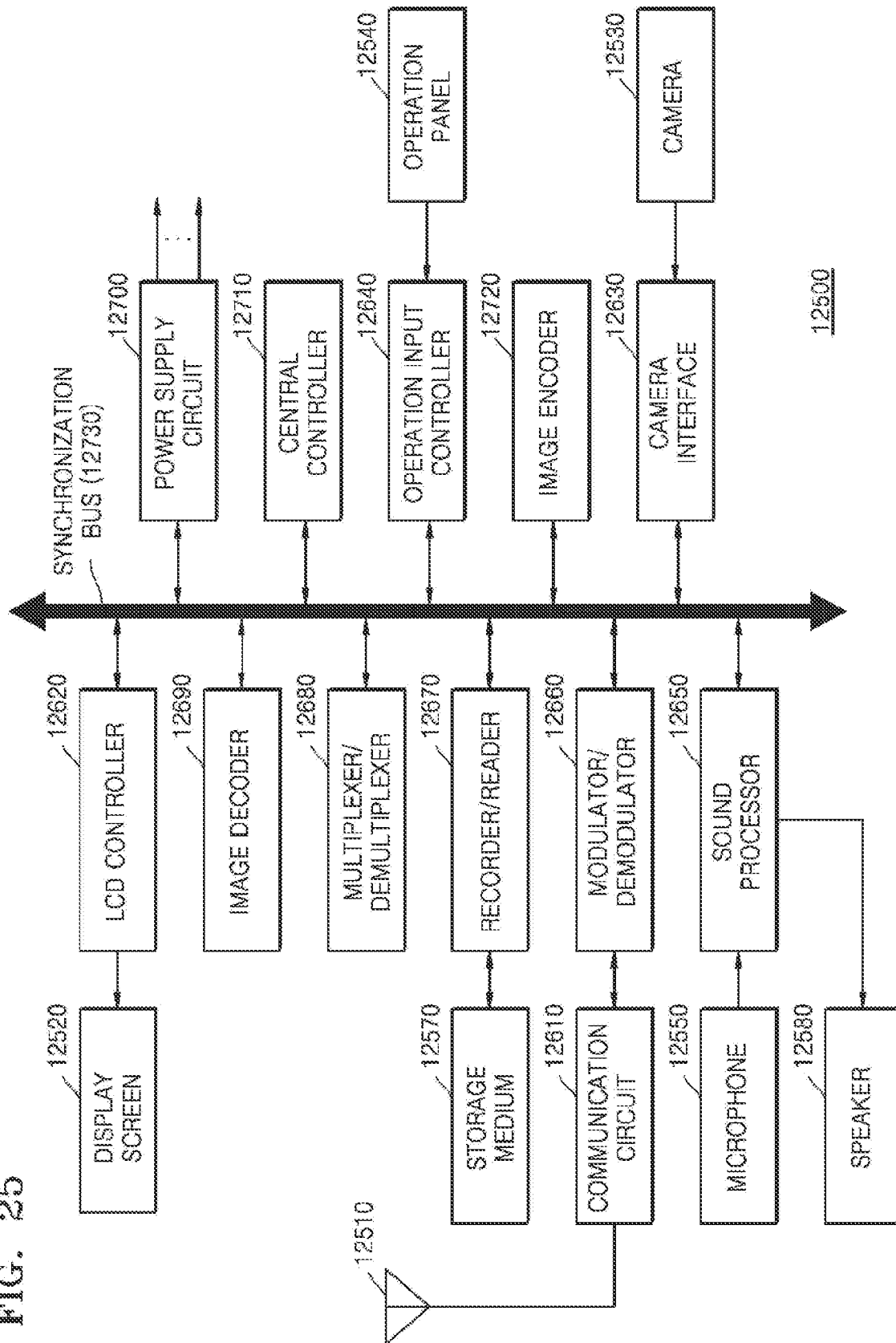
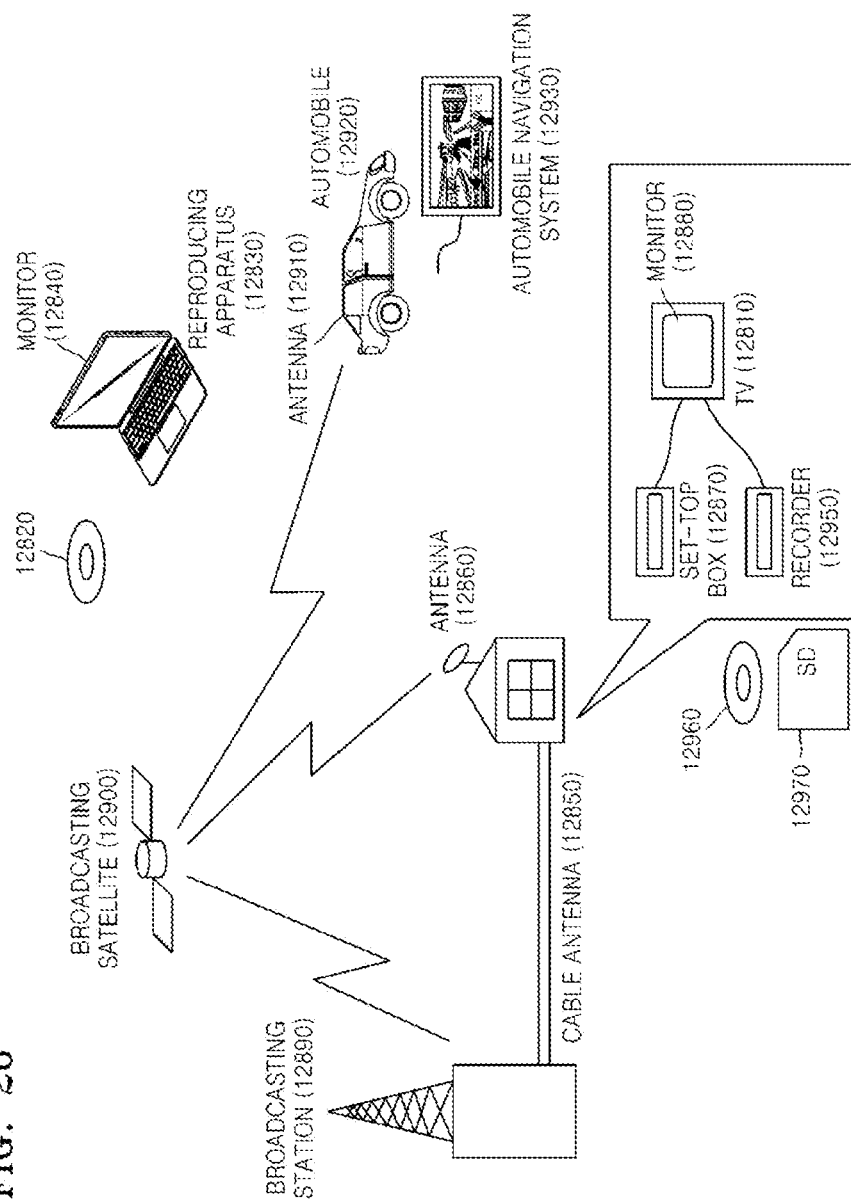
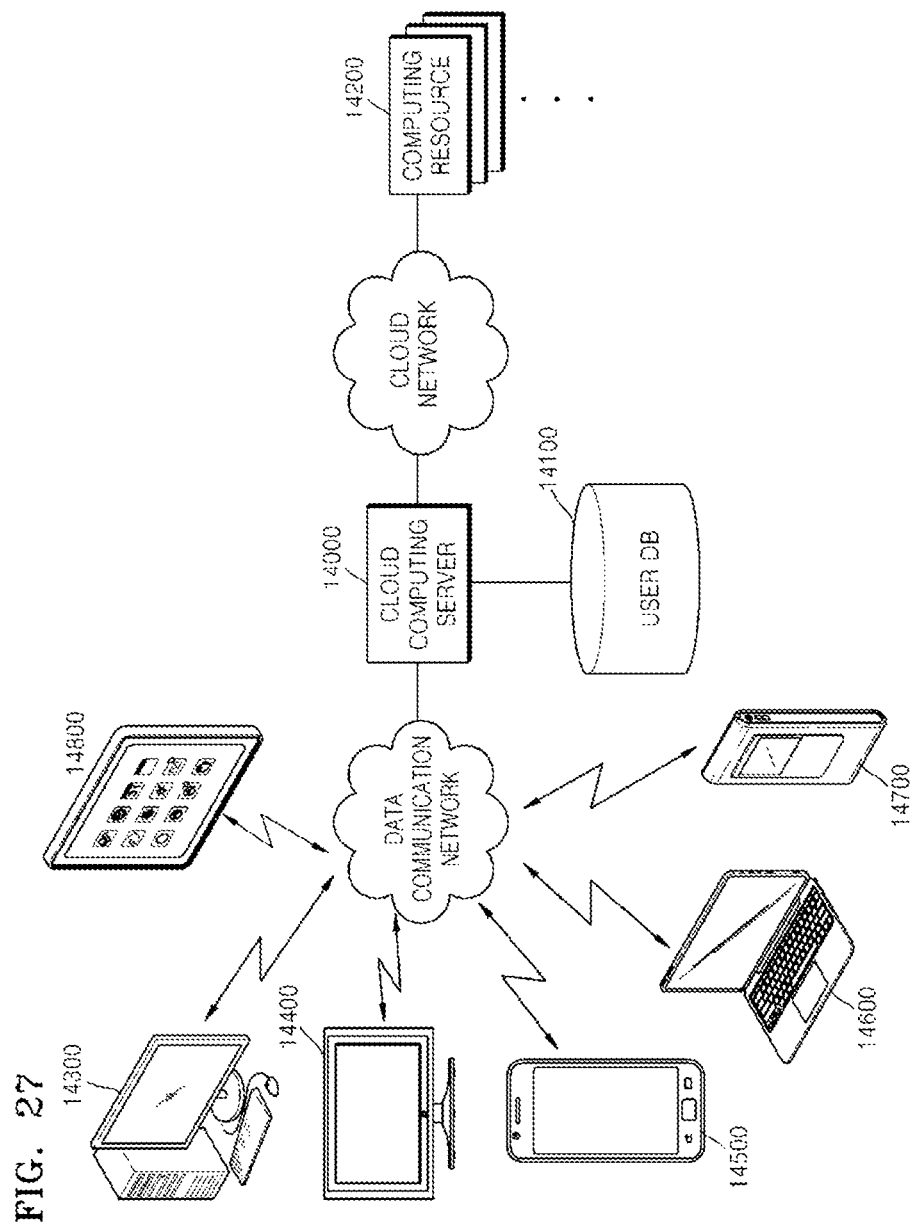


FIG. 26





1

**SAMPLE ADAPTIVE OFFSET (SAO)
ADJUSTMENT METHOD AND APPARATUS
AND SAO ADJUSTMENT DETERMINATION
METHOD AND APPARATUS**

CROSS-REFERENCE TO RELATED
APPLICATIONS

This application is continuation of U.S. application Ser. No. 14/407,327, filed Dec. 11, 2014, which is a national stage entry under 35 U.S.C. §371(c) of International Patent Application No. PCT/KR2013/00511, filed Jun. 11, 2013, and claims priority from U.S. Provisional Patent Application No. 61/657,967, filed on Jun. 11, 2012, in the U.S. Patent and Trademark Office, the disclosures of which are incorporated herein in their entirety by reference.

TECHNICAL FIELD

One or more exemplary embodiments relate to video encoding and decoding for minimizing an error between an original image and a reconstructed image.

RELATED ART

As hardware for reproducing and storing high resolution or high quality video content is being developed and supplied, a need for a video codec for effectively encoding or decoding the high resolution or high quality video content is increasing. According to a conventional video codec, a video is encoded according to a limited encoding method based on a macroblock having a predetermined size.

Image data of the space domain is transformed into coefficients of the frequency domain via frequency transformation. According to a video codec, an image is split into blocks having a predetermined size, discrete cosine transformation (DCT) is performed on each block, and frequency coefficients are encoded in block units, for rapid calculation of frequency transformation. Compared with image data of the space domain, coefficients of the frequency domain are easily compressed. In particular, since an image pixel value of the space domain is expressed according to a prediction error via inter prediction or intra prediction of a video codec, when frequency transformation is performed on the prediction error, a large amount of data may be transformed to 0. According to a video codec, an amount of data may be reduced by replacing data that is consecutively and repeatedly generated with small-sized data.

SUMMARY

Technical Problem

The one or more exemplary embodiments provide a video encoding method and apparatus, and a video decoding method and apparatus for generating a reconstructed image having a minimized error between an original image and the reconstructed image.

Technical Solution

According to an aspect of the one or more exemplary embodiments, there is provided a sample adaptive offset (SAO) adjustment method, the method including: obtaining slice SAO parameters with respect to a current slice from a slice header of a received bitstream; obtaining luma SAO use information for a luma component of the current slice and

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chroma SAO use information for chroma components thereof from among the slice SAO parameters; determining whether to perform an SAO operation on the luma component of the current slice based on the obtained luma SAO use information; and equally determining whether to perform the SAO adjustment on a first chroma component and a second chroma component of the current slice based on the obtained chroma SAO use information.

According to an aspect of one or more exemplary embodiments, there is provided a sample adaptive offset (SAO) adjustment method, the method including: obtaining slice SAO parameters with respect to a current slice from a slice header of a received bitstream; obtaining luma SAO use information for a luma component of the current slice and chroma SAO use information for chroma components thereof from among the slice SAO parameters; determining whether to perform an SAO adjustment on the luma component of the current slice based on the obtained luma SAO use information; and determining whether to perform an SAO adjustment on a first chroma component and a second chroma component of the current slice based on the obtained chroma SAO use information.

The method may further include: obtaining SAO parameters of largest coding units (LCUs) with respect to a current LCU from among LCUs of the current slice; obtaining left SAO merging information from among the SAO parameters of the LCUs; and determining whether to predict SAO parameters for a luma component and first and second chroma components of the current LCU by using a luma component and first and second chroma components of a left LCU neighboring the current LCU based on the left SAO merging information.

The determining of whether to predict the SAO parameters may include: obtaining, in response to it being determined that the SAO parameters of the current LCU are not predicted by using SAO parameters of a left LCU based on the left SAO merging information, upper SAO merging information from among the SAO parameters of the LCUs; and determining whether to predict the SAO parameters for the luma component and the first and second chroma components of the current LCU by using the luma component and the first and second chroma components of the upper LCU neighboring the current LCU based on the upper SAO merging information.

The method may further include: obtaining luma SAO type information for a luma component of the current LCU and chroma SAO type information for chroma components thereof from among the SAO parameters of the LCUs; determining whether to perform an SAO adjustment on the luma component of the current LCU based on the obtained luma SAO type information; and determining whether to perform an SAO adjustment on a first chroma component and a second chroma component of the current LCU based on the obtained chroma SAO type information.

The method may further include: determining which one of an edge SAO adjustment and a band SAO adjustment is to be performed on the luma component of the current LCU based on the obtained luma SAO type information; and determining which one of the edge SAO adjustment and the band SAO adjustment is to be performed on the first chroma component and the second chroma component of the current LCU based on the obtained chroma SAO type information.

The method may further include: determining a same edge direction for the first chroma component and the second chroma component of the current LCU based on the obtained SAO parameters.

The obtaining of the luma SAO type information and the chroma SAO type information may include: performing context-adaptive binary arithmetic coding (CABAC)-decoding on a first context bin of the luma SAO type information, and obtaining information indicating whether to perform the SAO adjustment on the luma component of the current LCU; performing CABAC-decoding on remaining context bins of the luma SAO type information in a bypass mode, and obtaining information indicating which one of the edge SAO adjustment and the band SAO adjustment is to be performed on the luma component of the current LCU; performing CABAC-decoding on a first context bin of the chroma SAO type information, and obtaining information indicating whether to perform the SAO adjustment on the chroma components of the current LCU; and performing CABAC-decoding on remaining context bins of the chroma SAO type information in the bypass mode, and obtaining information indicating which one of the edge SAO adjustment and the band SAO adjustment is to be performed on the chroma components of the current LCU.

The method may further include: performing context-adaptive binary arithmetic coding (CABAC)-decoding by using the same context mode for the left SAO merging information and upper SAO merging information with respect to the luma component and the chroma components of the current LCU.

The method may further include: performing CABAC-decoding in a bypass mode to obtain magnitude information of an offset from among the SAO parameters of the LCUs, wherein the obtained magnitude information of the offset indicates an offset magnitude within a range based on a bit depth of a video, and wherein, when the bit depth is 8 bits, the offset magnitude is greater than or equal to 0 and less than or equal to 7, and, when the bit depth is 10 bits, the offset magnitude is greater than or equal to 0 and less than or equal to 31.

The method may further include: performing, in response to it being determined that the band SAO adjustment is to be performed on the current LCU, CABAC decoding on bits of invariable bit lengths in a bypass mode so as to obtain information regarding a band left start position from at least one piece of the obtained luma SAO type information and the obtained chroma SAO type information.

The method may further include: if it is determined that the band SAO adjustment is performed on the current LCU, obtaining an offset value for the SAO adjustment from the SAO parameters of the LCUs; and, if the obtained offset value is not 0, further obtaining sign information of the offset value from the SAO parameters of the LCUs.

The method may further include: obtaining an offset value for the edge type SAO adjustment from the SAO parameters of the LCUs; and determining a sign of the offset value based on the determined edge direction.

According to another aspect of one or more exemplary embodiments, there is provided an SAO adjustment determination method, the method including: determining whether to perform an SAO adjustment on a luma component of a current slice; determining whether to perform an SAO adjustment on a first chroma component and a second chroma component of the current slice; generating slice SAO parameters with respect to the current slice including luma SAO use information indicating whether to perform the SAO adjustment on the luma component of the current slice and chroma SAO use information indicating whether to perform the SAO adjustment on the first chroma component and the second chroma component; and outputting a slice header including slice SAO parameters.

The method may further include: determining whether to predict SAO parameters for a luma component and first and second chroma components of a current LCU by using SAO parameters with respect to a luma component and first and second chroma components of a left LCU neighboring the current LCU based on LCUs of the current slice; generating, in response to determining to predict SAO parameters with respect to the luma component and the first and second chroma components of the left LCU, left SAO merging information for the current LCU; determining whether to predict the SAO parameters for the luma component and the first and second chroma components of the current LCU by using SAO parameters with respect to a luma component and first and second chroma components of an upper LCU neighboring the current LCU; generating, in response to determining to predict SAO parameters with respect to the luma component and the first and second chroma components of the upper LCU, upper SAO merging information for the current LCU; and generating SAO parameters of LCUs with respect to the current LCU including at least one piece of the left SAO merging information and the upper SAO merging information.

The method may further include: determining whether to perform the SAO operation on a luma component of the current LCU; equally determining whether to perform the SAO adjustment on a first chroma component and a second chroma component of the current LCU; and generating SAO parameters of the LCUs with respect to the current LCU including luma SAO type information indicating whether to perform the SAO adjustment on the luma component of the current LCU and chroma SAO type information indicating whether to perform the SAO adjustment on the first chroma component and the second chroma component.

The method may further include: determining which one of an edge SAO adjustment and a band SAO adjustment is performed on the luma component of the current LCU; determining which one of the edge SAO adjustment and the band SAO adjustment is performed on the first chroma component and the second chroma component of the current LCU; and generating luma SAO type information indicating which one of the edge SAO adjustment and the band SAO adjustment is performed on the luma component and chroma SAO type information indicating which one of the edge SAO adjustment and the band SAO adjustment is performed on the first chroma component and the second chroma component.

The method may further include: generating information regarding the same edge direction of the first chroma component and the second chroma component of the current LCU.

The generating of the luma SAO type information and the chroma SAO type information may include: performing CABAC-encoding on a first context bin of information indicating whether to perform the SAO operation on the luma component of the current LCU, and performing CABAC-encoding on remaining context bins of information indicating which one of the edge SAO adjustment and the band SAO adjustment is performed on the luma component of the current LCU in a bypass mode.

The generating of the SAO parameters of the LCUs may include: performing CABAC-encoding by using the same context mode for the left SAO merging information and upper SAO merging information from among the SAO parameters of the LCUs with respect to the current LCU.

The method may further include: performing CABAC-encoding in the bypass mode on magnitude information of an offset from among the SAO parameters of the LCUs.

The method may further include: if it is determined that the band SAO adjustment is performed on the current LCU, performing CABAC-encoding on bits of invariable bit

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lengths of information regarding a band left start position from at least one piece of the obtained luma SAO type information and the obtained chroma SAO type information in the bypass mode.

The generating of the SAO parameters of the LCUs may include: if it is determined that the band SAO adjustment is performed on the current LCU, determining an offset value for the band SAO adjustment; and generating the SAO parameters of the LCUs further including the determined offset value, wherein the generating of the SAO parameters includes: if the obtained offset value is not 0, determining a sign of the offset value; and generating the SAO parameters of the LCUs further including sign information of the offset value.

According to another aspect of one or more exemplary embodiments, there is provided a video decoding apparatus, the apparatus including: an SAO parameter obtainer configured to obtain slice SAO parameters with respect to a current slice from a slice header of a received bitstream, and to obtain luma SAO use information for a luma component of the current slice and chroma SAO use information for chroma components thereof from among the slice SAO parameters; an SAO determiner configured to determine whether to perform an SAO adjustment on the luma component of the current slice based on the obtained luma SAO use information, and to determine whether to perform an SAO adjustment on a first chroma component and a second chroma component of the current slice based on the obtained chroma SAO use information; and an SAO adjuster configured to, in response to the SAO determiner determining to perform an SAO adjustment on the luma component of the current slice, perform an SAO adjustment on the luma component, and, in response to the SAO determiner determining to perform an SAO adjustment on the first and second chroma components of the current slice, perform an SAO adjustment on the first and second chroma components of the current slice reconstructed by performing decoding on encoded symbols of the current slice obtained from the received bitstream based on a determination of the SAO determiner.

According to another aspect of one or more exemplary embodiments, there is provided a video encoding apparatus, the apparatus including: an encoder configured to perform prediction, transformation, and quantization on a current slice of a video and to perform inverse prediction, inverse transformation, and motion compensation on quantized transformation coefficients; an SAO determiner configured to determine whether to perform an SAO operation on a luma component of the current slice, and equally determining whether to perform the SAO adjustment on a first chroma component and a second chroma component of the current slice; and an SAO parameter encoder configured to generate slice SAO parameters with respect to the current slice, the slice SAO parameters including luma SAO use information indicating whether to perform the SAO adjustment on the luma component and chroma SAO use information indicating whether to perform the SAO adjustment on the first chroma component and the second chroma component based on a determination of the SAO determiner, and generating a slice header including the slice SAO parameters.

According to another aspect of one or more exemplary embodiments, there is provided a non-transitory computer-readable recording medium having recorded thereon a computer program for executing the SAO adjustment method.

Advantageous Effects

A sample adaptive offset (SAO) adjustment method for each color component according to various exemplary

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embodiments may share various SAO parameters relating to an SAO operation of a first chroma component and a second chroma component of a current sample, thereby simultaneously performing the SAO adjustment on the first chroma component and the second chroma component, and preventing parallel processing latency in advance. Furthermore, compared to separately sending SAO parameters regarding the first chroma component and the second chroma component, a total number of transmission bits of the SAO parameters may be reduced by half.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A and 1B, respectively, are a block diagram of a video encoding apparatus and a flowchart of a sample adaptive offset (SAO) adjustment method performed by the video encoding apparatus, according to one or more exemplary embodiments;

FIGS. 2A and 2B, respectively, are a block diagram of a video decoding apparatus and a flowchart of an SAO operation performed by the video decoding apparatus, according to one or more exemplary embodiments;

FIG. 3 is a block diagram of a video decoding apparatus according to another exemplary embodiment;

FIG. 4 is a table showing edge classes of edge types, according to one or more exemplary embodiments;

FIGS. 5A and 5B are a table and a graph showing categories of edge types, according to one or more exemplary embodiments;

FIGS. 6A through 6C show relationships between first and second chroma components;

FIG. 7A is a diagram showing adjacent largest coding units (LCUs) referred to merge SAO parameters, according to one or more exemplary embodiments;

FIG. 7B shows syntax structures of a slice header and slice data according to one or more exemplary embodiments;

FIGS. 7C and 7D show syntax structures of SAO parameters with respect to LCUs according to one or more exemplary embodiments;

FIG. 7E shows a syntax structure of context information for context-adaptive binary arithmetic coding (CABAC) encoding of SAO parameters according to one or more exemplary embodiments;

FIG. 7F shows a syntax structure of SAO parameters with respect to SAO types according to one or more exemplary embodiments;

FIG. 8 is a block diagram of a video encoding apparatus based on coding units according to a tree structure, according to one or more exemplary embodiments;

FIG. 9 is a block diagram of a video decoding apparatus based on coding units according to a tree structure, according to one or more exemplary embodiments;

FIG. 10 is a diagram for describing a concept of coding units according to one or more exemplary embodiments;

FIG. 11 is a block diagram of an image encoder based on coding units, according to one or more exemplary embodiments;

FIG. 12 is a block diagram of an image decoder based on coding units, according to one or more exemplary embodiments;

FIG. 13 is a diagram illustrating deeper coding units according to depths, and partitions, according to one or more exemplary embodiments;

FIG. 14 is a diagram for describing a relationship between a coding unit and transformation units, according to one or more exemplary embodiments;

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FIG. 15 is a diagram for describing encoding information of coding units corresponding to a coded depth, according to one or more exemplary embodiments;

FIG. 16 is a diagram of deeper coding units according to depths, according to one or more exemplary embodiments;

FIGS. 17 through 19 are diagrams for describing a relationship between coding units, prediction units, and transformation units, according to one or more exemplary embodiments;

FIG. 20 is a diagram for describing a relationship between a coding unit, a prediction unit, and a transformation unit, according to encoding mode information of Table 1;

FIG. 21 is a diagram of a physical structure of a disc in which a program is stored, according to one or more exemplary embodiments;

FIG. 22 is a diagram of a disc drive for recording and reading a program by using a disc;

FIG. 23 is a diagram of an overall structure of a content supply system for providing a content distribution service;

FIGS. 24 and 25 are diagrams respectively of an external structure and an internal structure of a mobile phone to which a video encoding method and a video decoding method are applied, according to one or more exemplary embodiments;

FIG. 26 is a diagram of a digital broadcast system to which a communication system is applied, according to one or more exemplary embodiments; and

FIG. 27 is a diagram illustrating a network structure of a cloud computing system using a video encoding apparatus and a video decoding apparatus, according to one or more exemplary embodiments.

DETAILED DESCRIPTION

Hereinafter, video encoding operations and video decoding operations using a sample adaptive offset (SAO) operations based on pixel classification, according to one or more exemplary embodiments, will be described with reference to FIGS. 1 through 7F. Also, an SAO operation based on pixel classification in video encoding operations and video decoding operations based on coding units having a tree structure, according to one or more exemplary embodiments, will be described with reference to FIGS. 8 through 20. Hereinafter, an 'image' may denote a still image, a moving image of a video, or a video itself.

Video encoding operations and a video decoding operations using SAO adjustment based on pixel classification, according to one or more exemplary embodiments, will now be described with reference to FIGS. 1 through 7F. A video encoding apparatus 10 and a video decoding apparatus 20 that will be described below with reference to FIGS. 1A, 1B, 2A, and 2B performs an SAO operation in order to minimize an error between original pixels and reconstructed pixels. By performing the SAO operation according to an exemplary embodiment, the video encoding apparatus 10 classifies pixels of each image block into preset pixel groups, allocates each pixel to a corresponding pixel group, and encodes an offset value indicating an average value of errors between the original pixels and the reconstructed pixels included in the same pixel group.

Samples are transmitted between the video encoding apparatus 10 and the video decoding apparatus 20. That is, the video encoding apparatus 10 may encode and transmit samples in the form of a bitstream, and the video decoding apparatus 20 may parse and reconstruct the samples from the received bitstream. In order to minimize an error between original pixels and reconstructed pixels by adjusting pixel values of the reconstructed pixels by an offset determined

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according to a pixel classification, the video encoding apparatus 10 and the video decoding apparatus 20 signal SAO parameters for the SAO adjustment. Between the video encoding apparatus 10 and the video decoding apparatus 20, offset values are encoded and transmitted as the SAO parameters such that the offset values are decoded from the SAO parameters.

Thus, the video decoding apparatus 20 according to an exemplary embodiment may generate a reconstructed image having a minimized error between an original image and the reconstructed image by decoding a received bitstream, generating reconstructed pixels of each of image blocks, reconstructing offset values from the bitstream, and adjusting the reconstructed pixels by the offset values.

An operation of the video encoding apparatus 10 that performs an SAO operation will now be described with reference to FIGS. 1A and 1B. An operation of the video decoding apparatus 20 that performs the SAO adjustment will now be described with reference to FIGS. 2A and 2B.

FIGS. 1A and 1B, respectively, are a block diagram of the video encoding apparatus 10 and a flowchart of an SAO operation performed by the video encoding apparatus 10, according to one or more exemplary embodiments.

The video encoding apparatus 10 includes an encoder 12, an SAO determiner 14, and an SAO parameter encoder 16.

The video encoding apparatus 10 receives an input of images such as slices of a video, splits each image into blocks, and encodes each block. A block may have a square shape, a rectangular shape, or an arbitrary geometrical shape, and is not limited to a data unit having a predetermined size. The block according to one or more exemplary embodiments may be a largest coding unit (LCU) or a CU among coding units according to a tree structure. Video encoding and decoding methods based on coding units according to a tree structure will be described below with reference to FIGS. 8 through 20.

The video encoding apparatus 10 may split each input image into LCUs, and may output resultant data generated by performing prediction, transformation, and entropy encoding on samples of each LCU, as a bitstream. Samples of an LCU may be pixel value data of pixels included in the LCU.

The encoder 12 may individually encode LCUs of a picture. The encoder 12 may encode a current LCU based on coding units split from the current LCU and having a tree structure.

In order to encode the current LCU, the encoder 12 may encode samples by performing intra prediction, inter prediction, transformation, and quantization on each of coding units included in the current LCU and having a tree structure.

The encoder 12 may reconstruct the encoded samples included in the current LCU by performing dequantization, inverse transformation, and inter prediction or intra compensation on each of the coding units having a tree structure so as to decode the coding units.

In order to minimize an error between original pixels before the current LCU is encoded and reconstructed pixels after the current LCU is decoded, the video encoding apparatus 10 may determine offset values indicating difference values between the original pixels and the reconstructed pixels.

The encoder 12 may perform prediction, transformation, and quantization on a current slice of the video and perform dequantization, inverse transformation, and motion compensation on quantized transformation coefficients. The encoder 12 may firstly perform prediction, transformation, and quantization on each of coding units of the current slice of the video. In order to generate a reference image for inter prediction, the encoder 12 may perform dequantization, inverse

transformation, and motion compensation on the quantized transformation coefficients to generate a reconstructed image. A reconstructed image of a previous image may be referred to for inter prediction of a next image.

The SAO determiner **14** may perform SAO operations for each color component. For example, with respect to a YCrCb color image, the SAO operations may be performed on a luma component (a Y component) and first and second chroma components (Cr and Cb components).

The SAO determiner **14** may determine whether to perform the SAO operations on a luma component of the current slice. The SAO determiner **14** may equally determine whether to perform the SAO operations on first and second chroma components of the current slice. That is, if the SAO operation may be performed on a first chroma color component, the SAO operations may be performed on the second chroma component, and, if the SAO operation may not be performed on the first chroma color component, the SAO operation may not be performed on the second chroma component.

The SAO parameter encoder **16** may generate a slice SAO parameter with respect to the current slice to include the slice SAO parameter in a slice header of the current slice.

The SAO parameter encoder **16** may generate luma SAO use information indicating whether to perform the SAO operation on the luma component according to a determination of the SAO determiner **14**. The SAO parameter encoder **16** may generate chroma SAO use information indicating whether to perform the SAO operation on the first and second chroma components according to the determination of the SAO determiner **14**.

The SAO parameter encoder **16** may include the luma SAO use information and the chroma SAO use information in the slice SAO parameter.

The SAO determiner **14** may determine the offset values with respect to LCUs. SAO parameters including the offset values, an SAO type, and an SAO class may also be determined with respect to LCUs.

The SAO determiner **14** may determine the SAO type according to a pixel value classification method of the current LCU. The SAO type according to exemplary embodiments may be determined as an edge type or a band type. According to a pixel value classification method of a current block, it may be determined whether to classify pixels of the current block according to the edge type or the band type.

If the SAO type is the edge type, according to a direction and a shape of edges formed between the reconstructed pixels of the current LCU and their adjacent pixels, an offset between the reconstructed pixels and the original pixels may be determined.

If the SAO type is the band type, from among a plurality of bands obtained by dividing a total range of pixel values of the reconstructed pixels of the current LCU, an offset between the reconstructed pixels and the original pixels included in each band may be determined. The bands may be obtained by uniformly or ununiformly dividing the total range of the pixel values.

Accordingly, the SAO determiner **14** may determine the SAO type of the current LCU, which indicates the edge type or the band type, based on spatial characteristics of pixel values of the current LCU.

The SAO determiner **14** may determine an SAO class of each of the reconstructed pixels according to the SAO type of the current LCU. The SAO class may be determined as an edge class or a band class.

With respect to the edge type, the edge class may indicate a direction of edges formed between the reconstructed pixels

and their adjacent pixels. The edge class may indicate an edge direction of 0°, 90°, 45°, or 135°.

If the SAO type is the edge type, the SAO determiner **14** may determine the edge class of each of the reconstructed pixels of the current LCU.

With respect to the band type, from among a plurality of bands that are a predetermined number of continuous pixel value intervals obtained by dividing a total range of pixel values of the current LCU, the band class may indicate positions of the bands to which pixel values of the reconstructed pixels belong.

For example, with respect to a sample having a pixel value of 8 bits, a total range of the pixel value is from 0 to 255 and the pixel value may be classified into a total of 32 bands. In this case, from among the total of 32 bands, a predetermined number of bands to which pixel values of the reconstructed pixels belong may be determined. The band class may indicate a start position (a left start position) of a predetermined number of continuous bands by using one of band indices from 0 to 31.

With respect to the edge type, the reconstructed pixels of the current LCU may be classified into a predetermined number of categories according to the shape of edges formed between the reconstructed pixels and their adjacent pixels. For example, according to four edge shapes such as a local valley of a concave edge, a curved corner of a concave edge, a curved corner of a convex edge, and a local peak of a convex edge, the reconstructed pixels may be classified into four categories. According to an edge shape of each of the reconstructed pixels of the current LCU, one of the four categories may be determined.

With respect to the band type, according to positions of bands to which pixel values of the reconstructed pixels of the current LCU belong, the reconstructed pixels may be classified into a predetermined number of categories. For example, according to band indices of four continuous bands from a start band position, i.e., a start position of a leftmost band, indicated by the band class, the reconstructed pixels may be classified into four categories. According to one of the four bands, to which each of the reconstructed pixels of the current LCU belongs, one of the four categories may be determined.

The SAO determiner **14** may determine a category of each of the reconstructed pixels of the current LCU. With respect to the reconstructed pixels of the current LCU, which belong to the same category, the SAO determiner **14** may determine offset values by using difference values between the reconstructed pixels and the original pixels. In each category, an average of the difference values between the reconstructed pixels and the original pixels, i.e., an average error of the reconstructed pixels, may be determined as an offset value corresponding to a current category. The SAO determiner **14** may determine an offset value of each category and may determine offset values of all categories as the offset values of the current LCU.

For example, if the SAO type of the current LCU is the edge type and the reconstructed pixels are classified into four categories according to edge shapes, or if the SAO type of the current LCU is the band type and the reconstructed pixels are classified into four categories according to indices of four continuous bands, the SAO determiner **14** may determine four offset values by determining an average error between the reconstructed pixels and the original pixels, which belong to each of the four categories.

Each of the offset values may be greater than or equal to a preset minimum value and may be less than or equal to a preset maximum value.

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The SAO parameter encoder **16** may encode and output SAO parameters including the SAO type, the SAO class, and the SAO values of the current LCU, which are determined by the SAO determiner **14**.

SAO parameters of each block may include an SAO type and SAO values of the block. As the SAO type, an off type, the edge type, or the band type may be output.

If the SAO type is the off type, it may be indicated that SAO operations is not applied to the current LCU. In this case, other SAO parameters of the current LCU do not need to be encoded.

If the SAO type is the edge type, the SAO parameters may include offset values individually corresponding to edge classes. Also, if the SAO type is the band type, the SAO parameters may include offset values individually corresponding to bands. That is, the SAO parameter encoder **16** may encode SAO parameters of each block.

A process of outputting the SAO parameters will now be described in detail with reference to a flowchart of the SAO operation of FIG. 1B below.

The encoder **12** may encode a current LCU among a plurality of LCUs of the current slice based on coding units having a tree structure.

In operation **11**, the SAO parameter determiner **14** may determine whether to perform the SAO operation on the luma component of the current slice. In operation **13**, the SAO parameter determiner **14** may determine whether to perform the SAO operation on first and second chroma components of the current slice.

In operation **15**, the SAO parameter determiner **14** may generate the luma SAO use information according to a determination in operation **11**, and may generate the chroma SAO use information according to a determination in operation **13**. The SAO parameter determiner **14** may generate the slice SAO parameter including the luma SAO use information and the chroma SAO use information regarding the current slice.

In operation **17**, the SAO parameter determiner **14** may output the slice header including the slice SAO parameter generated in operation **15**.

The SAO parameter determiner **14** may determine a first SAO parameter of the current LCU. The first SAO parameter may include an SAO type indicating whether a pixel value classification method of the current LCU is an edge type or a band type, an SAO class indicating an edge direction according to the edge type or a band range according to the band type, and SAO values indicating difference values between reconstructed pixels and original pixels included in the SAO class.

The SAO parameter encoder **16** may output offset values corresponding to a predetermined number of categories.

In operation **17**, if the SAO parameter encoder **16** outputs SAO type information indicating the edge type, according to an edge direction of the reconstructed pixels included in the current LCU, an edge class indicating a direction of 0°, 90°, 45°, or 135° may be output.

In operation **17**, if the SAO parameter encoder **16** outputs SAO type information indicating the band type, a band class indicating a band position of the reconstructed pixels included in the current LCU may be output.

In operation **17**, if the SAO parameter encoder **16** outputs the SAO type information indicating the band type, as an offset value, zero value information indicating whether the offset value is 0 or not may be output. If the offset value is 0, the SAO parameter encoder **16** may output only the zero value information as the offset value.

If the offset value is not 0, the SAO parameter encoder **16** may further output sign information indicating whether the

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offset value is a positive number or a negative number, and a remainder, which are followed by the zero value information.

In operation **17**, if the SAO parameter encoder **16** outputs SAO type information indicating the edge type, the zero value information and the remainder may be output. With respect to the edge type, the sign information of the offset value does not need to be output because a sign of the offset value is predictable based on only a category according to an edge shape. A process of predicting the sign of the offset value will be described below with reference to FIGS. 5A and 5B.

The SAO determiner **14** may determine whether to perform the SAO operation and SAO types with respect to LCUs according to color components.

The SAO determiner **14** may determine whether to perform the SAO operation on a luma component of the current LCU. The SAO parameter encoder **16** may generate luma SAO type information indicating whether to perform the SAO operation on the luma component of the current LCU.

The SAO determiner **14** may congruently determine whether to perform the SAO operation on first and second chroma components of the current LCU. The SAO parameter encoder **16** may generate chroma SAO type information indicating whether to perform the SAO operation on the first and second chroma components of the current LCU.

The SAO determiner **14** may determine which one of an edge SAO operation and a band SAO operation is performed on the luma component of the current LCU. The SAO parameter encoder **16** may generate luma SAO type information indicating which one of the edge SAO operation and the band SAO operation is performed on the luma component of the current LCU.

The SAO determiner **14** may determine which one of the edge SAO operation and the band SAO operation is performed on the first and second chroma components of the current LCU. The SAO parameter encoder **16** may generate chroma SAO type information indicating which one of the edge SAO operation and the band SAO operation is performed on the first and second chroma components of the current LCU.

If the SAO determiner **14** determines to perform the edge SAO operation on the first and second chroma components of the current LCU, the SAO determiner **14** may determine an SAO class in the same edge direction with respect to the first and second chroma components of the current LCU. Thus, the SAO parameter encoder **16** may generate an SAO parameter including information on the same edge direction of the first and second chroma components of the current LCU.

The SAO parameter determiner **16** may include the luma SAO type information and the chroma SAO type information in the SAO parameter of the current LCU.

The SAO parameter encoder **16** may output SAO merging information of the current LCU indicating whether to adopt a second SAO parameter of one of a left LCU and an upper LCU neighboring the current LCU as a first SAO parameter of the current LCU, based on sameness between the first SAO parameter and the second SAO parameter.

If SAO parameters of at least one of the left and upper LCUs of the current LCU are the same as those of the current LCU, the SAO parameter encoder **16** may not encode the SAO parameters of the current LCU and may encode only the SAO merging information. In this case, SAO merging information indicating that the SAO parameters of the left or upper LCU are adopted as the SAO parameters of the current LCU may be output.

If the SAO parameters of the left and upper LCUs are different from the SAO parameters of the current LCU, the SAO parameter encoder **16** may encode the SAO merging

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information and the SAO parameters of the current LCU. In this case, SAO merging information indicating that the SAO parameters of the left or upper LCU are not adopted as the SAO parameters of the current LCU may be output.

If the second SAO parameter of the left LCU or the upper LCU of the current LCU is the same as the first SAO parameter, the first SAO parameter may be predicted based on the second SAO parameter. When the SAO parameter encoder **16** adopts the second SAO parameter as the first SAO parameter, the SAO parameter encoder **16** may output only the SAO merging information and may not output the SAO type, the SAO class, and the offset values of the current LCU.

If the second SAO parameter of the left LCU or the upper LCU of the current LCU is not the same as the first SAO parameter, the first SAO parameter may be predicted separately from the second SAO parameter. In operation **19**, when the SAO parameter encoder **16** does not adopt the second SAO parameter as the first SAO parameter, the SAO parameter encoder **16** may output the first SAO parameter to include the SAO type, the SAO class, and the offset values of the current LCU, in addition to the SAO merging information of the current LCU.

When the SAO parameter encoder **16** outputs an SAO type, an SAO class, and offset values of the first SAO parameter, the SAO parameter encoder **16** may sequentially output the SAO type, the offset value for each category, and the SAO class of the current LCU.

If the SAO operation is performed, the SAO determiner **14** may determine SAO merging information and SAO parameters of each of the LCUs. In this case, the SAO parameter encoder **16** may output SAO use information indicating that the SAO operation is performed on the current slice, and then may output the SAO merging information and the SAO parameters of each of the LCUs.

If the SAO operation is not performed on the current slice, the SAO determiner **14** may not need to determine an offset of each of the LCUs of the current slice, and the SAO parameter encoder **16** may output only SAO use information indicating that offset adjustment is not performed on the current slice.

The SAO determiner **14** may not determine different the SAO parameters of the current LCU for each color component, but may determine different SAO parameters with respect to the luma and chroma components based on the SAO parameter of the left LCU or the upper LCU neighboring the current LCU.

The SAO determiner **14** may determine whether to predict the SAO parameters with respect to the luma component and the first and second chroma components of the current LCU by using SAO parameters with respect to a luma component and first and second chroma components of the left LCU of the current LCU among the LCUs of the current slice.

The SAO parameter encoder **16** may generate left SAO merging information for the current LCU based on whether to predict the SAO parameters of the current LCU by using the SAO parameters of the left LCU. That is, the same left SAO merging information may be generated without distinction of the luma component and the first and second chroma components.

The SAO determiner **14** may determine whether to predict the SAO parameters with respect to the luma component and the first and second chroma components of the current LCU by using SAO parameters with respect to a luma component and first and second chroma components of the upper LCU of the current LCU among the LCUs of the current slice.

The SAO parameter encoder **16** may generate upper SAO merging information for the current LCU based on whether to predict the SAO parameters of the current LCU by using the SAO parameters of the upper LCU.

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The SAO parameter encoder **16** may generate SAO parameters of the LCUs including the SAO merging information of the left LCU and the SAO merging information of the upper LCU with respect to the current LCU.

The video encoding apparatus **10** may perform entropy encoding on encoding symbols including quantized transformation coefficients and encoding information to generate a bitstream. The video encoding apparatus **10** may perform context-adaptive binary arithmetic coding (CABAC) based entropy encoding on SAO parameters.

The video encoding apparatus **10** may perform CABAC encoding on a first context bin indicating information included in the luma SAO type information regarding whether to perform the SAO operation on the luma component of the current LCU.

The video encoding apparatus **10** may perform the CABAC encoding, in a bypass mode, on remaining context bins indicating information included in the luma SAO type information regarding which one of the edge SAO operation and the band SAO operation is performed on the luma component of the current LCU.

The video encoding apparatus **10** may perform the CABAC encoding, in the same context mode, on the left SAO merging information and the SAO merging information among the SAO parameters of the LCUs with respect to the current LCU.

The video encoding apparatus **10** may perform the CABAC encoding, in the bypass mode, on magnitude information of offsets included in the SAO parameters of the LCUs. The magnitude information of offsets may indicate offset magnitude within a range based on a bit depth of a video. For example, when the bit depth is 8 bits, the offset magnitude may be equal to greater than 0 and equal to or smaller than 7. For another example, when the bit depth is 10 bits, the offset magnitude may be equal to greater than 0 and equal to or smaller than 31.

When it is determined that the band SAO operation is performed on the current LCU, the video encoding apparatus **10** may perform the CABAC encoding, in the bypass mode, on bits of an invariable bit length of information regarding a band left start position of at least one of the luma SAO type information and the chroma SAO type information.

When it is determined that the band SAO operation is performed on the current LCU, the SAO determiner **14** may determine an offset value for the band SAO operation. Accordingly, the SAO parameter encoder **10** may generate SAO parameters of the LCUs further including the offset value for the band SAO operation.

When the offset value for the band SAO operation is not 0, the SAO determiner **14** may further determine a sign of the offset value. Accordingly, the SAO parameter encoder **16** may generate SAO parameters of the LCUs further including sign information of the offset value.

The video encoding apparatus **10** may include a central processor (not shown) for collectively controlling the encoder **12**, the SAO determiner **14**, and the SAO parameter encoder **16**. Alternatively, the encoder **12**, the SAO determiner **14**, and the SAO parameter encoder **16** may be driven by individual processors (not shown) that cooperatively operate to control the video encoding apparatus **10**. Alternatively, an external processor (not shown) outside the video encoding apparatus **10** may control the encoder **12**, the SAO determiner **14**, and the SAO parameter encoder **16**.

The video encoding apparatus **10** may include one or more data storages (not shown) for storing input and output data of the encoder **12**, the SAO determiner **14**, and the SAO parameter encoder **16**. The video encoding apparatus **10** may

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include a memory controller (not shown) for managing data input and output to and from the data storages.

In order to perform a video encoding operation including transformation and to output a result of the video encoding operation, the video encoding apparatus **10** may operate in association with an internal or external video encoding processor. The internal video encoding processor of the video encoding apparatus **10** may be an independent processor for performing a video encoding operation. Also, the video encoding apparatus **10**, a central processing unit, or a graphic processing unit may include a video encoding processor module to perform a basic video encoding operation.

FIGS. 2A and 2B, respectively, are a block diagram of the video decoding apparatus **20** and a flowchart of an SAO operation performed by the video decoding apparatus **20**, according to one or more exemplary embodiments

The video decoding apparatus **20** includes an SAO parameter obtainer **22**, an SAO determiner **24**, and an SAO adjuster **26**.

The video decoding apparatus **20** receives a bitstream including encoded data of a video. The video decoding apparatus **20** may parse encoded video samples from the received bitstream, may perform entropy decoding, dequantization, inverse transformation, prediction, and motion compensation on each image block to generate reconstructed pixels, and thus may generate a reconstructed image.

The video decoding apparatus **20** may receive offset values indicating difference values between original pixels and reconstructed pixels, and may minimize an error between an original image and the reconstructed image. The video decoding apparatus **20** may receive encoded data of each LCU of the video, and may reconstruct the LCU based on coding units split from the LCU and having a tree structure.

The SAO parameter obtainer **22** may obtain slice SAO parameters with respect to a current slice from a slice header of a received bitstream. The SAO parameter obtainer **22** may obtain luma SAO use information for a luma component of the current slice and chroma SAO use information for chroma components from the slice SAO parameters.

The SAO determiner **24** may determine whether to perform SAO operation on the luma component of the current slice based on the luma SAO use information obtained by the SAO parameter obtainer **22**.

The SAO determiner **24** may similarly determine whether to perform the SAO operation on a first chroma component and a second chroma component of the current slice based on the chroma SAO use information obtained by the SAO parameter obtainer **22**. That is, if the SAO operation is performed on the first chroma component, the SAO operation may be performed on the second chroma component, and if the SAO operation is not performed on the first chroma component, the SAO operation may not be performed on the second chroma component.

The video decoding apparatus **20** may perform decoding on encoded symbols including encoded samples and encoding information of the current slice obtained from the received bitstream to reconstruct the current slice. The SAO adjuster **26** may perform the SAO operation on each of the reconstructed current slice according to a determination of the SAO determiner **24**.

Operations of reconstructing samples of a current LCU and adjusting offsets will now be described with reference to FIG. 2B.

In operation **21**, the SAO parameter obtainer **22** may obtain the slice SAO parameters with respect to the current slice from the slice header of the received bitstream. In operation

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23, the SAO parameter obtainer **22** may obtain the luma SAO use information and the chroma SAO use information from the slice SAO parameters.

In operation **25**, the SAO determiner **24** may determine whether to perform the SAO operation on the luma component of the current slice based on the luma SAO use information obtained in operation **23**. If the luma SAO use information indicates that the SAO operation is performed, the SAO adjuster **26** may perform the SAO operation on a luma color component of the current slice.

In operation **27**, the SAO determiner **24** may equally determine whether to perform the SAO operation on the first chroma component and the second chroma component of the current slice based on the chroma SAO use information obtained in operation **23**. If the chroma SAO use information indicates that the SAO operation is performed, the SAO adjuster **26** may perform the SAO operation on the first chroma component and the second chroma component of the current slice.

The SAO parameter obtainer **22** may extract SAO merging information of the current LCU from the received bitstream. The SAO merging information of the current LCU indicates whether to adopt a second SAO parameter of a left or upper LCU of the current LCU as a first SAO parameter of the current LCU.

The SAO parameter obtainer **22** may reconstruct the first SAO parameter including an SAO type, offset values, and an SAO class of the current LCU, based on the SAO merging information.

The SAO parameter obtainer **22** may determine whether to reconstruct the SAO type, the offset values, and the SAO class of the current LCU to be the same as those of the second SAO parameter, or to extract the SAO type, the offset values, and the SAO class from the bitstream, based on the SAO merging information.

The SAO determiner **24** may determine whether a pixel value classification method of the current LCU is an edge type or a band type, based on the SAO type determined by the SAO parameter obtainer **22**. Based on the SAO type, an off type, the edge type, or the band type may be determined.

If the SAO type is the off type, it may be determined that the SAO operation is not applied to the current LCU. In this case, other SAO parameters of the current LCU do not need to be parsed.

The SAO determiner **24** may determine a band range according to an edge direction according to the edge type or a band range according to a band type of the current LCU, based on the SAO class determined by the SAO parameter obtainer **22**.

The SAO determiner **24** may determine difference values between reconstructed pixels and original pixels included in the above-determined SAO class, based on the offset values determined by the SAO parameter obtainer **22**.

The SAO adjuster **26** may adjust pixel values of samples reconstructed based on coding units split from the current LCU and having a tree structure, by the difference values determined by the SAO determiner **24**.

The SAO parameter obtainer **22** may determine to adopt the second SAO parameter of the left or upper LCU as the first SAO parameter, based on the SAO merging information. In this case, the SAO determiner **24** may not extract the first SAO parameter of the current LCU and may reconstruct the first SAO parameter to be the same as the previously reconstructed second SAO parameter.

The SAO parameter obtainer **22** may determine not to adopt the second SAO parameter as the first SAO parameter, based on the SAO merging information. In this case, the SAO

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determiner **24** may extract and reconstruct the first SAO parameter followed by the SAO merging information, from the bitstream.

The SAO parameter obtainer **22** may extract common SAO merging information of the luma component, the first chroma component, and the second chroma component of the current LCU. The SAO determiner **24** may determine whether to reconstruct SAO parameters of the luma component, SAO parameters of the first chroma component, and SAO parameters of the second chroma component to be the same as those of an adjacent LCU, based on the common SAO merging information.

The SAO determiner **24** may reconstruct a common SAO type of the first chroma component and the second chroma component of the current LCU.

The SAO determiner **24** may determine offset values corresponding to a predetermined number of categories, based on the SAO parameters. Each of the offset values may be greater than or equal to a preset minimum value and may be smaller than or equal to a preset maximum value.

If SAO type information indicates the edge type, the SAO determiner **24** may determine an edge direction of the reconstructed pixels included in the current LCU as 0°, 90°, 45°, or 135°, based on the SAO class.

If the SAO type information indicates the band type, the SAO determiner **24** may determine positions of bands to which pixel values of the reconstructed pixels belong, based on the SAO class.

If the SAO type information indicates the band type, the SAO determiner **24** may determine whether an offset value is 0 or not, based on zero value information of the offset value. If the offset value is determined as 0 based on the zero value information, information of the offset value other than the zero value information is not reconstructed.

If the offset value is not determined as 0 based on the zero value information, the SAO determiner **24** may determine whether the offset value is a positive number or a negative number, based on sign information of the offset value, which is followed by the zero value information. The SAO determiner **24** may finally determine an offset value by reconstructing a remainder of the offset value, which is followed by the sign information.

If the SAO type information indicates the edge type and if the offset value is not determined as 0 based on the zero value information of the offset value, the SAO determiner **24** may finally determine the offset value by reconstructing the remainder of the offset value, which is followed by the zero value information.

The video decoding apparatus **20** may obtain the SAO parameters based on color components to perform the SAO operation.

The SAO parameter obtainer **22** may obtain SAO parameters of each of the LCUs of the current slice from a bitstream. The SAO parameter obtainer **22** may obtain at least one of left SAO merging information and upper SAO merging information from the SAO parameters of the LCUs.

The SAO parameter obtainer **22** may determine whether to predict SAO parameters with respect to the luma component and the first and second chroma components of the current LCU by using SAO parameters with respect to a luma component and first and second chroma components of the upper LCU neighboring the current LCU based on the left SAO merging information.

If the left SAO merging information indicates that a current SAO parameter is to be predicted by using the SAO parameters of the left LCU, SAO parameters for each color compo-

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nent with respect to the left LCU may be adopted as SAO parameters for each color component of the current LCU, for each color component.

If the SAO parameters of the current LCU are determined not to be predicted by using the SAO parameters of the left LCU based on the left SAO merging information, the SAO parameter obtainer **22** may further obtain upper SAO merging information from the bitstream.

The SAO parameter obtainer **22** may determine whether to predict the SAO parameters of the luma component and the first and second chroma components of the current LCU by using the SAO parameters with respect to the luma component and the first and second chroma components of the upper LCU neighboring the current LCU based on the upper SAO merging information.

If the upper SAO merging information indicates that the current SAO parameter is to be predicted by using the SAO parameters of the upper LCU, SAO parameters for each color component with respect to the upper LCU may be adopted as the SAO parameters for each color component of the current LCU, for each color component.

If the upper SAO merging information indicates that the SAO parameters of the current LCU are not to be predicted by using the SAO parameters of the upper LCU, the SAO parameter obtainer **22** may obtain the SAO parameters for each color component of the current LCU from the bitstream.

The SAO parameter obtainer **22** may obtain luma SAO type information for the luma component of the current LCU and chroma SAO type information for the chroma components thereof from the SAO parameters of the LCUs.

The SAO determiner **24** may determine whether to perform the SAO operation on the luma component of the current LCU based on the luma SAO type information. The SAO adjuster **26** may or may not perform the SAO operation on the luma component of the current LCU according to a determination of the SAO determiner **24**.

The SAO determiner **24** may equally determine whether to perform the SAO operation on the first and second chroma components of the current LCU based on the chroma SAO type information. The SAO adjuster **26** may or may not perform the SAO operation on the first and second chroma components of the current LCU according to the determination of the SAO determiner **24**.

The SAO determiner **24** may determine whether to perform the SAO operation based on a first bit of each of the luma SAO type information and the chroma SAO type information. If the SAO operation is determined to be performed for each color component, a second bit and remaining bits of the corresponding SAO type information may be obtained.

The SAO determiner **24** may determine which one of an edge SAO operation and a band SAO operation is performed on the luma component of the current LCU based on the luma SAO type information. The second bit of the luma SAO type information may indicate the edge SAO operation or the band SAO operation. The SAO adjuster **26** may perform one of the edge SAO operation and the band SAO operation on the luma component of the current LCU according to a determination of the SAO determiner **24**.

The SAO determiner **24** may equally determine which one of the edge SAO operation and the band SAO operation is performed on the first and second chroma components of the current LCU based on the chroma SAO type information. The second bit of the chroma SAO type information may indicate the edge SAO operation or the band SAO operation. The SAO adjuster **26** may simultaneously perform the edge SAO operation or the band SAO operation on the first and second chroma

components of the current LCU according to the determination of the SAO determiner **24**.

When the edge SAO operation is determined to be performed on the first and second chroma components of the current LCU, the SAO determiner **24** may determine the first and second chroma components of the current LCU to have the same edge direction based on the chroma SAO type information.

The SAO parameter obtainer **24** may perform CABAC decoding on a first context bin of the luma SAO type information so as to obtain the luma SAO type information. Information indicating whether to perform the SAO operation on the luma component of the current LCU may be obtained by decoding the first context bin of the luma SAO type information.

The SAO parameter obtainer **24** may perform the CABAC decoding on remaining context bins of the luma SAO type information in a bypass mode. Information indicating which one of the edge SAO operation and the band SAO operation is performed on the luma component of the current LCU may be obtained by decoding the remaining context bins of the luma SAO type information.

Similarly, the SAO parameter obtainer **24** may perform the CABAC decoding on a first context bin of the chroma SAO type information so as to obtain the chroma SAO type information. Information indicating whether to perform the SAO operation on the first and second chroma components of the current LCU may be obtained by decoding the first context bin of the chroma SAO type information.

The SAO parameter obtainer **24** may perform the CABAC decoding on remaining context bins of the chroma SAO type information in the bypass mode. Information indicating which one of the edge SAO operation and the band SAO operation is performed on the first and second chroma components of the current LCU may be obtained by decoding the remaining context bins of the chroma SAO type information.

The SAO parameter obtainer **24** may perform the CABAC decoding by using the same context mode so as to obtain the left SAO merging information and the upper SAO merging information of the current LCU.

The SAO parameter obtainer **24** may perform the CABAC decoding in the bypass mode so as to obtain magnitude information of offsets included in the SAO parameters of the current LCU. The obtained magnitude information of offsets may be limited to a value equal to or smaller than a restriction value based on a bit depth of a video. The magnitude information of offsets may indicate offset magnitude within a range based on the bit depth of the video. For example, when the bit depth is 8 bits, the offset magnitude may be equal to greater than 0 and equal to or smaller than 7, and, when the bit depth is 10 bits, the offset magnitude may be equal to greater than 0 and equal to or smaller than 31.

When it is read from a second bit of the chroma SAO type information that the band SAO operation is performed on the current LCU, the SAO parameter obtainer **24** may perform the CABAC decoding, in the bypass mode, on bits of an invariable bit length following the second bit of the chroma SAO type information. Information regarding a band left start position may be obtained from the bits of the invariable bit length of at least one of the luma SAO type information and the chroma SAO type information.

The SAO parameter obtainer **24** may obtain an offset value for the SAO operation from the SAO parameters of the LCUs.

When the band SAO operation is determined to be performed on the current LCU from the luma SAO type information or the chroma SAO type information, if the obtained offset value is not 0, the SAO parameter obtainer **24** may

further obtain sign information of the offset value from the SAO parameters of the LCUs.

When the edge SAO operation is determined to be performed on the current LCU from the luma SAO type information or the chroma SAO type information, a sign of the offset value may be determined based on an edge direction determined based on SAO class information.

The video decoding apparatus **20** may include a central processor (not shown) for collectively controlling the SAO parameter obtainer **22**, the SAO determiner **24**, and the SAO adjuster **26**. Alternatively, the SAO parameter obtainer **22**, the SAO determiner **24**, and the SAO adjuster **26** may be driven by their individual processors (not shown) that cooperatively operate to control the video decoding apparatus **20**. Alternatively, an external processor (not shown) outside the video decoding apparatus **20** may control the SAO parameter obtainer **22**, the SAO determiner **24**, and the SAO adjuster **26**.

The video decoding apparatus **20** may include one or more data storages (not shown) for storing input and output data of the SAO parameter obtainer **22**, the SAO determiner **24**, and the SAO adjuster **26**. The video decoding apparatus **20** may include a memory controller (not shown) for managing data input and output to and from the data storages.

In order to perform a video decoding operation to reconstruct a video, the video decoding apparatus **20** may operate in association with an internal or external video decoding processor. The internal video decoding processor of the video decoding apparatus **20** may be an independent processor for performing a basic video decoding operation. Also, the video decoding apparatus **20**, a central processing unit, or a graphic processing unit may include a video decoding processor module to perform a basic video decoding operation.

Video decoding operations using SAO operations will now be described in detail with reference to FIG. 3. FIG. 3 is a block diagram of a video decoding apparatus **30** according to one or more exemplary embodiments.

The video decoding apparatus **30** includes an entropy decoder **31**, an inverse quantizer **32**, i.e. a dequantizer, an inverse transformer **33**, a reconstructor **34**, an intra predictor **35**, a reference picture buffer **36**, a motion compensator **37**, a deblocking filter **38**, and an SAO performer **39**, i.e. an SAO filter.

The video decoding apparatus **30** may receive a bitstream including encoded video data. The entropy decoder **31** may parse intra mode information, inter mode information, SAO information, and residues from the bitstream.

The residues extracted by the entropy decoder **31** may be quantized transformation coefficients. Accordingly, the dequantizer **32** may perform dequantization on the residues to reconstruct transformation coefficients, and the inverse transformer **33** may perform inverse transformation on the reconstructed coefficients to reconstruct residual values of the space domain.

In order to predict and reconstruct the residual values of the space domain, intra prediction or motion compensation may be performed.

If the intra mode information is extracted by the entropy decoder **31**, the intra predictor **35** may determine reference samples to be referred to, to reconstruct current samples from among samples spatially adjacent to the current samples, by using the intra mode information. The reference samples may be selected from among samples previously reconstructed by the reconstructor **34**. The reconstructor **34** may reconstruct the current samples by using the reference samples determined based on the intra mode information and the residual values reconstructed by the inverse transformer **33**.

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If the inter mode information is extracted by the entropy decoder 31, the motion compensator 37 may determine a reference picture to be referred to, to reconstruct current samples of a current picture from among pictures reconstructed previously to the current picture, by using the inter mode information. The inter mode information may include motion vectors, reference indices, etc. By using the reference indices, from among pictures reconstructed previously to the current picture and stored in the reference picture buffer 36, a reference picture to be used to perform motion compensation on the current samples may be determined. By using the motion vectors, a reference block of the reference picture to be used to perform motion compensation on a current block may be determined. The reconstructor 34 may reconstruct the current samples by using the reference block determined based on the inter mode information and the residual values reconstructed by the inverse transformer 33.

The reconstructor 34 may reconstruct samples and may output reconstructed pixels. The reconstructor 34 may generate reconstructed pixels of each of the LCUs based on coding units having a tree structure.

The deblocking filter 38 may perform filtering for reducing a blocking phenomenon of pixels disposed at edge regions of the LCU or each of the coding units having a tree structure.

Also, the SAO performer 39 may adjust offsets of reconstructed pixels of each LCU according to an SAO operation. The SAO performer 39 may determine an SAO type, an SAO class, and offset values of a current LCU based on the SAO information extracted by the entropy decoder 31.

An operation of extracting the SAO information by the entropy decoder 31 may correspond to an operation of the SAO parameter extractor 22 of the video decoding apparatus 20, and operations of the SAO performer 39 may correspond to operations of the offset determiner 24 and the offset adjuster 26 of the video decoding apparatus 20.

The SAO performer 39 may determine signs and difference values of the offset values with respect to the reconstructed pixels of the current LCU based on the offset values determined from the SAO information. The SAO performer 39 may reduce errors between the reconstructed pixels and original pixels by increasing or reducing pixel values of the reconstructed pixels by the difference values determined based on the offset values.

A picture including the reconstructed pixels offset-adjusted by the SAO performer 39 may be stored in the reference picture buffer 36. Thus, by using a reference picture having minimized errors between reconstructed samples and original pixels according to an SAO operation, motion compensation may be performed on a next picture.

According to the SAO operations, based on difference values between reconstructed pixels and original pixels, an offset of a pixel group including the reconstructed pixels may be determined. For the SAO operations, exemplary embodiments for classifying reconstructed pixels into pixel groups will now be described in detail.

According to SAO operations, pixels may be classified (i) based on an edge type of reconstructed pixels, or (ii) a band type of reconstructed pixels. Whether pixels are classified based on an edge type or a band type may be defined by using an SAO type.

An exemplary embodiment of classifying pixels based on an edge type according to SAO operations will now be described in detail.

When edge-type offsets of a current LCU are determined, an edge class of each of reconstructed pixels included in the current LCU may be determined. That is, by comparing pixel values of current reconstructed pixels and adjacent pixels, an

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edge class of the current reconstructed pixels may be defined. An example of determining an edge class will now be described with reference to FIG. 4.

FIG. 4 is a table showing edge classes of edge types, according to one or more exemplary embodiments.

Indices 0, 1, 2, and 3 may be sequentially allocated to edge classes 41, 42, 43, and 44. If an edge type frequently occurs, a small index may be allocated to the edge type.

An edge class may indicate a direction of 1-dimensional edges formed between a current reconstructed pixel X0 and two adjacent pixels. The edge class 41 having the index 0 indicates a case when edges are formed between the current reconstructed pixel X0 and two horizontally adjacent pixels X1 and X2. The edge class 42 having the index 1 indicates a case when edges are formed between the current reconstructed pixel X0 and two vertically adjacent pixels X3 and X4. The edge class 43 having the index 2 indicates a case when edges are formed between the current reconstructed pixel X0 and two 135°-diagonally adjacent pixels X5 and X8. The edge class 44 having the index 3 indicates a case when edges are formed between the current reconstructed pixel X0 and two 45°-diagonally adjacent pixels X6 and X7.

Accordingly, by analyzing edge directions of reconstructed pixels included in a current LCU and thus determining a strong edge direction in the current LCU, an edge class of the current LCU may be determined.

With respect to each edge class, categories may be classified according to an edge shape of a current pixel. An example of categories according to edge shapes will now be described with reference to FIGS. 5A and 5B.

FIGS. 5A and 5B are a table and a graph showing categories of edge types, according to one or more exemplary embodiments.

An edge category indicates whether a current pixel corresponds to a lowest point of a concave edge, a pixel disposed at a curved corner around a lowest point of a concave edge, a highest point of a convex edge, or a pixel disposed at a curved corner around a highest point of a convex edge.

FIG. 5A exemplarily shows conditions for determining categories of edges. FIG. 5B exemplarily shows edge shapes between a reconstructed pixel and adjacent pixels and their pixel values c, a, and b.

C indicates an index of a current reconstructed pixel, and a and b indicate indices of adjacent pixels at two sides of the current reconstructed pixel according to an edge direction. Xa, Xb, and Xc respectively indicate pixel values of reconstructed pixels having the indices a, b, and c. In FIG. 5B, an x axis indicate indices of the current reconstructed pixel and the adjacent pixels at two sides of the current reconstructed pixel, and a y axis indicate pixel values of samples.

Category 1 indicates a case when a current sample corresponds to a lowest point of a concave edge, i.e., a local valley. As shown in graph 51 ($X_c < X_a$ && $X_c < X_b$), if the current reconstructed pixel c between the adjacent pixels a and b corresponds to a lowest point of a concave edge, the current reconstructed pixel may be classified as the category 1.

Category 2 indicates a case when a current sample is disposed at a curved corner around a lowest point of a concave edge, i.e., a concave corner. As shown in graph 52 ($X_c < X_a$ && $X_c = X_b$), if the current reconstructed pixel c between the adjacent pixels a and b is disposed at an end point of a downward curve of a concave edge or, as shown in graph 53 ($X_c = X_a$ && $X_c < X_b$), if the current reconstructed pixel c is disposed at a start position of an upward curve of a concave edge, the current reconstructed pixel may be classified as the category 2.

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Category 3 indicates a case when a current sample is disposed at a curved corner around a highest point of a convex edge, i.e., a convex corner. As shown in graph 54 ($X_c > X_b$ & & $X_c = X_a$), if the current reconstructed pixel c between the adjacent pixels a and b is disposed at a start position of a downward curve of a convex edge or, as shown in graph 55 ($X_c = X_b$ & & $X_c > X_a$), if the current reconstructed pixel c is disposed at an end point of an upward curve of a convex edge, the current reconstructed pixel may be classified as the category 3.

Category 4 indicates a case when a current sample corresponds to a highest point of a convex edge, i.e., a local peak. As shown in graph 56 ($X_c > X_a$ & & $X_c > X_b$), if the current reconstructed pixel c between the adjacent pixels a and b corresponds to a highest point of a convex edge, the current reconstructed pixel may be classified as the category 4.

If the current reconstructed pixel does not satisfy any of the conditions of the categories 1, 2, 3, and 4, the current reconstructed pixel does not correspond to an edge and thus is classified as category 0, and an offset of category 0 does not need to be encoded.

According to one or more exemplary embodiments, with respect to reconstructed pixels corresponding to the same category, an average value of difference values between the reconstructed pixels and original pixels may be determined as an offset of a current category. Also, offsets of all categories may be determined.

The concave edges of the categories 1 and 2 may be smoothed if reconstructed pixel values are adjusted by using positive offset values, and may be sharpened due to negative offset values. The convex edges of the categories 3 and 4 may be smoothed due to negative offset values and may be sharpened due to positive offset values.

The video encoding apparatus 10 may not allow the sharpening effect of edges. Here, the concave edges of the categories 1 and 2 need positive offset values, and the convex edges of the categories 3 and 4 need negative offset values. In this case, if a category of an edge is known, a sign of an offset value may be determined. Accordingly, the video encoding apparatus 10 may not transmit the sign of the offset value and may transmit only an absolute value of the offset value. Also, the video decoding apparatus 20 may not receive the sign of the offset value and may receive only an absolute value of the offset value.

Accordingly, the video encoding apparatus 10 may encode and transmit offset values according to categories of a current edge class, and the video decoding apparatus 20 may adjust reconstructed pixels of the categories by the received offset values.

For example, if an offset value of an edge type is determined as 0, the video encoding apparatus 10 may transmit only zero value information as the offset value.

For example, if an offset value of an edge type is not 0, the video encoding apparatus 10 may transmit zero value information and an absolute value as the offset value. A sign of the offset value does not need to be transmitted.

The video decoding apparatus 20 reads the zero value information from the received offset value, and may read the absolute value of the offset value if the offset value is not 0. The sign of the offset value may be predicted according to an edge category based on an edge shape between a reconstructed pixel and adjacent pixels.

Accordingly, the video encoding apparatus 10 may classify pixels according to edge directions and edge shapes, may determine an average error value between pixels having the same characteristics as an offset value, and may determine offset values according to categories. The video encoding

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apparatus 10 may encode and transmit SAO type information indicating an edge type, SAO class information indicating an edge direction, and the offset values.

The video decoding apparatus 20 may receive the SAO type information, the SAO class information, and the offset values, and may determine an edge direction according to the SAO type information and the SAO class information. The video decoding apparatus 20 may determine an offset value of reconstructed pixels of a category corresponding to an edge shape according to the edge direction, and may adjust pixel values of the reconstructed pixels by the offset value, thereby minimizing an error between an original image and a reconstructed image.

An exemplary embodiment of classifying pixels based on a band type according to SAO operations will now be described in detail.

According to one or more exemplary embodiments, each of pixel values of reconstructed pixels may belong to one of a plurality of bands. For example, the pixel values may have a total range from a minimum value Min of 0 to a maximum value Max of $2^p - 1$ according to p-bit sampling. If the total range (Min, Max) of the pixel values is divided into K intervals, each interval of the pixel values is referred to as a band. If B_k indicates a maximum value of a kth band, bands $[B_0, B_1 - 1]$, $[B_1, B_2 - 1]$, $[B_2, B_3 - 1]$, . . . , and $[B_{K-1}, B_K]$ may be divided. If a pixel value of a current reconstructed pixel Rec (x,y) belongs to the band $[B_{k-1}, B_k]$, a current band may be determined as k. The bands may be uniformly or not uniformly divided.

For example, if pixel values are classified into equal 8-bit pixel bands, the pixel values may be divided into 32 bands. In more detail, they may be classified into bands $[0, 7]$, $[8, 15]$, . . . , $[240, 247]$, and $[248, 255]$.

From among a plurality of bands classified according to a band type, a band to which each of pixel values of reconstructed pixels belongs may be determined. Also, an offset value indicating an average of errors between original pixels and reconstructed pixels in each band may be determined.

Accordingly, the video encoding apparatus 10 and the video decoding apparatus 20 may encode and transmit an offset corresponding to each of bands classified according to a current band type, and may adjust reconstructed pixels by the offset.

Accordingly, with respect to a band type, the video encoding apparatus 10 and the video decoding apparatus 20 may classify reconstructed pixels according to bands to which their pixel values belong, may determine an offset as an average of error values of reconstructed pixels that belong to the same band, and may adjust the reconstructed pixels by the offset, thereby minimizing an error between an original image and a reconstructed image.

When an offset according to a band type is determined, the video encoding apparatus 10 and the video decoding apparatus 20 may classify reconstructed pixels into categories according to a band position. For example, if the total range of the pixel values is divided into K bands, categories may be indexed according to a band index k indicating a kth band. The number of categories may be determined to correspond to the number of bands.

However, in order to reduce an amount of data transmitted and received, the video encoding apparatus 10 and the video decoding apparatus 20 may restrict the number of categories used to determine offsets according to SAO operations. For example, a predetermined number of bands that are continuous from a band having a predetermined start position in a

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direction in which a band index is increased may be allocated as categories, and only an offset of each category may be determined.

For example, if a band having an index of **12** is determined as a start band, four bands from the start band, i.e., bands having indices of **12**, **13**, **14**, and **15** may be allocated as categories **1**, **2**, **3**, and **4**. Accordingly, an average error between reconstructed pixels and original pixels included in a band having the index of **12** may be determined as an offset of category **1**. Likewise, an average error between reconstructed pixels and original pixels included in a band having the index of **13** may be determined as an offset of category **2**, an average error between reconstructed pixels and original pixels included in a band having the index of **14** may be determined as an offset of category **3**, and an average error between reconstructed pixels and original pixels included in a band having the index of **15** may be determined as an offset of category **4**.

In this case, information regarding a band range start position, i.e., a left band position, is required to determine positions of bands allocated as categories. Accordingly, the video encoding apparatus **10** may encode and transmit the information about the start band position as the SAO class. The video encoding apparatus **10** may encode and transmit an SAO type indicating a band type, an SAO class, and offset values according to categories.

The video decoding apparatus **20** may receive the SAO type, the SAO class, and the offset values according to the categories. If the received SAO type is a band type, the video decoding apparatus **20** may read a start band position from the SAO class. The video decoding apparatus **20** may determine a band to which reconstructed pixels belong, from among four bands from the start band, may determine an offset value allocated to a current band from among the offset values according to the categories, and may adjust pixel values of the reconstructed pixels by the offset value.

Hereinabove, an edge type and a band type are introduced as SAO types, and an SAO class and a category according to the SAO type are described in detail. SAO parameters encoded and transmitted by the video encoding apparatus **10** and received by the video decoding apparatus **20** will now be described in detail.

The video encoding apparatus **10** and the video decoding apparatus **20** may determine an SAO type according to a pixel classification method of reconstructed pixels of each LCU.

The SAO type may be determined according to image characteristics of each block. For example, with respect to an LCU including a vertical edge, a horizontal edge, and a diagonal edge, in order to change edge values, offset values may be determined by classifying pixel values according to an edge type. With respect to an LCU not including an edge region, offset values may be determined according to band classification. Accordingly, the video encoding apparatus **10** and the video decoding apparatus **20** may signal the SAO type with respect to each of LCUs.

The video encoding apparatus **10** and the video decoding apparatus **20** may determine SAO parameters with respect to each LCU. That is, SAO types of reconstructed pixels of an LCU may be determined, the reconstructed pixels of the LCU may be classified into categories, and offset values may be determined according to the categories.

From among the reconstructed pixels included in the LCU, the video encoding apparatus **10** may determine an average error of reconstructed pixels classified into the same category, as an offset value. An offset value of each category may be determined.

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According to one or more exemplary embodiments, the SAO parameters may include an SAO type, offset values, and an SAO class. The video encoding apparatus **10** and the video decoding apparatus **20** may transmit the SAO parameters determined with respect to each LCU.

From among SAO parameters of an LCU, the video encoding apparatus **10** may encode and transmit the SAO type and the offset values. If the SAO type is an edge type, the video encoding apparatus **10** may further transmit an SAO class indicating an edge direction, which is followed by the SAO type and the offset values according to categories. If the SAO type is a band type, the video encoding apparatus **10** may further transmit an SAO class indicating a start band position, which is followed by the SAO type and the offset values according to categories.

The video decoding apparatus **20** may receive the SAO parameters of each LCU, which includes the SAO type, the offset values, and the SAO class. Also, the video decoding apparatus **20** may select an offset value of a category to which each reconstructed pixel belongs, from among the offset values according to categories, and may adjust the reconstructed pixel by the selected offset value.

An exemplary embodiment of signaling offset values from among SAO parameters will now be described.

In order to transmit the offset values, the video encoding apparatus **10** may further transmit zero value information. According to the zero value information, sign information and a remainder may be further transmitted.

The zero value information may be a 1-bit flag. That is, a '0' flag indicating that the offset value is **0** or a '1' flag indicating that the offset value is not **0** may be transmitted.

If the zero value information is the '0' flag, the sign information or the remainder does not need to be encoded. However, if the zero value information is the '1' flag, the sign information and the remainder may be further transmitted.

However, as described above, with respect to the edge type, since the offset value may be predicted as a positive number or a negative number according to a category, the sign information does not need to be transmitted. Accordingly, if the zero value information is the '1' flag, the remainder may be further transmitted.

According to one or more exemplary embodiments, an offset value Offset may be previously restricted within a range from a minimum value MinOffset and a maximum value MaxOffset before the offset value is determined ($\text{MinOffset} \leq \text{Offset} \leq \text{MaxOffset}$).

For example, with respect to an edge type, offset values of reconstructed pixels of categories **1** and **2** may be determined within a range from a minimum value of **0** to a maximum value of **7**. With respect to the edge type, offset values of reconstructed pixels of categories **3** and **4** may be determined within a range from a minimum value of **-7** to a maximum value of **0**.

For example, with respect to a band type, offset values of reconstructed pixels of all categories may be determined within a range from a minimum value of **-7** to a maximum value of **7**.

In order to reduce transmission bits of an offset value, a remainder may be restricted to a p-bit value instead of a negative number. In this case, the remainder may be greater than or equal to **0** and may be less than or equal to a difference value between the maximum value and the minimum value ($0 \leq \text{Remainder} \leq \text{MaxOffset} - \text{MinOffset} + 1 \leq 2^p$). If the video encoding apparatus **10** transmits the remainder and the video decoding apparatus **20** knows at least one of the maximum

value and the minimum value of the offset value, an original offset value may be reconstructed by using only the received remainder.

FIGS. 6A through 6C show relationships between first and second chroma components **61** and **62**.

During operations of encoding and decoding a video of a color image, image information is generally classified into a luma component and first and second chroma components for each color component and stored in a memory. In FIGS. 6A through 6C, the first and second chroma components **61** and **62** are stored in the memory in an interleaving order among color components of the same image block.

FIG. 6A shows samples that are referred to among neighboring samples of a left block and an upper block when an intra prediction is performed on the first and second chroma components **61** and **62**. The first chroma component **61** may refer to a first chroma component **65** neighboring the left block or a first chroma component **63** neighboring the upper block. The second chroma component **62** may refer to a second chroma component **66** neighboring the left block or a second chroma component **64** neighboring the upper block.

However, in the intra prediction, the first and second chroma components **61** and **62** may share an intra prediction direction. Thus, the intra prediction may be simultaneously determined for the first and second chroma components **61** and **62** by obtaining the first and second chroma components **63**, **64**, **65**, and **66** of the left block or the upper block that are stored in the memory in the interleaving order.

When a motion compensation is performed, a luma component and the first and second chroma components **61** and **62** of the same image block share a motion vector, and thus an inter prediction may be simultaneously performed on the first and second chroma components **61** and **62**.

When a loop filtering is performed, filters having the same size and coefficient are used for the first and second chroma components **61** and **62**, and thus the loop filtering may be simultaneously performed on the first and second chroma components **61** and **62**.

For example, when an edge type SAO operation is performed, relationships between SAO operations with respect to the first and second chroma components **61** and **62** will now be described with reference to FIGS. 6B and 6C.

It is assumed like FIG. 6B that an SAO edge direction of a current first chroma component **611** is determined as a vertical direction, and the SAO edge direction of a current second chroma component **612** is differently determined as a horizontal direction. To perform an SAO operation on the current first chroma component **611**, first chroma components **613** and **615** disposed above and below the current first chroma component **611** need to be obtained from the memory. To perform the SAO operation on the current second chroma component **612**, second chroma components **623** and **625** disposed left and right the current second chroma component **612** need to be obtained from the memory.

The first and second chroma components **61** and **62** are stored in the memory in the interleaving order, and thus samples stored in different directions may not be simultaneously obtained from the memory through a de-interleaving process. After the SAO operation is performed on the first chroma component **61** through the de-interleaving process, the SAO operation is performed on the second chroma component **62**, and then the de-interleaving process needs to be performed.

Thus, when SAO edge directions are different, the SAO operation may not be simultaneously performed on the first and second chroma component **61** and **62**. If the SAO operation is sequentially performed on the first and second chroma

component **61** and **62**, latency occurs during parallel processing of video coding, which may result in a delay in entire video coding operations.

However, it is assumed like FIG. 6C that the SAO edge directions of the current first chroma component **611** and the current second chroma component **612** are both determined as the horizontal directions. To perform the SAO operation on the current first chroma component **611**, first chroma components **617** and **619** disposed left and right the current first chroma component **611** may be obtained from the memory. To perform the SAO operation on a current second chroma component **621**, the second chroma components **623** and **625** disposed left and right the current second chroma component **621** may be obtained from the memory. In this case, samples stored in the same direction may be simultaneously obtained from the memory, and thus the SAO operation may be simultaneously performed on the first and second chroma component **61** and **62**.

Thus, if the first and second chroma component **61** and **62** share an SAO type as shown in FIG. 6C, parallel processing latency may be prevented in advance, and a bit number of SAO parameters with respect to chroma components may be reduced two times.

SAO merging information among SAO parameters according to exemplary embodiments will now be described in detail below.

SAO types and/or offset values of adjacent blocks may be probably the same. The video encoding apparatus **10** may compare SAO parameters of a current block to SAO parameters of adjacent blocks and may merge and encode the SAO parameters of the current block and the adjacent blocks if the SAO parameters are the same. If the SAO parameters of the adjacent block are previously encoded, the SAO parameters of the adjacent block may be adopted as the SAO parameters of the current block. Accordingly, the video encoding apparatus **10** may not encode the SAO parameters of the current block and may encode only the SAO merging information of the current block.

Before the SAO parameters are parsed from a received bitstream, the video decoding apparatus **20** may initially parse the SAO merging information and may determine whether to parse the SAO parameters. The video decoding apparatus **20** may determine whether an adjacent block having the same SAO parameters as those of the current block exists based on the SAO merging information.

For example, if an adjacent block having the same SAO parameters as those of the current block exists based on the SAO merging information, the video decoding apparatus **20** may not parse the SAO parameters of the current block and may adopt reconstructed SAO parameters of the adjacent block as the SAO parameters of the current block. Accordingly, the video decoding apparatus **20** may reconstruct the SAO parameters of the current block to be the same as those of the adjacent block. Also, based on the SAO merging information, an adjacent block having SAO parameters to be referred to may be determined.

For example, if the SAO parameters of the adjacent blocks are different from the SAO parameters of the current block based on the SAO merging information, the video decoding apparatus **20** may parse and reconstruct the SAO parameters of the current block from the bitstream.

FIG. 7A is a diagram showing adjacent LCUs **652** and **653** as referable for merging SAO parameters, according to one or more exemplary embodiments.

The video encoding apparatus **10** may determine a candidate list of adjacent LCUs to be referred to predict SAO parameters of a current LCU **651** from among adjacent LCUs

reconstructed prior to the current LCU **651**. The video encoding apparatus **10** may compare SAO parameters of the current LCU **651** and the adjacent LCUs in the candidate list.

For example, simply, the left and upper LCUs **653** and **652** of the current LCU **651** in a current picture **65** may be included in the candidate list.

Accordingly, the video encoding apparatus **10** may compare SAO parameters of the adjacent LCUs included in the candidate list to those of the current LCU **651** according to a reference order. For example, the SAO parameters may be compared to those of the current LCU **651** in the order of the left LCU **653** and the upper LCU **652**. From among the compared left and upper LCUs **653** and **652**, an LCU having the same SAO parameters as those of the current LCU **651** may be determined as a reference LCU.

In order to predict the SAO parameters of the current LCU **651**, the video encoding apparatus **10** and the video decoding apparatus **20** may refer to the same adjacent LCUs. Also, SAO merging information indicating an LCU having SAO parameters to be referred to may be transmitted and obtained. The video decoding apparatus **20** may select one of the adjacent LCUs based on the SAO merging information, and may reconstruct the SAO parameters of the current LCU **651** to be the same as those of the selected adjacent LCU.

For example, it is assumed that the left and upper LCUs **653** and **652** are referred to. The SAO parameter encoder **16** may encode left SAO merging information indicating whether the SAO parameters of the left LCU **653** of the current LCU **651** are the same as those of the current LCU **651**, and upper SAO merging information indicating whether the SAO parameters of the upper LCU **652** are the same as those of the current LCU **651**, as the SAO merging information. In this case, the SAO parameters of the current LCU **651** and the left LCU **653** may be initially compared to determine whether they are the same, and then the SAO parameters of the current LCU **651** and the upper LCU **652** may be compared to determine whether they are the same. According to a comparison result, the SAO merging information may be determined.

If the SAO parameters of at least one of the left and upper LCUs **653** and **652** are the same as those of the current LCU **651**, the SAO parameter encoder **16** may encode only the left or upper SAO merging information and may not encode the SAO parameters of the current LCU **651**.

If the SAO parameters of both of the left and upper LCUs **653** and **652** are different from those of the current LCU **651**, the SAO parameter encoder **16** may encode the left or upper SAO merging information and the SAO parameters of the current LCU **651**.

SAO parameters according to color components will now be described in detail.

The video encoding apparatus **10** and the video decoding apparatus **20** may mutually predict SAO parameters between color components.

The video encoding apparatus **10** and the video decoding apparatus **20** may perform an SAO operation on all of a luma block and chroma blocks in a YCrCb color format. Offset values of a luma component Y and chroma components Cr and Cb of a current LCU may be determined, respectively.

For example, common SAO merging information may be applied to the Y component, the Cr component, and the Cb component of the current LCU. That is, based on one piece of SAO merging information, it may be determined whether SAO parameters of the Y component are the same as those of the Y component of an adjacent LCU, it may be determined whether SAO parameters of the Cr component of the current LCU are the same as those of the Cr component of the adjacent LCU, and it may be determined whether SAO parameters

of the Cb component of the current LCU are the same as those of the Cb component of the adjacent LCU.

For example, common SAO type information may be applied to the Cr component and the Cb component of the current LCU. That is, based on one piece of SAO type information, it may be determined whether SAO operation is simultaneously performed on the Cr component and the Cb component or not. Based on one piece of SAO type information, it may also be determined whether offset values of the Cr component and the Cb component are determined according to an edge type or a band type. If the SAO type is the edge type based on one piece of SAO type information, the Cr component and the Cb component may share the same edge direction.

Based on one piece of SAO type information, the Cr component and the Cb component may also share the same SAO class. If the SAO type is the edge type based on one piece of SAO type information, the Cr component and the Cb component may share the same edge direction. If the SAO type is the band type based on one piece of SAO type information, the Cr component and the Cb component may share the same left band start position.

Syntax structures in which SAO parameters according to color components of a current LCU are defined will now be described in detail with reference to FIGS. 7B through 7F below. The video decoding apparatus **20** may parse syntax shown in FIGS. 7B through 7F, obtain the SAO parameters, and perform an SAO operation.

FIG. 7B shows syntax structures of a slice header **700** and slice data **705** according to one or more exemplary embodiments.

The slice header **700** according to an exemplary embodiment includes one or more parameters **701**, **702**, and **703** indicating whether SAO operation is performed on a current slice.

The video decoding apparatus **20** may obtain 'slice_sample_adaptive_offset_flag[0]' **701** from the slice header **700** and determine whether to perform the SAO operation on a luma component.

If the SAO operation for the luma component is performed, the video decoding apparatus **20** may obtain 'slice_sample_adaptive_offset_flag[1]' **702** from the slice header **700** and determine whether to perform the SAO operation on a first chroma component.

In this regard, the video decoding apparatus **20** may not further obtain a parameter indicating whether to perform the SAO operation on a second chroma component from the slice header **700**. Information 'slice_sample_adaptive_offset_flag[2]' **703** indicating whether to perform the SAO operation on the second chroma component may be predicted from the 'slice_sample_adaptive_offset_flag[1]' **702** obtained from the slice header **700**. Thus, the SAO operation may or may not be simultaneously performed on the first and second chroma components.

The video decoding apparatus **20** may determine whether to obtain an SAO parameter **706** according to LCUs from the slice data **705** based on 'slice_sample_adaptive_offset_flag[0]' **701**, 'slice_sample_adaptive_offset_flag[1]' **702**, and 'slice_sample_adaptive_offset_flag[2]' **703** that are determined from the slice header **700**.

FIGS. 7C and 7D show syntax structures of SAO parameters **706** and **709** with respect to LCUs according to one or more exemplary embodiments.

The video decoding apparatus **20** may obtain left SAO merging information **707** from the SAO parameter **706** 'sao_unit_cabac(rx, ry, cldx)' with respect to LCUs. In this regard, the common left SAO merging information **707**

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'sao_merge_left_flag [rx][ry]' may be obtained without distinction of a luma component and first and second chroma components. Accordingly, the video decoding apparatus 20 may simultaneously and equally determine whether to use an SAO parameter of a left LCU as SAO parameters of a luma component and first and second chroma components of a current LCU based on the common left SAO merging information 707.

If it is determined that the SAO parameter of the left LCU is not referred to based on the left SAO merging information 707, the video decoding apparatus 20 may obtain upper SAO merging information 708 'sao_merge_up_flag [rx][ry]' from the SAO parameter 706 with respect to the LCUs. Likewise, the common left SAO merging information 707 may be obtained without distinction of the luma component and the first and second chroma components. Accordingly, the video decoding apparatus 20 may simultaneously determine whether to use an SAO parameter of an upper LCU as SAO parameters of the luma component and the first and second chroma components of the current LCU based on the common upper SAO merging information 708.

If it is determined that the SAO parameter of the upper LCU is not also referred to based on the upper SAO merging information 708, the video decoding apparatus 20 may directly obtain a current SAO parameter 709 with respect to the current LCU from the SAO parameter 706 with respect to the LCUs.

The current SAO parameter 709 may include SAO type information 711 of the current LCU. The video decoding apparatus 20 may obtain the SAO type information 711 separately defined with respect to a luma component and chroma components from the current SAO parameter 709. Thus, the common SAO type information 711 'sao_type_idx [cldx][rx][ry]' may be obtained with respect to the first and second chroma components. For example, if the SAO type information 711 is obtained with respect to the first chroma component of the current LCU, SAO type information with respect to the second chroma component may be predicted from the SAO type information 711 with respect to the second chroma component.

1 bit indicating whether SAO operation is performed on the current LCU may be obtained from the SAO type information 711. If it is determined that the SAO operation is performed based on a first 1 bit, a second 1 bit may be obtained from the SAO type information 711, and it may be determined whether the SAO type of the current LCU is an edge type or a band type from the second 1 bit.

If the second 1 bit of the SAO type information 711 is determined to be the edge type, the video decoding apparatus 20 may obtain information regarding an edge category from remaining bits of the SAO type information 711.

If the second 1 bit of the SAO type information 711 is determined to be the band type, the video decoding apparatus 20 may obtain information regarding a band category from the remaining bits of the SAO type information 711.

The video decoding apparatus 20 may determine whether to perform the SAO operation on the luma component of the current LCU based on the 1 bit of the SAO type information 711 regarding the luma component. The video decoding apparatus 20 may determine whether to perform the SAO operation on the first and second chroma components of the current LCU based on the 1 bit of the SAO type information 711 regarding the chroma components.

If it is determined that the SAO operation on the luma component or the chroma components of the current LCU is not performed based on the SAO type information 711 for the luma component or the chroma components, a next bit is not

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obtained from the SAO type information 711. The SAO type information 711 may be received in a truncated unary code form.

Only one piece of the SAO type information 711 for the chroma components according to an exemplary embodiment is encoded, the SAO type information 711 determined for the first chroma component may be determined as SAO type information for the second chroma component.

The video decoding apparatus 20 may obtain edge class information for the luma component and edge class information for the chroma components from the SAO parameter 709 'sao_offset_cabac(rx, ry, cldx)' with respect to the current LCU. An edge class may indicate four edge directions including a horizontal edge direction (0°), a vertical edge direction (90°), a 135° diagonal edge direction, and a 45° diagonal edge direction, and thus the edge class may be defined as 2 bits.

FIG. 7F shows a syntax structure of SAO parameters with respect to SAO types according to one or more exemplary embodiments. Referring to FIGS. 7D and 7E, if an SAO operation is performed based on the SAO type information 711, the SAO parameters 706 and 709 may further include at least one of an offset value 713 'sao_offset[cldx][rx][ry][i]' and offset sign information 715 'sao_offset_sign[cldx][rx][ry][i]'.

Context modeling for CABAC encoding of the offset value 713 will be described with reference to FIG. 7E. FIG. 7E shows a syntax structure of context information for CABAC encoding of SAO parameters according to one or more exemplary embodiments.

That is, as shown in FIGS. 7D and 7E, the video decoding apparatus 20 does not obtain the offset value 713 from both the SAO parameters 706 and 709 but may firstly obtain a first 1 bit 721 'sao_offset_abs_1st_bin[cldx][rx][ry][i]' of the magnitude of the offset value 713 as shown in FIG. 7E. When the first 1 bit is not 0 since the offset value 713 is not 0, the video decoding apparatus 20 obtain remaining bits 723 'sao_offset_abs_remain_bins[cldx][rx][ry][i]' of the magnitude of the offset value 713.

The first 1 bit and the remaining bits of the offset value 713 are separated from each other, and thus the remaining bits may be CABAC encoded in a bypass mode.

Only when the offset value 713 is not 0, the video decoding apparatus 20 may obtain the offset sign information 715 'sao_offset_sign[cldx][rx][ry][i]' of the offset value 713 from the SAO parameters 706 and 709.

The offset sign information 715 'sao_offset_sign[cldx][rx][ry][i]' may be obtained only when an SAO type is not a band type and the offset value 713 is not 0. When the SAO type is an edge type, a sign of the offset value 713 may be determined according to whether an edge class is a local peak, a local valley, a concave edge, or a convex edge.

Referring to FIG. 7F, when the SAO type is the band type, information 717 'sao_band_position[cldx][rx][ry]' regarding a left band start position as well as the offset sign information 715 may be obtained from the SAO parameter 706.

The video decoding apparatus 20 may perform CABAC encoding on the SAO parameters 706 and 709. To perform the CABAC encoding on the SAO parameters 706 and 709, context modeling with respect to the left SAO merging information 707, the upper SAO merging information 708, information regarding the offset value 713, and the SAO type information 711 among the SAO parameters 706 and 709 may be performed.

The absolute value magnitude of the offset value 713 in the information regarding the offset value 713 may be restricted

according to a bit depth. A largest value of the absolute value magnitude may be determined according to an equation below.

$$\text{Offset_abs_max} = (1 < (\text{Min}(\text{bitDepth}, 10) - 5)) - 1$$

For example, in 8-bit bit depth decoding, the absolute value magnitude of the offset value **713** may be from 0 to 7. For another example, in 10-bit bit depth decoding, the absolute value magnitude of the offset value **713** may be 0 and 31.

To guarantee the magnitude restriction of the offset value **713**, the information regarding the offset value **713** may be encoded by using the truncated unary code.

The video decoding apparatus **20** may use only the context model with respect to the first 1 bit of the information regarding the offset value **713**. The video decoding apparatus **20** may perform CABAC decoding on the remaining bits of the information regarding the offset value **713** in the bypass mode.

The SAO type information **711** includes values from 0 to 5. CABAC decoding using 2 context models may be performed on the first 1 bit of the SAO type information **711** indicating whether to perform the SAO operation of the current LCU. CABAC decoding may be performed on the remaining bits of the SAO type information **711** other than the first 1 bit in the bypass mode.

The left SAO merging information **707** may be CABAC decoded by using a single context model shared by the luma component and the first and second chroma components. The upper SAO merging information **708** may be CABAC decoded by using the single context model shared by the luma component and the first and second chroma components.

Therefore, a total number of 5 context models may be used to perform CABAC decoding on the SAO parameters **706** and **709**. Thus, three context models may be reduced compared to a case where context models are determined with respect to all bins of the offset value **713**, and the left SAO merging information **707** is not shared for color components. An amount of data storage that needs to be stored in a memory may be reduced owing to the reduction in the context models for CABAC decoding. Bins of a plurality of SAO parameters are CABAC encoded in the bypass mode, and thus an amount of CABAC calculation and transmission bits may be reduced.

The information **717** 'sao_band_position[cldx][rx][ry]' regarding the left band start position included in the SAO parameter **709** has a 5-bit invariable bit length and a largest value of 31. The video decoding apparatus **20** may perform CABAC decoding on the information **717** regarding the left band start position in a bypass mode of the invariable bit length.

A process of parsing various pieces of SAO related information from SAO parameters through CABAC decoding will now be described below.

An SAO type of a luma component is parsed from SAO parameters. If the SAO type is an off type (OFF), since offset adjustment according to SAO operations is not performed on the luma component, SAO parameters of a chroma component may be parsed.

If the SAO type of the luma component is an edge type (EO), luma offset values of four categories may be parsed. The offset values of the edge type may be parsed without sign information. A luma edge class (Luma EO class) of 2 bits may be parsed from SAO parameters. An edge direction of the luma component of the current LCU may be determined based on the luma edge class.

As described above, since offset values of four categories indicating edge shapes are received, a total of four offset values are received. Since each reconstructed luma pixel of

the current LCU may be compared to adjacent pixels according to an edge direction and thus its edge shape and its category may be determined, an offset value of a current category may be selected from among the received offset values. A pixel value of the reconstructed luma pixel may be adjusted by using the selected offset value.

If the SAO type of the luma component is a band type (BO), luma offset values of four categories may be parsed. The offset values of the band type may be parsed together with sign information. A luma band class of 5 bits may be parsed. A left band start position may be determined from among a plurality of bands of pixel values of reconstructed pixels of the current LCU based on the luma band class.

As described above, since offset values of four categories indicating four continuous bands from a start band position are received, a total of four offset values are received. Since it may be determined a band to which each reconstructed luma pixel of the current LCU belongs and thus its category may be determined, an offset value of a current category may be selected from among the received offset values. A pixel value of the reconstructed luma pixel may be adjusted by using the selected offset value.

Then, an SAO type of a chroma component is parsed from SAO parameters. The SAO type may be commonly applied to a Cr component and a Cb component. If the SAO type is an off type (OFF), since offset adjustment according to SAO operations is not performed on the chroma component, the process on the current LCU is terminated.

If the SAO type of the chroma component is an edge type (EO), Cb offset values of four categories may be parsed from SAO parameters. The Cb offset values of the edge type may be parsed without sign information. A chroma edge class (Chroma EO class) of 2 bits may be parsed from SAO parameters. An edge direction of the chroma component of the current LCU may be determined based on the chroma edge class. The chroma edge class may also be commonly applied to the Cr component and the Cb component. Cr offset values of four categories may be parsed from SAO parameters.

Like offset adjustment on the edge type of the luma component, on each of the Cr component and the Cb component, an offset value of a current category may be selected from among received offset values. A pixel value of a reconstructed pixel of the Cr component or the Cb component may be adjusted by using the selected offset value.

If the SAO type of the chroma component is a band type (BO), offset values of the Cb component of four categories may be parsed from SAO parameters together with sign information. A Cb band class of 5 bits may be parsed from SAO parameters. A Cb left band start position of reconstructed pixels of the Cb component of the current LCU may be determined based on the Cb band class. Offset values of the Cr component of four categories may be parsed together with sign information. A Cr band class of 5 bits may be parsed. A Cr left band start position of reconstructed pixels of the Cr component of the current LCU may be determined based on the Cr band class.

Like offset adjustment on the band type of the luma component, on each of the Cr component and the Cb component, an offset value of a current category may be selected from among received offset values. A pixel value of a reconstructed pixel of the Cr component or the Cb component may be adjusted by using the selected offset value.

Accordingly, the video encoding apparatus **10** and the video decoding apparatus **20** or **30** using SAO operations may classify pixel values of each LCU according to image characteristics such as an edge type or a band type, may signal an offset value that is an average error value of pixel values

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having the same characteristics, and may adjust unpredictable pixel values of reconstructed pixels by the offset value, thereby minimizing an error between an original image and a reconstructed image.

In the video encoding apparatus **10** and the video decoding apparatus **20**, as described above, video data may be split into LCUs, each LCU may be encoded and decoded based on coding units having a tree structure, and each LCU may determine offset values according to pixel classification. Hereinafter, a video encoding method, a video encoding apparatus, a video decoding method, and a video decoding apparatus based on coding units having a tree structure and transformation units will be described with reference to FIGS. **8** through **20**.

FIG. **8** is a block diagram of a video encoding apparatus **100** based on coding units according to a tree structure, according to one or more exemplary embodiments. For convenience of explanation, “video encoding apparatus **100** based on coding units according to a tree structure” is referred to as “video encoding apparatus **100**” hereinafter.

The video encoding apparatus **100** involving video prediction based on coding units according to a tree structure includes an LCU splitter **110**, a coding unit determiner **120**, and an outputter **130**, i.e. a transmitter.

The LCU splitter **110** may split a current picture based on an LCU that is a coding unit having a maximum size for a current picture of an image. If the current picture is larger than the LCU, image data of the current picture may be split into the at least one LCU. The LCU according to one or more exemplary embodiments may be a data unit having a size of 32×32, 64×64, 128×128, 256×256, etc., wherein a shape of the data unit is a square having a width and length in squares of 2. The image data may be output to the coding unit determiner **120** according to the at least one LCU.

A coding unit according to one or more exemplary embodiments may be characterized by a maximum size and a depth. The depth denotes the number of times the coding unit is spatially split from the LCU, and deeper coding units according to depths may be split from the LCU to a smallest coding unit (SCU). A depth of the LCU is an uppermost depth and a depth of the SCU is a lowermost depth. Since a size of a coding unit corresponding to each depth decreases as the depth of the LCU deepens, a coding unit corresponding to an upper depth may include a plurality of coding units corresponding to lower depths.

As described above, the image data of the current picture is split into the LCUs according to a maximum size of the coding unit, and each of the LCUs may include deeper coding units that are split according to depths. Since the LCU according to one or more exemplary embodiments is split according to depths, the image data of the space domain included in the LCU may be hierarchically classified according to depths.

A maximum depth and a maximum size of a coding unit, which limit the total number of times a height and a width of the LCU are hierarchically split, may be predetermined.

The coding unit determiner **120** encodes at least one split region obtained by splitting a region of the LCU according to depths, and determines a depth to output a finally encoded image data according to the at least one split region. In other words, the coding unit determiner **120** determines a coded depth by encoding the image data in the deeper coding units according to depths, according to the LCU of the current picture, and selecting a depth having the least encoding error. The determined coded depth and the encoded image data according to the determined coded depth are output to the outputter **130**.

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The image data in the LCU is encoded based on the deeper coding units corresponding to at least one depth equal to or below the maximum depth, and results of encoding the image data are compared based on each of the deeper coding units.

A depth having the least encoding error may be selected after comparing encoding errors of the deeper coding units. At least one coded depth may be selected for each LCU.

The size of the LCU is split as a coding unit is hierarchically split according to depths, and as the number of coding units increases. Also, even if coding units correspond to the same depth in one LCU, it is determined whether to split each of the coding units corresponding to the same depth to a lower depth by measuring an encoding error of the image data of the each coding unit, separately. Accordingly, even when image data is included in one LCU, the encoding errors may differ according to regions in the one LCU, and thus the coded depths may differ according to regions in the image data. Thus, one or more coded depths may be determined in one LCU, and the image data of the LCU may be divided according to coding units of at least one coded depth.

Accordingly, the coding unit determiner **120** may determine coding units having a tree structure included in the LCU. The ‘coding units having a tree structure’ according to one or more exemplary embodiments include coding units corresponding to a depth determined to be the coded depth, from among all deeper coding units included in the LCU. A coding unit of a coded depth may be hierarchically determined according to depths in the same region of the LCU, and may be independently determined in different regions. Similarly, a coded depth in a current region may be independently determined from a coded depth in another region.

A maximum depth according to one or more exemplary embodiments is an index related to the number of splitting times from an LCU to an SCU. A first maximum depth according to one or more exemplary embodiments may denote the total number of splitting times from the LCU to the SCU. A second maximum depth according to one or more exemplary embodiments may denote the total number of depth levels from the LCU to the SCU. For example, when a depth of the LCU is **0**, a depth of a coding unit, in which the LCU is split once, may be set to **1**, and a depth of a coding unit, in which the LCU is split twice, may be set to **2**. Here, if the SCU is a coding unit in which the LCU is split four times, 5 depth levels of depths **0**, **1**, **2**, **3**, and **4** exist, and thus the first maximum depth may be set to **4**, and the second maximum depth may be set to **5**.

Prediction encoding and transformation may be performed according to the LCU. The prediction encoding and the transformation are also performed based on the deeper coding units according to a depth equal to or depths less than the maximum depth, according to the LCU.

Since the number of deeper coding units increases whenever the LCU is split according to depths, encoding, including the prediction encoding and the transformation, is performed on all of the deeper coding units generated as the depth deepens. For convenience of description, the prediction encoding and the transformation will now be described based on a coding unit of a current depth, in an LCU.

The video encoding apparatus **100** may variously select a size or shape of a data unit for encoding the image data. In order to encode the image data, operations, such as prediction encoding, transformation, and entropy encoding, are performed, and at this time, the same data unit may be used for all operations or different data units may be used for each operation.

For example, the video encoding apparatus **100** may select not only a coding unit for encoding the image data, but also a

data unit different from the coding unit so as to perform the prediction encoding on the image data in the coding unit.

In order to perform prediction encoding in the LCU, the prediction encoding may be performed based on a coding unit corresponding to a coded depth, i.e., based on a coding unit that is no longer split to coding units corresponding to a lower depth. Hereinafter, the coding unit that is no longer split and becomes a basis unit for prediction encoding will now be referred to as a 'prediction unit'. A partition obtained by splitting the prediction unit may include a prediction unit or a data unit obtained by splitting at least one of a height and a width of the prediction unit. A partition is a data unit where a prediction unit of a coding unit is split, and a prediction unit may be a partition having the same size as a coding unit.

For example, when a coding unit of $2N \times 2N$ (where N is a positive integer) is no longer split and becomes a prediction unit of $2N \times 2N$, and a size of a partition may be $2N \times 2N$, $2N \times N$, $N \times 2N$, or $N \times N$. Examples of a partition type include symmetrical partitions that are obtained by symmetrically splitting a height or width of the prediction unit, partitions obtained by asymmetrically splitting the height or width of the prediction unit, such as $1:n$ or $n:1$, partitions that are obtained by geometrically splitting the prediction unit, and partitions having arbitrary shapes.

A prediction mode of the prediction unit may be at least one of an intra mode, an inter mode, and a skip mode. For example, the intra mode or the inter mode may be performed on the partition of $2N \times 2N$, $2N \times N$, $N \times 2N$, or $N \times N$. Also, the skip mode may be performed only on the partition of $2N \times 2N$. The encoding is independently performed on one prediction unit in a coding unit, thereby selecting a prediction mode having a least encoding error.

The video encoding apparatus **100** may also perform the transformation on the image data in a coding unit based not only on the coding unit for encoding the image data, but also based on a data unit that is different from the coding unit. In order to perform the transformation in the coding unit, the transformation may be performed based on a data unit having a size smaller than or equal to the coding unit. For example, the data unit for the transformation may include a data unit for an intra mode and a data unit for an inter mode.

The transformation unit in the coding unit may be recursively split into smaller sized regions in the similar manner as the coding unit according to the tree structure. Thus, residues in the coding unit may be divided according to the transformation unit having the tree structure according to transformation depths.

A transformation depth indicating the number of splitting times to reach the transformation unit by splitting the height and width of the coding unit may also be set in the transformation unit. For example, in a current coding unit of $2N \times 2N$, a transformation depth may be **0** when the size of a transformation unit is $2N \times 2N$, may be **1** when the size of the transformation unit is $N \times N$, and may be **2** when the size of the transformation unit is $N/2 \times N/2$. In other words, the transformation unit having the tree structure may be set according to the transformation depths.

Encoding information according to coding units corresponding to a coded depth requires not only information about the coded depth, but also about information related to prediction encoding and transformation. Accordingly, the coding unit determiner **120** not only determines a coded depth having a least encoding error, but also determines a partition type in a prediction unit, a prediction mode according to prediction units, and a size of a transformation unit for transformation.

Coding units according to a tree structure in an LCU and methods of determining a prediction unit/partition, and a transformation unit, according to one or more exemplary embodiments, will be described in detail below with reference to FIGS. **8** through **19**.

The coding unit determiner **120** may measure an encoding error of deeper coding units according to depths by using Rate-Distortion Optimization based on Lagrangian multipliers.

The outputter **130** outputs the image data of the LCU, which is encoded based on the at least one coded depth determined by the coding unit determiner **120**, and information about the encoding mode according to the coded depth, in bitstreams.

The encoded image data may be obtained by encoding residues of an image.

The information about the encoding mode according to coded depth may include information about the coded depth, about the partition type in the prediction unit, the prediction mode, and the size of the transformation unit.

The information about the coded depth may be defined by using split information according to depths, which indicates whether encoding is performed on coding units of a lower depth instead of a current depth. If the current depth of the current coding unit is the coded depth, image data in the current coding unit is encoded and output, and thus the split information may be defined not to split the current coding unit to a lower depth. Alternatively, if the current depth of the current coding unit is not the coded depth, the encoding is performed on the coding unit of the lower depth, and thus the split information may be defined to split the current coding unit to obtain the coding units of the lower depth.

If the current depth is not the coded depth, encoding is performed on the coding unit that is split into the coding unit of the lower depth. Since at least one coding unit of the lower depth exists in one coding unit of the current depth, the encoding is repeatedly performed on each coding unit of the lower depth, and thus the encoding may be recursively performed for the coding units having the same depth.

Since the coding units having a tree structure are determined for one LCU, and information about at least one encoding mode is determined for a coding unit of a coded depth, information about at least one encoding mode may be determined for one LCU. Also, a coded depth of the image data of the LCU may be different according to locations since the image data is hierarchically split according to depths, and thus information about the coded depth and the encoding mode may be set for the image data.

Accordingly, the outputter **130** may assign encoding information about a corresponding coded depth and an encoding mode to at least one of the coding unit, the prediction unit, and a minimum unit included in the LCU.

The minimum unit according to one or more exemplary embodiments is a square data unit obtained by splitting the SCU constituting the lowermost depth by 4. Alternatively, the minimum unit according to an exemplary embodiment may be a maximum square data unit that may be included in all of the coding units, prediction units, partition units, and transformation units included in the LCU.

For example, the encoding information output by the outputter **130** may be classified into encoding information according to deeper coding units, and encoding information according to prediction units. The encoding information according to the deeper coding units may include the information about the prediction mode and about the size of the partitions. The encoding information according to the prediction units may include information about an estimated direc-

tion of an inter mode, about a reference image index of the inter mode, about a motion vector, about a chroma component of an intra mode, and about an interpolation method of the intra mode.

Information about a maximum size of the coding unit defined according to pictures, slices, or GOPs, and information about a maximum depth may be inserted into a header of a bitstream, a sequence parameter set, or a picture parameter set.

Information about a maximum size of the transformation unit permitted with respect to a current video, and information about a minimum size of the transformation unit may also be output through a header of a bitstream, a sequence parameter set, or a picture parameter set. The outputter **130** may encode and output SAO parameters related to the SAO operation described above with reference to FIG. **8**.

In the video encoding apparatus **100**, the deeper coding unit may be a coding unit obtained by dividing a height or width of a coding unit of an upper depth, which is one layer above, by two. In other words, when the size of the coding unit of the current depth is $2N \times 2N$, the size of the coding unit of the lower depth is $N \times N$. Also, the coding unit with the current depth having a size of $2N \times 2N$ may include a maximum of 4 of the coding units with the lower depth.

Accordingly, the video encoding apparatus **100** may form the coding units having the tree structure by determining coding units having an optimum shape and an optimum size for each LCU, based on the size of the LCU and the maximum depth determined considering characteristics of the current picture. Also, since encoding may be performed on each LCU by using any one of various prediction modes and transformations, an optimum encoding mode may be determined considering characteristics of the coding unit of various image sizes.

Thus, if an image having a high resolution or a large data amount is encoded in a conventional macroblock, the number of macroblocks per picture excessively increases. Accordingly, the number of pieces of compressed information generated for each macroblock increases, and thus it is difficult to transmit the compressed information and data compression efficiency decreases. However, by using the video encoding apparatus **100**, image compression efficiency may be increased since a coding unit is adjusted while considering characteristics of an image while increasing a maximum size of a coding unit while considering a size of the image.

The video encoding apparatus **100** of FIG. **8** may perform operations of the video encoding apparatus **10** described above with reference to FIG. **1A**.

The coding unit determiner **120** may perform operations of the SAO parameter determiner **14** of the video encoding apparatus **10**. An SAO type, offset values according to categories, and an SAO class may be determined with respect to each LCU.

The outputter **130** may perform operations of the SAO parameter encoder **16**. SAO parameters determined with respect to each LCU may be output. SAO merging information indicating whether to adopt SAO parameters of an adjacent LCU of a current LCU as the SAO parameters of the current LCU may be initially output. As an SAO type, an off type, an edge type, or a band type may be output. An offset value may be output in an order of zero value information, sign information, and a remainder. With respect to the edge type, the sign information of the offset value may not be output.

If the SAO merging information of the current LCU allows adoption of the SAO parameters of the adjacent LCU, the SAO type and the offset values of the current LCU may not be output.

It may be determined whether to perform an SAO operation according to color components. It may be determined whether to perform the SAO operation for a luma component and first and second chroma components with respect to each slice. The outputter **130** may output a slice header including luma SAO use information and chroma SAO use information.

The outputter **130** may include luma SAO type information indicating whether to perform the SAO operation for the luma component and an SAO type and chroma SAO type information indicating whether to perform the SAO operation for the first and second chroma components and an SAO type in the SAO parameters determined with respect to each LCU.

FIG. **9** is a block diagram of a video decoding apparatus **200** based on coding units having a tree structure, according to one or more exemplary embodiments. For convenience of explanation, "video decoding apparatus **200** based on coding units according to a tree structure" is referred to as "video decoding apparatus **200**" hereinafter.

The video decoding apparatus **200** that involves video prediction based on coding units having a tree structure includes a receiver **210**, an image data and encoding information extractor **220**, and an image data decoder **230**.

Definitions of various terms, such as a coding unit, a depth, a prediction unit, a transformation unit, and information about various encoding modes, for decoding operations of the video decoding apparatus **200** are identical to those described with reference to FIGS. **7A** through **7F** and FIG. **8** and the video encoding apparatus **100**.

The receiver **210** receives and parses a bitstream of an encoded video. The image data and encoding information extractor **220** extracts encoded image data for each coding unit from the parsed bitstream, wherein the coding units have a tree structure according to each LCU, and outputs the extracted image data to the image data decoder **230**. The image data and encoding information extractor **220** may extract information about a maximum size of a coding unit of a current picture, from a header about the current picture, a sequence parameter set, or a picture parameter set.

Also, the image data and encoding information extractor **220** extracts information about a coded depth and an encoding mode for the coding units having a tree structure according to each LCU, from the parsed bitstream. The extracted information about the coded depth and the encoding mode is output to the image data decoder **230**. In other words, the image data in a bit stream is split into the LCU so that the image data decoder **230** decodes the image data for each LCU.

The information about the coded depth and the encoding mode according to the LCU may be set for information about at least one coding unit corresponding to the coded depth, and information about an encoding mode may include information about a partition type of a corresponding coding unit corresponding to the coded depth, about a prediction mode, and a size of a transformation unit. Also, splitting information according to depths may be extracted as the information about the coded depth.

The information about the coded depth and the encoding mode according to each LCU extracted by the image data and encoding information extractor **220** is information about a coded depth and an encoding mode determined to generate a minimum encoding error when an encoder, such as the video encoding apparatus **100**, repeatedly performs encoding for each deeper coding unit according to depths according to each LCU. Accordingly, the video decoding apparatus **200**

may reconstruct an image by decoding the image data according to a coded depth and an encoding mode that generates the minimum encoding error.

Since encoding information about the coded depth and the encoding mode may be assigned to a predetermined data unit from among a corresponding coding unit, a prediction unit, and a minimum unit, the image data and encoding information extractor **220** may extract the information about the coded depth and the encoding mode according to the predetermined data units. If information about a coded depth and encoding mode of a corresponding LCU is recorded according to predetermined data units, the predetermined data units to which the same information about the coded depth and the encoding mode is assigned may be inferred to be the data units included in the same LCU.

The image data decoder **230** reconstructs the current picture by decoding the image data in each LCU based on the information about the coded depth and the encoding mode according to the LCUs. In other words, the image data decoder **230** may decode the encoded image data based on the extracted information about the partition type, the prediction mode, and the transformation unit for each coding unit from among the coding units having the tree structure included in each LCU. A decoding process may include a prediction including intra prediction and motion compensation, and an inverse transformation.

The image data decoder **230** may perform intra prediction or motion compensation according to a partition and a prediction mode of each coding unit, based on the information about the partition type and the prediction mode of the prediction unit of the coding unit according to coded depths.

In addition, the image data decoder **230** may read information about a transformation unit according to a tree structure for each coding unit so as to perform inverse transformation based on transformation units for each coding unit, for inverse transformation for each LCU. Via the inverse transformation, a pixel value of the space domain of the coding unit may be reconstructed.

The image data decoder **230** may determine a coded depth of a current LCU by using split information according to depths. If the split information indicates that image data is no longer split in the current depth, the current depth is a coded depth. Accordingly, the image data decoder **230** may decode encoded data in the current LCU by using the information about the partition type of the prediction unit, the prediction mode, and the size of the transformation unit for each coding unit corresponding to the coded depth.

In other words, data units containing the encoding information including the same split information may be gathered by observing the encoding information set assigned for the predetermined data unit from among the coding unit, the prediction unit, and the minimum unit, and the gathered data units may be considered to be one data unit to be decoded by the image data decoder **230** in the same encoding mode. As such, the current coding unit may be decoded by obtaining the information about the encoding mode for each coding unit.

Also, the video decoding apparatus **200** of FIG. 9 may perform operations of the video decoding apparatus **20** described above with reference to FIG. 2A.

The image data and encoding information extractor **220** and the receiver **210** may perform operations of the SAO parameter extractor **22** of the video decoding apparatus **20**. The image data decoder **230** may perform operations of the SAO determiner **24** and the SAO adjuster **26** of the video decoding apparatus **20**.

It may be determined whether to perform an SAO operation according to color components.

The image data and encoding information extractor **220** may obtain luma SAO use information and chroma SAO use information from a slice header. It may be determined whether to perform the SAO operation for a luma component from the luma SAO use information and first and second chroma components from the chroma SAO use information.

The image data and encoding information extractor **220** may obtain luma SAO type information indicating whether to perform the SAO operation for the luma component and an SAO type from SAO parameters determined with respect to each LCU. The image data and encoding information extractor **220** may obtain chroma SAO type information indicating whether to perform the SAO operation for the first and second chroma components and an SAO type from the SAO parameters determined with respect to each LCU.

If only SAO merging information is parsed from a bitstream without SAO parameters of a current LCU, the image data and encoding information extractor **220** may reconstruct the SAO parameters of the current LCU to be the same as those of at least one of adjacent LCUs. Based on the SAO merging information, an adjacent LCU having SAO parameters to be referred to may be determined. If it is determined that the SAO parameters of the current LCU are different from those of the adjacent LCUs based on the SAO merging information of the current LCU, which is parsed from the bitstream, the image data and encoding information extractor **220** may parse and reconstruct the SAO parameters of the current LCU from the bitstream.

The image data and encoding information extractor **220** may parse SAO parameters of each LCU from the bitstream. Based on the SAO parameters, an SAO type, offset values according to categories, and an SAO class may be determined. If the SAO type of the current LCU is an off type, offset adjustment on the current LCU may be terminated. If the SAO type is an edge type, based on a category indicating an edge class indicating an edge direction of each of reconstructed pixels, and an edge shape, a current offset value may be selected from among received offset values. If the SAO type is a band type, a band to which each of the reconstructed pixels belongs is determined and an offset value corresponding to a current band may be selected from among the offset values.

The image data decoder **230** may generate a reconstructed pixel capable of minimizing an error between an original pixel and the reconstructed pixel, by adjusting a pixel value of the reconstructed pixel by a corresponding offset value. Offsets of reconstructed pixels of each LCU may be adjusted based on the parsed SAO parameters.

Thus, the video decoding apparatus **200** may obtain information about at least one coding unit that generates the minimum encoding error when encoding is recursively performed for each LCU, and may use the information to decode the current picture. In other words, the coding units having the tree structure determined to be the optimum coding units in each LCU may be decoded.

Accordingly, even if image data has high resolution and a large amount of data, the image data may be efficiently decoded and reconstructed by using a size of a coding unit and an encoding mode, which are adaptively determined according to characteristics of the image data, by using information about an optimum encoding mode received from an encoder.

FIG. 10 is a diagram for describing a concept of coding units according to one or more exemplary embodiments.

A size of a coding unit may be expressed by width×height, and may be 64×64, 32×32, 16×16, and 8×8. A coding unit of 64×64 may be split into partitions of 64×64, 64×32, 32×64, or 32×32, and a coding unit of 32×32 may be split into partitions

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of 32×32, 32×16, 16×32, or 16×16, a coding unit of 16×16 may be split into partitions of 16×16, 16×8, 8×16, or 8×8, and a coding unit of 8×8 may be split into partitions of 8×8, 8×4, 4×8, or 4×4.

In video data **310**, a resolution is 1920×1080, a maximum size of a coding unit is **64**, and a maximum depth is **2**. In video data **320**, a resolution is 1920×1080, a maximum size of a coding unit is **64**, and a maximum depth is **3**. In video data **330**, a resolution is 352×288, a maximum size of a coding unit is **16**, and a maximum depth is **1**. The maximum depth shown in FIG. **10** denotes a total number of splits from an LCU to a minimum decoding unit.

If a resolution is high or a data amount is large, a maximum size of a coding unit may be large so as to not only increase encoding efficiency but also to accurately reflect characteristics of an image. Accordingly, the maximum size of the coding unit of the video data **310** and **320** having a higher resolution than the video data **330** may be **64**.

Since the maximum depth of the video data **310** is **2**, coding units **315** of the video data **310** may include an LCU having a long axis size of **64**, and coding units having long axis sizes of **32** and **16** since depths are deepened to two layers by splitting the LCU twice. Since the maximum depth of the video data **330** is **1**, coding units **335** of the video data **330** may include an LCU having a long axis size of **16**, and coding units having a long axis size of **8** since depths are deepened to one layer by splitting the LCU once.

Since the maximum depth of the video data **320** is **3**, coding units **325** of the video data **320** may include an LCU having a long axis size of **64**, and coding units having long axis sizes of **32**, **16**, and **8** since the depths are deepened to **3** layers by splitting the LCU three times. As a depth deepens, detailed information may be precisely expressed.

FIG. **11** is a block diagram of an image encoder **400** based on coding units, according to one or more exemplary embodiments.

The image encoder **400** performs operations of the coding unit determiner **120** of the video encoding apparatus **100** to encode image data. In other words, an intra predictor **410** performs intra prediction on coding units in an intra mode, from among a current frame **405**, and a motion estimator **420** and a motion compensator **425** respectively perform inter estimation and motion compensation on coding units in an inter mode from among the current frame **405** by using the current frame **405**, and a reference frame **495**.

Data output from the intra predictor **410**, the motion estimator **420**, and the motion compensator **425** is output as a quantized transformation coefficient through a transformer **430** and a quantizer **440**. The quantized transformation coefficient is reconstructed as data in the space domain through a dequantizer **460** and an inverse transformer **470**, and the reconstructed data in the space domain is output as the reference frame **495** after being post-processed through a deblocking filter **480** and an offset adjuster **490**. The quantized transformation coefficient may be output as a bitstream **455** through an entropy encoder **450**.

In order for the image encoder **400** to be applied in the video encoding apparatus **100**, all elements of the image encoder **400**, i.e., the intra predictor **410**, the motion estimator **420**, the motion compensator **425**, the transformer **430**, the quantizer **440**, the entropy encoder **450**, the dequantizer **460**, the inverse transformer **470**, the deblocking filter **480**, and the offset adjuster **490** perform operations based on each coding unit among coding units having a tree structure while considering the maximum depth of each LCU.

Specifically, the intra predictor **410**, the motion estimator **420**, and the motion compensator **425** determines partitions

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and a prediction mode of each coding unit from among the coding units having a tree structure while considering the maximum size and the maximum depth of a current LCU, and the transformer **430** determines the size of the transformation unit in each coding unit from among the coding units having a tree structure.

Specifically, when the motion estimator **420** performs the inter prediction using the long-term reference frame, the POC information of the long-term reference frame may be output as the long-term reference index. The entropy encoder **450** may encode and output the LSB information of the POC information of the long-term reference frame, as the long-term reference index. The LSB information of the POC information of the long-term reference frames for the prediction units of the current slice may be included in the slice header and then transmitted.

The offset adjuster **490** may classify pixels according to an edge type (or a band type) of each LCU of the reference frame **495**, may determine an edge direction (or a start band position), and may determine an average error value of reconstructed pixels included in each category. With respect to each LCU, SAO merging information, an SAO type, and offset values may be encoded and signaled.

The entropy encoder **450** may perform CABAC encoding on SAO parameters including SAO merging information for SAO operation, SAO type information, and offset values. For example, the entropy encoder **450** may perform CABAC encoding on a first bit of the SAO type information by using one context model and on other bits thereof in a bypass mode. Two context models may be used for the offset values. One context model may be used for each of left SAO merging information and upper SAO merging information. Thus, a total of five context models may be used to perform CABAC encoding on the SAO parameters.

FIG. **12** is a block diagram of an image decoder **500** based on coding units, according to one or more exemplary embodiments.

A parser **510** parses encoded image data to be decoded and information about encoding required for decoding from a bitstream **505**. The encoded image data is output as inverse quantized data through an entropy decoder **520** and a dequantizer **530**, and the inverse quantized data is reconstructed to image data in the space domain through an inverse transformer **540**.

An intra predictor **550** performs intra prediction on coding units in an intra mode with respect to the image data in the space domain, and a motion compensator **560** performs motion compensation on coding units in an inter mode by using a reference frame **585**.

The image data in the space domain, which passed through the intra predictor **550** and the motion compensator **560**, may be output as a reconstructed frame **595** after being post-processed through a deblocking filter **570** and an offset adjuster **580**. Also, the image data that is post-processed through the deblocking filter **570** and the offset adjuster **580** may be output as the reference frame **585**.

In order to decode the image data in the image data decoder **230** of the video decoding apparatus **200**, the image decoder **500** may perform operations that are performed after the parser **510**.

In order for the image decoder **500** to be applied in the video decoding apparatus **200**, all elements of the image decoder **500**, i.e., the parser **510**, the entropy decoder **520**, the dequantizer **530**, the inverse transformer **540**, the intra predictor **550**, the motion compensator **560**, the deblocking filter **570**, and the offset adjuster **580** perform operations based on coding units having a tree structure for each LCU.

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Specifically, the intra prediction **550** and the motion compensator **560** perform operations based on partitions and a prediction mode for each of the coding units having a tree structure, and the inverse transformer **540** perform operations based on a size of a transformation unit for each coding unit.

The entropy decoder **520** may perform CABAC decoding on SAO parameters and parse SAO merging information for an SAO operation, SAO type information, and offset values from the SAO parameters. For example, the entropy decoder **520** may perform CABAC decoding on a first bit of the SAO type information by using one context model and on other bits thereof in a bypass mode. Two context models may be used for the offset values. One context model may be used for each of left SAO merging information and upper SAO merging information. Thus, a total of five context models may be used to perform CABAC decoding on the SAO parameters.

The offset adjuster **580** may extract SAO parameters of LCUs from a bitstream. Based on SAO merging information from among the SAO parameters of a current LCU, SAO parameters of the current LCU, which are the same as those of an adjacent LCU, may be reconstructed. By using an SAO type and offset values from among the SAO parameters of the current LCU, each of reconstructed pixels of LCUs of the reconstructed frame **595** may be adjusted by an offset value corresponding to a category according to the edge type or the band type.

FIG. 13 is a diagram illustrating deeper coding units according to depths, and partitions, according to one or more exemplary embodiments.

The video encoding apparatus **100** and the video decoding apparatus **200** use hierarchical coding units so as to consider characteristics of an image. A maximum height, a maximum width, and a maximum depth of coding units may be adaptively determined according to the characteristics of the image, or may be differently set by a user. Sizes of deeper coding units according to depths may be determined according to the predetermined maximum size of the coding unit.

In a hierarchical structure **600** of coding units, according to one or more exemplary embodiments, the maximum height and the maximum width of the coding units are each **64**, and the maximum depth is **3**. In this case, the maximum depth refers to a total number of times the coding unit is split from the LCU to the SCU. Since a depth deepens along a vertical axis of the hierarchical structure **600**, a height and a width of the deeper coding unit are each split. Also, a prediction unit and partitions, which are bases for prediction encoding of each deeper coding unit, are shown along a horizontal axis of the hierarchical structure **600**.

In other words, a coding unit **610** is an LCU in the hierarchical structure **600**, wherein a depth is **0** and a size, i.e., a height by width, is 64×64 . The depth deepens along the vertical axis, and a coding unit **620** having a size of 32×32 and a depth of **1**, a coding unit **630** having a size of 16×16 and a depth of **2**, and a coding unit **640** having a size of 8×8 and a depth of **3**. The coding unit **640** having a size of 8×8 and a depth of **3** is an SCU.

The prediction unit and the partitions of a coding unit are arranged along the horizontal axis according to each depth. In other words, if the coding unit **610** having a size of 64×64 and a depth of **0** is a prediction unit, the prediction unit may be split into partitions include in the encoding unit **610**, i.e. a partition **610** having a size of 64×64 , partitions **612** having the size of 64×32 , partitions **614** having the size of 32×64 , or partitions **616** having the size of 32×32 .

Similarly, a prediction unit of the coding unit **620** having the size of 32×32 and the depth of **1** may be split into partitions included in the coding unit **620**, i.e. a partition **620**

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having a size of 32×32 , partitions **622** having a size of 32×16 , partitions **624** having a size of 16×32 , and partitions **626** having a size of 16×16 .

Similarly, a prediction unit of the coding unit **630** having the size of 16×16 and the depth of **2** may be split into partitions included in the coding unit **630**, i.e. a partition having a size of 16×16 included in the coding unit **630**, partitions **632** having a size of 16×8 , partitions **634** having a size of 8×16 , and partitions **636** having a size of 8×8 .

Similarly, a prediction unit of the coding unit **640** having the size of 8×8 and the depth of **3** may be split into partitions included in the coding unit **640**, i.e. a partition having a size of 8×8 included in the coding unit **640**, partitions **642** having a size of 8×4 , partitions **644** having a size of 4×8 , and partitions **646** having a size of 4×4 .

In order to determine the at least one coded depth of the coding units constituting the LCU **610**, the coding unit determiner **120** of the video encoding apparatus **100** performs encoding for coding units corresponding to each depth included in the LCU **610**.

A number of deeper coding units according to depths including data in the same range and the same size increases as the depth deepens. For example, four coding units corresponding to a depth of **2** are required to cover data that is included in one coding unit corresponding to a depth of **1**. Accordingly, in order to compare encoding results of the same data according to depths, the coding unit corresponding to the depth of **1** and four coding units corresponding to the depth of **2** are each encoded.

In order to perform encoding for a current depth from among the depths, a least encoding error may be selected for the current depth by performing encoding for each prediction unit in the coding units corresponding to the current depth, along the horizontal axis of the hierarchical structure **600**. Alternatively, the minimum encoding error may be searched for by comparing the least encoding errors according to depths, by performing encoding for each depth as the depth deepens along the vertical axis of the hierarchical structure **600**. A depth and a partition having the minimum encoding error in the coding unit **610** may be selected as the coded depth and a partition type of the coding unit **610**.

FIG. 14 is a diagram for describing a relationship between a coding unit **710** and transformation units **720**, according to one or more exemplary embodiments.

The video encoding apparatus **100** or the video decoding apparatus **200** encodes or decodes an image according to coding units having sizes smaller than or equal to an LCU for each LCU. Sizes of transformation units for transformation during encoding may be selected based on data units that are not larger than a corresponding coding unit.

For example, in the video encoding apparatus **100** or the video decoding apparatus **200**, if a size of the coding unit **710** is 64×64 , transformation may be performed by using the transformation units **720** having a size of 32×32 .

Also, data of the coding unit **710** having the size of 64×64 may be encoded by performing the transformation on each of the transformation units having the size of 32×32 , 16×16 , 8×8 , and 4×4 , which are smaller than 64×64 , and then a transformation unit having the least coding error may be selected.

FIG. 15 is a diagram for describing encoding information of coding units corresponding to a coded depth, according to one or more exemplary embodiments.

The outputter **130** of the video encoding apparatus **100** may encode and transmit information **800** about a partition type, information **810** about a prediction mode, and informa-

tion **820** about a size of a transformation unit for each coding unit corresponding to a coded depth, as information about an encoding mode.

The information **800** indicates information about a shape of a partition obtained by splitting a prediction unit of a current coding unit, wherein the partition is a data unit for prediction encoding the current coding unit. For example, a current coding unit **CU_0** having a size of $2N \times 2N$ may be split into any one of a partition **802** having a size of $2N \times 2N$, partitions **804** having a size of $2N \times N$, partitions **806** having a size of $N \times 2N$, and partitions **808** having a size of $N \times N$. Here, the information **800** about a partition type is set to indicate one of the partitions **804** having a size of $2N \times N$, the partitions **806** having a size of $N \times 2N$, and the partitions **808** having a size of $N \times N$.

The information **810** indicates a prediction mode of each partition. For example, the information **810** may indicate a mode of prediction encoding performed on a partition indicated by the information **800**, i.e., an intra mode **812**, an inter mode **814**, or a skip mode **816**.

The information **820** indicates a transformation unit to be based on when transformation is performed on a current coding unit. For example, the transformation unit may be a first intra transformation unit **822**, a second intra transformation unit **824**, a first inter transformation unit **826**, or a second inter transformation unit **828**.

The image data and encoding information extractor **220** of the video decoding apparatus **200** may extract and use the information **800**, **810**, and **820** for decoding, according to each deeper coding unit.

FIG. **16** is a diagram of deeper coding units according to depths, according to one or more exemplary embodiments.

Split information may be used to indicate a change of a depth. The split information indicates whether a coding unit of a current depth is split into coding units of a lower depth.

A prediction unit **910** for prediction encoding a coding unit **900** having a depth of **0** and a size of $2N_0 \times 2N_0$ may include partitions of a partition type **912** having a size of $2N_0 \times 2N_0$, a partition type **914** having a size of $2N_0 \times N_0$, a partition type **916** having a size of $N_0 \times 2N_0$, and a partition type **918** having a size of $N_0 \times N_0$. FIG. **9** only illustrates the partition types **912** through **918** which are obtained by symmetrically splitting the prediction unit **910**, but a partition type is not limited thereto, and the partitions of the prediction unit **910** may include asymmetrical partitions, partitions having a predetermined shape, and partitions having a geometrical shape.

Prediction encoding is repeatedly performed on one partition having a size of $2N_0 \times 2N_0$, two partitions having a size of $2N_0 \times N_0$, two partitions having a size of $N_0 \times 2N_0$, and four partitions having a size of $N_0 \times N_0$, according to each partition type. The prediction encoding in an intra mode and an inter mode may be performed on the partitions having the sizes of $2N_0 \times 2N_0$, $N_0 \times 2N_0$, $2N_0 \times N_0$, and $N_0 \times N_0$. The prediction encoding in a skip mode is performed only on the partition having the size of $2N_0 \times 2N_0$.

If an encoding error is smallest in one of the partition types **912** through **916**, the prediction unit **910** may not be split into a lower depth.

If the encoding error is the smallest in the partition type **918**, a depth is changed from **0** to **1** to split the partition type **918** in operation **920**, and encoding is repeatedly performed on coding units **930** having a depth of **2** and a size of $N_0 \times N_0$ to search for a minimum encoding error.

A prediction unit **940** for prediction encoding the coding unit **930** having a depth of **1** and a size of $2N_1 \times 2$

$N_1 (=N_0 \times N_0)$ may include partitions of a partition type **942** having a size of $2N_1 \times 2N_1$, a partition type **944** having a size of $2N_1 \times N_1$, a partition type **946** having a size of $N_1 \times 2N_1$, and a partition type **948** having a size of $N_1 \times N_1$.

If an encoding error is the smallest in the partition type **948**, a depth is changed from **1** to **2** to split the partition type **948** in operation **950**, and encoding is repeatedly performed on coding units **960**, which have a depth of **2** and a size of $N_2 \times N_2$ to search for a minimum encoding error.

When a maximum depth is d , split operation according to each depth may be performed up to when a depth becomes $d-1$, and split information may be encoded as up to when a depth is one of **0** to $d-2$. In other words, when encoding is performed up to when the depth is $d-1$ after a coding unit corresponding to a depth of $d-2$ is split in operation **970**, a prediction unit **990** for prediction encoding a coding unit **980** having a depth of $d-1$ and a size of $2N_{(d-1)} \times 2N_{(d-1)}$ may include partitions of a partition type **992** having a size of $2N_{(d-1)} \times 2N_{(d-1)}$, a partition type **994** having a size of $2N_{(d-1)} \times N_{(d-1)}$, a partition type **996** having a size of $N_{(d-1)} \times 2N_{(d-1)}$, and a partition type **998** having a size of $N_{(d-1)} \times N_{(d-1)}$.

Prediction encoding may be repeatedly performed on one partition having a size of $2N_{(d-1)} \times 2N_{(d-1)}$, two partitions having a size of $2N_{(d-1)} \times N_{(d-1)}$, two partitions having a size of $N_{(d-1)} \times 2N_{(d-1)}$, four partitions having a size of $N_{(d-1)} \times N_{(d-1)}$ from among the partition types **992** through **998** to search for a partition type having a minimum encoding error.

Even when the partition type **998** has the minimum encoding error, since a maximum depth is d , a coding unit **CU**_($d-1$) having a depth of $d-1$ is no longer split to a lower depth, and a coded depth for the coding units constituting a current LCU **900** is determined to be $d-1$ and a partition type of the current LCU **900** may be determined to be $N_{(d-1)} \times N_{(d-1)}$. Also, since the maximum depth is d and an SCU **980** having a lowermost depth of $d-1$ is no longer split to a lower depth, split information for the SCU **980** is not set.

A data unit **999** may be a 'minimum unit' for the current LCU. A minimum unit according to one or more exemplary embodiments may be a square data unit obtained by splitting an SCU **980** by **4**. By performing the encoding repeatedly, the video encoding apparatus **100** may select a depth having the least encoding error by comparing encoding errors according to depths of the coding unit **900** to determine a coded depth, and set a corresponding partition type and a prediction mode as an encoding mode of the coded depth.

As such, the minimum encoding errors according to depths are compared in all of the depths of **1** through d , and a depth having the least encoding error may be determined as a coded depth. The coded depth, the partition type of the prediction unit, and the prediction mode may be encoded and transmitted as information about an encoding mode. Also, since a coding unit is split from a depth of **0** to a coded depth, only split information of the coded depth is set to **0**, and split information of depths excluding the coded depth is set to **1**.

The image data and encoding information extractor **220** of the video decoding apparatus **200** may extract and use the information about the coded depth and the prediction unit of the coding unit **900** to decode the partition **912**. The video decoding apparatus **200** may determine a depth, in which split information is **0**, as a coded depth by using split information according to depths, and use information about an encoding mode of the corresponding depth for decoding.

FIGS. 17 through 19 are diagrams for describing a relationship between coding units **1010**, prediction units **1060**, and transformation units **1070**, according to one or more exemplary embodiments.

The coding units **1010** are coding units having a tree structure, corresponding to coded depths determined by the video encoding apparatus **100**, in an LCU. The prediction units **1060** are partitions of prediction units of each of the coding units **1010**, and the transformation units **1070** are transformation units of each of the coding units **1010**.

When a depth of an LCU is **0** in the coding units **1010**, depths of coding units **1012** and **1054** are **1**, depths of coding units **1014**, **1016**, **1018**, **1028**, **1050**, and **1052** are **2**, depths of coding units **1020**, **1022**, **1024**, **1026**, **1030**, **1032**, and **1048** are **3**, and depths of coding units **1040**, **1042**, **1044**, and **1046** are **4**.

In the prediction units **1060**, some encoding units **1014**, **1016**, **1022**, **1032**, **1048**, **1050**, **1052**, and **1054** are obtained by splitting the coding units in the encoding units **1010**. In other words, partition types in the coding units **1014**, **1022**, **1050**, and **1054** have a size of $2N \times N$, partition types in the coding units **1016**, **1048**, and **1052** have a size of $N \times 2N$, and a partition type of the coding unit **1032** has a size of $N \times N$. Prediction units and partitions of the coding units **1010** are smaller than or equal to each coding unit.

Transformation or inverse transformation is performed on image data of the coding unit **1052** in the transformation units **1070** in a data unit that is smaller than the coding unit **1052**. Also, the coding units **1014**, **1016**, **1022**, **1032**, **1048**, **1050**, and **1052** in the transformation units **1070** are different from those in the prediction units **1060** in terms of sizes and shapes. In other words, the video encoding and decoding apparatuses **100** and **200** may perform intra prediction, motion estimation, motion compensation, transformation, and inverse transformation individually on a data unit in the same coding unit.

Accordingly, encoding is recursively performed on each of coding units having a hierarchical structure in each region of an LCU to determine an optimum coding unit, and thus coding units having a recursive tree structure may be obtained. Encoding information may include split information about a coding unit, information about a partition type, information about a prediction mode, and information about a size of a transformation unit. Table 1 shows the encoding information that may be set by the video encoding and decoding apparatuses **100** and **200**.

TABLE 1

Split Information 0 (Encoding on Coding Unit having Size of $2N \times 2N$ and Current Depth of d)					Split Information 1
Prediction Mode	Partition Type		Size of Transformation Unit		Repeatedly Encode Coding Units having Lower Depth of d + 1
Intra	Symmetrical	Asymmetrical	Split	Split	
Inter	Partition	Partition	Information 0 of	Information 1 of	
Skip	Type	Type	Transformation	Transformation	
(Only $2N \times 2N$)	Type	Type	Unit	Unit	
	$2N \times 2N$	$2N \times nU$	$2N \times 2N$	$N \times N$	
	$2N \times N$	$2N \times nD$		(Symmetrical	
	$N \times 2N$	$nL \times 2N$		Type)	
	$N \times N$	$nR \times 2N$		$N/2 \times N/2$	
				(Asymmetrical	
				Type)	

The outputter **130** of the video encoding apparatus **100** may output the encoding information about the coding units having a tree structure, and the image data and encoding information extractor **220** of the video decoding apparatus **200** may extract the encoding information about the coding units having a tree structure from a received bitstream.

Split information indicates whether a current coding unit is split into coding units of a lower depth. If split information of a current depth d is **0**, a depth, in which a current coding unit is no longer split into a lower depth, is a coded depth, and thus information about a partition type, prediction mode, and a size of a transformation unit may be defined for the coded depth. If the current coding unit is further split according to the split information, encoding is independently performed on four split coding units of a lower depth.

A prediction mode may be one of an intra mode, an inter mode, and a skip mode. The intra mode and the inter mode may be defined in all partition types, and the skip mode is defined only in a partition type having a size of $2N \times 2N$.

The information about the partition type may indicate symmetrical partition types having sizes of $2N \times 2N$, $2N \times N$, $N \times 2N$, and $N \times N$, which are obtained by symmetrically splitting a height or a width of a prediction unit, and asymmetrical partition types having sizes of $2N \times nU$, $2N \times nD$, $nL \times 2N$, and $nR \times 2N$, which are obtained by asymmetrically splitting the height or width of the prediction unit. The asymmetrical partition types having the sizes of $2N \times nU$ and $2N \times nD$ may be respectively obtained by splitting the height of the prediction unit in 1:3 and 3:1, and the asymmetrical partition types having the sizes of $nL \times 2N$ and $nR \times 2N$ may be respectively obtained by splitting the width of the prediction unit in 1:3 and 3:1.

The size of the transformation unit may be set to be two types in the intra mode and two types in the inter mode. In other words, if split information of the transformation unit is **0**, the size of the transformation unit may be $2N \times 2N$, which is the size of the current coding unit. If split information of the transformation unit is **1**, the transformation units may be obtained by splitting the current coding unit. Also, if a partition type of the current coding unit having the size of $2N \times 2N$ is a symmetrical partition type, a size of a transformation unit may be $N \times N$, and if the partition type of the current coding unit is an asymmetrical partition type, the size of the transformation unit may be $N/2 \times N/2$.

The encoding information about coding units having a tree structure may include at least one of a coding unit corresponding to a coded depth, a prediction unit, and a minimum unit. The coding unit corresponding to the coded depth may include at least one of a prediction unit and a minimum unit containing the same encoding information.

Accordingly, it is determined whether adjacent data units are included in the same coding unit corresponding to the

coded depth by comparing encoding information of the adjacent data units. Also, a corresponding coding unit corresponding to a coded depth is determined by using encoding information of a data unit, and thus a distribution of coded depths in an LCU may be determined.

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Accordingly, if a current coding unit is predicted based on encoding information of adjacent data units, encoding information of data units in deeper coding units adjacent to the current coding unit may be directly referred to and used.

Alternatively, if a current coding unit is predicted based on encoding information of adjacent data units, data units adjacent to the current coding unit are searched using encoded information of the data units, and the searched adjacent coding units may be referred for predicting the current coding unit.

FIG. 20 is a diagram for describing a relationship between a coding unit, a prediction unit, and a transformation unit, according to encoding mode information of Table 1.

An LCU 1300 includes coding units 1302, 1304, 1306, 1312, 1314, 1316, and 1318 of coded depths. Here, since the coding unit 1318 is a coding unit of a coded depth, split information may be set to 0. Information about a partition type of the coding unit 1318 having a size of $2N \times 2N$ may be set to be one of a partition type 1322 having a size of $2N \times 2N$, a partition type 1324 having a size of $2N \times N$, a partition type 1326 having a size of $N \times 2N$, a partition type 1328 having a size of $N \times N$, a partition type 1332 having a size of $2N \times nU$, a partition type 1334 having a size of $2N \times nD$, a partition type 1336 having a size of $nL \times 2N$, and a partition type 1338 having a size of $nR \times 2N$.

Split information (TU size flag) of a transformation unit is a type of a transformation index. The size of the transformation unit corresponding to the transformation index may be changed according to a prediction unit type or partition type of the coding unit.

For example, when the partition type is set to be symmetrical, i.e. the partition type 1322, 1324, 1326, or 1328, a transformation unit 1342 having a size of $2N \times 2N$ is set if a TU size flag of a transformation unit is 0, and a transformation unit 1344 having a size of $N \times N$ is set if a TU size flag is 1.

When the partition type is set to be asymmetrical, i.e., the partition type 1332, 1334, 1336, or 1338, a transformation unit 1352 having a size of $2N \times 2N$ is set if a TU size flag is 0, and a transformation unit 1354 having a size of $N/2 \times N/2$ is set if a TU size flag is 1.

Referring to FIG. 20, the TU size flag is a flag having a value or 0 or 1, but the TU size flag is not limited to 1 bit, and a transformation unit may be hierarchically split having a tree structure while the TU size flag increases from 0. Split information (TU size flag) of a transformation unit may be an example of a transformation index.

In this case, the size of a transformation unit that has been actually used may be expressed by using a TU size flag of a transformation unit, according to one or more exemplary embodiments, together with a maximum size and minimum size of the transformation unit. The video encoding apparatus 100 is capable of encoding maximum transformation unit size information, minimum transformation unit size information, and a maximum TU size flag. The result of encoding the maximum transformation unit size information, the minimum transformation unit size information, and the maximum TU size flag may be inserted into an SPS. The video decoding apparatus 200 may decode video by using the maximum transformation unit size information, the minimum transformation unit size information, and the maximum TU size flag.

For example, (a) if the size of a current coding unit is 64×64 and a maximum transformation unit size is 32×32 , (a-1) then the size of a transformation unit may be 32×32 when a TU size flag is 0, (a-2) may be 16×16 when the TU size flag is 1, and (a-3) may be 8×8 when the TU size flag is 2.

As another example, (b) if the size of the current coding unit is 32×32 and a minimum transformation unit size is

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32×32 , (b-1) then the size of the transformation unit may be 32×32 when the TU size flag is 0. Here, the TU size flag cannot be set to a value other than 0, since the size of the transformation unit cannot be less than 32×32 .

As another example, (c) if the size of the current coding unit is 64×64 and a maximum TU size flag is 1, then the TU size flag may be 0 or 1. Here, the TU size flag cannot be set to a value other than 0 or 1.

Thus, if it is defined that the maximum TU size flag is 'MaxTransformSizeIndex', a minimum transformation unit size is 'MinTransformSize', and a transformation unit size is 'RootTuSize' when the TU size flag is 0, then a current minimum transformation unit size 'CurrMinTuSize' that can be determined in a current coding unit, may be defined by Equation (1):

$$\text{CurrMinTuSize} = \max(\text{MinTransformSize}, \text{RootTuSize} / (2^{\text{MaxTransformSizeIndex}})) \quad (1)$$

Compared to the current minimum transformation unit size 'CurrMinTuSize' that can be determined in the current coding unit, a transformation unit size 'RootTuSize' when the TU size flag is 0 may denote a maximum transformation unit size that can be selected in the system. In Equation (1), 'RootTuSize/(2^MaxTransformSizeIndex)' denotes a transformation unit size when the transformation unit size 'RootTuSize', when the TU size flag is 0, is split a number of times corresponding to the maximum TU size flag, and 'MinTransformSize' denotes a minimum transformation size. Thus, a smaller value from among 'RootTuSize/(2^MaxTransformSizeIndex)' and 'MinTransformSize' may be the current minimum transformation unit size 'CurrMinTuSize' that can be determined in the current coding unit.

According to one or more exemplary embodiments, the maximum transformation unit size RootTuSize may vary according to the type of a prediction mode.

For example, if a current prediction mode is an inter mode, then 'RootTuSize' may be determined by using Equation (2) below. In Equation (2), 'MaxTransformSize' denotes a maximum transformation unit size, and 'PUSize' denotes a current prediction unit size.

$$\text{RootTuSize} = \min(\text{MaxTransformSize}, \text{PUSize}) \quad (2)$$

That is, if the current prediction mode is the inter mode, the transformation unit size 'RootTuSize', when the TU size flag is 0, may be a smaller value from among the maximum transformation unit size and the current prediction unit size.

If a prediction mode of a current partition unit is an intra mode, 'RootTuSize' may be determined by using Equation (3) below. In Equation (3), 'PartitionSize' denotes the size of the current partition unit.

$$\text{RootTuSize} = \min(\text{MaxTransformSize}, \text{PartitionSize}) \quad (3)$$

That is, if the current prediction mode is the intra mode, the transformation unit size 'RootTuSize' when the TU size flag is 0 may be a smaller value from among the maximum transformation unit size and the size of the current partition unit.

However, the current maximum transformation unit size 'RootTuSize' that varies according to the type of a prediction mode in a partition unit is just an example and the exemplary embodiments are not limited thereto.

According to the video encoding method based on coding units having a tree structure as described with reference to FIGS. 8 through 20, image data of the space domain is encoded for each coding unit of a tree structure. According to the video decoding method based on coding units having a tree structure, decoding is performed for each LCU to reconstruct image data of the space domain. Thus, a picture and a

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video that is a picture sequence may be reconstructed. The reconstructed video may be reproduced by a reproducing apparatus, stored in a storage medium, or transmitted through a network.

Also, SAO parameters may be signaled with respect to each picture, each slice, each LCU, each of coding units having a tree structure, each prediction unit of the coding units, or each transformation unit of the coding units. For example, pixel values of reconstructed pixels of each LCU may be adjusted by using offset values reconstructed based on received SAO parameters, and thus an LCU having a mini-

mized error between an original block and the LCU may be reconstructed.

The exemplary embodiments may be written as computer programs and may be implemented in general-use digital computers that execute the programs using a computer-readable recording medium. Examples of the computer-readable recording medium include magnetic storage media (e.g., ROM, floppy discs, hard discs, etc.) and optical recording media (e.g., CD-ROMs, or DVDs).

While the one or more exemplary embodiments have been particularly shown and described with reference to exemplary embodiments thereof, it will be understood by one of ordinary skill in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined by the following claims. The exemplary embodiments should be considered in a descriptive sense only and not for purposes of limitation. Therefore, the scope of the invention is defined not by the detailed description of the invention but by the following claims, and all differences within the scope will be construed as being included in the one or more exemplary embodiments.

For convenience of description, the video encoding method according to adjustment of a sample offset, which is described above with reference to FIGS. 1A through 20, will be referred to as a 'video encoding method according to the one or more exemplary embodiments'. In addition, the video decoding method according to adjustment of a sample offset, which is described above with reference to FIGS. 1A through 20, will be referred to as a 'video decoding method according to the one or more embodiments'.

Also, a video encoding apparatus including the video encoding apparatus 10, the video encoding apparatus 100, or the image encoder 400, which is described above with reference to FIGS. 1A through 20, will be referred to as a 'video encoding apparatus according to the one or more exemplary embodiments'. In addition, a video decoding apparatus including the video decoding apparatus 20, the video decoding apparatus 200, or the image decoder 500, which is described above with reference to FIGS. 1A through 20, will be referred to as a 'video decoding apparatus according to the one or more exemplary embodiments'.

A computer-readable recording medium storing a program, e.g., a disc 26000, according to one or more exemplary embodiments will now be described in detail.

FIG. 21 is a diagram of a physical structure of the disc 26000 in which a program is stored, according to one or more exemplary embodiments. The disc 26000, which is a storage medium, may be a hard drive, a compact disc-read only memory (CD-ROM) disc, a Blu-ray disc, or a digital versatile disc (DVD). The disc 26000 includes a plurality of concentric tracks Tr that are each divided into a specific number of sectors Se in a circumferential direction of the disc 26000. In a specific region of the disc 26000, a program that executes the quantization parameter determination method, the video encoding method, and the video decoding method described above may be assigned and stored.

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A computer system embodied using a storage medium that stores a program for executing the video encoding method and the video decoding method as described above will now be described with reference to FIG. 22.

FIG. 22 is a diagram of a disc drive 26800 for recording and reading a program by using the disc 26000. A computer system 26700 may store a program that executes at least one of a video encoding method and a video decoding method according to one or more exemplary embodiments, in the disc 26000 via the disc drive 26800. To run the program stored in the disc 26000 in the computer system 26700, the program may be read from the disc 26000 and be transmitted to the computer system 26700 by using the disc drive 26700.

The program that executes at least one of a video encoding method and a video decoding method according to one or more exemplary embodiments may be stored not only in the disc 26000 illustrated in FIG. 21 or 22 but also in a memory card, a ROM cassette, or a solid state drive (SSD).

A system to which the video encoding method and a video decoding method described above are applied will be described below.

FIG. 23 is a diagram of an overall structure of a content supply system 11000 for providing a content distribution service. A service area of a communication system is divided into predetermined-sized cells, and wireless base stations 11700, 11800, 11900, and 12000 are installed in these cells, respectively.

The content supply system 11000 includes a plurality of independent devices. For example, the plurality of independent devices, such as a computer 12100, a personal digital assistant (PDA) 12200, a video camera 12300, and a mobile phone 12500, are connected to the Internet 11100 via an internet service provider 11200, a communication network 11400, and the wireless base stations 11700, 11800, 11900, and 12000.

However, the content supply system 11000 is not limited to as illustrated in FIG. 24, and devices may be selectively connected thereto. The plurality of independent devices may be directly connected to the communication network 11400, not via the wireless base stations 11700, 11800, 11900, and 12000.

The video camera 12300 is an imaging device, e.g., a digital video camera, which is capable of capturing video images. The mobile phone 12500 may employ at least one communication method from among various protocols, e.g., Personal Digital Communications (PDC), Code Division Multiple Access (CDMA), Wideband-Code Division Multiple Access (W-CDMA), Global System for Mobile Communications (GSM), and Personal Handyphone System (PHS).

The video camera 12300 may be connected to a streaming server 11300 via the wireless base station 11900 and the communication network 11400. The streaming server 11300 allows content received from a user via the video camera 12300 to be streamed via a real-time broadcast. The content received from the video camera 12300 may be encoded using the video camera 12300 or the streaming server 11300. Video data captured by the video camera 12300 may be transmitted to the streaming server 11300 via the computer 12100.

Video data captured by a camera 12600 may also be transmitted to the streaming server 11300 via the computer 12100. The camera 12600 is an imaging device capable of capturing both still images and video images, similar to a digital camera. The video data captured by the camera 12600 may be encoded using the camera 12600 or the computer 12100. Software that performs encoding and decoding video may be stored in a computer-readable recording medium, e.g., a CD-

ROM disc, a floppy disc, a hard disc drive, an SSD, or a memory card, which may be accessible by the computer **12100**.

If video data is captured by a camera built in the mobile phone **12500**, the video data may be received from the mobile phone **12500**.

The video data may also be encoded by a large scale integrated circuit (LSI) system installed in the video camera **12300**, the mobile phone **12500**, or the camera **12600**.

The content supply system **11000** may encode content data recorded by a user using the video camera **12300**, the camera **12600**, the mobile phone **12500**, or another imaging device, e.g., content recorded during a concert, and transmit the encoded content data to the streaming server **11300**. The streaming server **11300** may transmit the encoded content data in a type of a streaming content to other clients that request the content data.

The clients are devices capable of decoding the encoded content data, e.g., the computer **12100**, the PDA **12200**, the video camera **12300**, or the mobile phone **12500**. Thus, the content supply system **11000** allows the clients to receive and reproduce the encoded content data. Also, the content supply system **11000** allows the clients to receive the encoded content data and decode and reproduce the encoded content data in real time, thereby enabling personal broadcasting.

Encoding and decoding operations of the plurality of independent devices included in the content supply system **11000** may be similar to those of a video encoding apparatus and a video decoding apparatus according to one or more exemplary embodiments.

The mobile phone **12500** included in the content supply system **11000** according to one or more exemplary embodiments will now be described in greater detail with referring to FIGS. **24** and **25**.

FIG. **24** illustrates an external structure of the mobile phone **12500** to which a video encoding method and a video decoding method are applied, according to one or more exemplary embodiments. The mobile phone **12500** may be a smart phone, the functions of which are not limited and a large number of the functions of which may be changed or expanded.

The mobile phone **12500** includes an internal antenna **12510** via which a radio-frequency (RF) signal may be exchanged with the wireless base station **12000** of FIG. **21**, and includes a display screen **12520** for displaying images captured by a camera **12530** or images that are received via the antenna **12510** and decoded, e.g., a liquid crystal display (LCD) or an organic light-emitting diode (OLED) screen. The mobile phone **12500** includes an operation panel **12540** including a control button and a touch panel. If the display screen **12520** is a touch screen, the operation panel **12540** further includes a touch sensing panel of the display screen **12520**. The mobile phone **12500** includes a speaker **12580** for outputting voice and sound or another type of sound outputter, and a microphone **12550** for inputting voice and sound or another type sound inputter. The mobile phone **12500** further includes the camera **12530**, such as a charge-coupled device (CCD) camera, to capture video and still images. The mobile phone **12500** may further include a storage medium **12570** for storing encoded/decoded data, e.g., video or still images captured by the camera **12530**, received via email, or obtained according to various ways; and a slot **12560** via which the storage medium **12570** is loaded into the mobile phone **12500**. The storage medium **12570** may be a flash memory, e.g., a secure digital (SD) card or an electrically erasable and programmable read only memory (EEPROM) included in a plastic case.

FIG. **25** illustrates an internal structure of the mobile phone **12500**, according to one or more exemplary embodiments. To systemically control parts of the mobile phone **12500** including the display screen **12520** and the operation panel **12540**, a power supply circuit **12700**, an operation input controller **12640**, an image encoder **12720**, a camera interface **12630**, an LCD controller **12620**, an image decoder **12690**, a multiplexer/demultiplexer **12680**, a recorder/reader **12670**, a modulator/demodulator **12660**, and a sound processor **12650** are connected to a central controller **12710** via a synchronization bus **12730**.

If a user operates a power button and sets from a 'power off' state to a 'power on' state, the power supply circuit **12700** supplies power to all the parts of the mobile phone **12500** from a battery pack, thereby setting the mobile phone **12500** in an operation mode.

The central controller **12710** includes a central processing unit (CPU), a ROM, and a RAM.

While the mobile phone **12500** transmits communication data to the outside, a digital signal is generated by the mobile phone **12500** under control of the central controller **12710**. For example, the sound processor **12650** may generate a digital sound signal, the image encoder **12720** may generate a digital image signal, and text data of a message may be generated via the operation panel **12540** and the operation input controller **12640**. When a digital signal is transmitted to the modulator/demodulator **12660** under control of the central controller **12710**, the modulator/demodulator **12660** modulates a frequency band of the digital signal, and a communication circuit **12610** performs digital-to-analog conversion (DAC) and frequency conversion on the frequency band-modulated digital sound signal. A transmission signal output from the communication circuit **12610** may be transmitted to a voice communication base station or the wireless base station **12000** via the antenna **12510**.

For example, when the mobile phone **12500** is in a conversation mode, a sound signal obtained via the microphone **12550** is transformed into a digital sound signal by the sound processor **12650**, under control of the central controller **12710**. The digital sound signal may be transformed into a transformation signal via the modulator/demodulator **12660** and the communication circuit **12610**, and may be transmitted via the antenna **12510**.

When a text message, e.g., email, is transmitted in a data communication mode, text data of the text message is input via the operation panel **12540** and is transmitted to the central controller **12710** via the operation input controller **12640**. Under control of the central controller **12710**, the text data is transformed into a transmission signal via the modulator/demodulator **12660** and the communication circuit **12610** and is transmitted to the wireless base station **12000** via the antenna **12510**.

To transmit image data in the data communication mode, image data captured by the camera **12530** is provided to the image encoder **12720** via the camera interface **12630**. The captured image data may be directly displayed on the display screen **12520** via the camera interface **12630** and the LCD controller **12620**.

A structure of the image encoder **12720** may correspond to that of the above-described video encoding method according to the one or more exemplary embodiments. The image encoder **12720** may transform the image data received from the camera **12530** into compressed and encoded image data based on the above-described video encoding method according to the one or more exemplary embodiments, and then output the encoded image data to the multiplexer/demultiplexer **12680**. During a recording operation of the camera

12530, a sound signal obtained by the microphone **12550** of the mobile phone **12500** may be transformed into digital sound data via the sound processor **12650**, and the digital sound data may be transmitted to the multiplexer/demultiplexer **12680**.

The multiplexer/demultiplexer **12680** multiplexes the encoded image data received from the image encoder **12720**, together with the sound data received from the sound processor **12650**. A result of multiplexing the data may be transformed into a transmission signal via the modulator/demodulator **12660** and the communication circuit **12610**, and may then be transmitted via the antenna **12510**.

While the mobile phone **12500** receives communication data from the outside, frequency recovery and ADC are performed on a signal received via the antenna **12510** to transform the signal into a digital signal. The modulator/demodulator **12660** modulates a frequency band of the digital signal. The frequency-band modulated digital signal is transmitted to the video decoding unit **12690**, the sound processor **12650**, or the LCD controller **12620**, according to the type of the digital signal.

In the conversation mode, the mobile phone **12500** amplifies a signal received via the antenna **12510**, and obtains a digital sound signal by performing frequency conversion and ADC on the amplified signal. A received digital sound signal is transformed into an analog sound signal via the modulator/demodulator **12660** and the sound processor **12650**, and the analog sound signal is output via the speaker **12580**, under control of the central controller **12710**.

When in the data communication mode, data of a video file accessed at an Internet website is received, a signal received from the wireless base station **12000** via the antenna **12510** is output as multiplexed data via the modulator/demodulator **12660**, and the multiplexed data is transmitted to the multiplexer/demultiplexer **12680**.

To decode the multiplexed data received via the antenna **12510**, the multiplexer/demultiplexer **12680** demultiplexes the multiplexed data into an encoded video data stream and an encoded audio data stream. Via the synchronization bus **12730**, the encoded video data stream and the encoded audio data stream are provided to the video decoding unit **12690** and the sound processor **12650**, respectively.

A structure of the image decoder **12690** may correspond to that of the above-described video decoding method according to the one or more exemplary embodiments. The image decoder **12690** may decode the encoded video data to obtain reconstructed video data and provide the reconstructed video data to the display screen **12520** via the LCD controller **12620**, by using the above-described video decoding method according to the one or more exemplary embodiments.

Thus, the data of the video file accessed at the Internet website may be displayed on the display screen **12520**. At the same time, the sound processor **12650** may transform audio data into an analog sound signal, and provide the analog sound signal to the speaker **12580**. Thus, audio data contained in the video file accessed at the Internet website may also be reproduced via the speaker **12580**.

The mobile phone **12500** or another type of communication terminal may be a transceiving terminal including both a video encoding apparatus and a video decoding apparatus according to one or more exemplary embodiments, may be a transceiving terminal including only the video encoding apparatus, or may be a transceiving terminal including only the video decoding apparatus.

A communication system according to the one or more exemplary embodiments is not limited to the communication system described above with reference to FIG. **24**. For

example, FIG. **26** illustrates a digital broadcasting system employing a communication system, according to one or more exemplary embodiments. The digital broadcasting system of FIG. **26** may receive a digital broadcast transmitted via a satellite or a terrestrial network by using a video encoding apparatus and a video decoding apparatus according to one or more exemplary embodiments.

Specifically, a broadcasting station **12890** transmits a video data stream to a communication satellite or a broadcasting satellite **12900** by using radio waves. The broadcasting satellite **12900** transmits a broadcast signal, and the broadcast signal is transmitted to a satellite broadcast receiver via a household antenna **12860**. In every house, an encoded video stream may be decoded and reproduced by a TV receiver **12810**, a set-top box **12870**, or another device.

When a video decoding apparatus according to one or more exemplary embodiments is implemented in a reproducing apparatus **12830**, the reproducing apparatus **12830** may parse and decode an encoded video stream recorded on a storage medium **12820**, such as a disc or a memory card to reconstruct digital signals. Thus, the reconstructed video signal may be reproduced, for example, on a monitor **12840**.

In the set-top box **12870** connected to the antenna **12860** for a satellite/terrestrial broadcast or a cable antenna **12850** for receiving a cable television (TV) broadcast, a video decoding apparatus according to one or more exemplary embodiments may be installed. Data output from the set-top box **12870** may also be reproduced on a TV monitor **12880**.

As another example, a video decoding apparatus according to one or more exemplary embodiments may be installed in the TV receiver **12810** instead of the set-top box **12870**.

An automobile **12920** that has an appropriate antenna **12910** may receive a signal transmitted from the satellite **12900** or the wireless base station **11700** of FIG. **21**. A decoded video may be reproduced on a display screen of an automobile navigation system **12930** installed in the automobile **12920**.

A video signal may be encoded by a video encoding apparatus according to one or more exemplary embodiments and may then be stored in a storage medium. Specifically, an image signal may be stored in a DVD disc **12960** by a DVD recorder or may be stored in a hard disc by a hard disc recorder **12950**. As another example, the video signal may be stored in an SD card **12970**. If the hard disc recorder **12950** includes a video decoding apparatus according to one or more exemplary embodiments, a video signal recorded on the DVD disc **12960**, the SD card **12970**, or another storage medium may be reproduced on the TV monitor **12880**.

The automobile navigation system **12930** may not include the camera **12530** of FIG. **24**, and the camera interface **12630** and the image encoder **12720** of FIG. **25**. For example, the computer **12100** and the TV receiver **12810** may not include the camera **12530**, the camera interface **12630**, and the image encoder **12720**.

FIG. **27** is a diagram illustrating a network structure of a cloud computing system using a video encoding apparatus and a video decoding apparatus, according to one or more exemplary embodiments.

The cloud computing system may include a cloud computing server **14000**, a user database (DB) **14100**, a plurality of computing resources **14200**, and a user terminal.

The cloud computing system provides an on-demand outsourcing service of the plurality of computing resources **14200** via a data communication network, e.g., the Internet, in response to a request from the user terminal. Under a cloud computing environment, a service provider provides users with desired services by combining computing resources at

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data centers located at physically different locations by using virtualization technology. A service user does not have to install computing resources, e.g., an application, a storage, an operating system (OS), and security, into his/her own terminal in order to use them, but may select and use desired services from among services in a virtual space generated through the virtualization technology, at a desired point in time.

A user terminal of a specified service user is connected to the cloud computing server **14000** via a data communication network including the Internet and a mobile telecommunication network. User terminals may be provided cloud computing services, and particularly video reproduction services, from the cloud computing server **14000**. The user terminals may be various types of electronic devices capable of being connected to the Internet, e.g., a desktop PC **14300**, a smart TV **14400**, a smart phone **14500**, a notebook computer **14600**, a portable multimedia player (PMP) **14700**, a tablet PC **14800**, and the like.

The cloud computing server **14000** may combine the plurality of computing resources **14200** distributed in a cloud network and provide user terminals with a result of combining. The plurality of computing resources **14200** may include various data services, and may include data uploaded from user terminals. As described above, the cloud computing server **14000** may provide user terminals with desired services by combining video database distributed in different regions according to the virtualization technology.

User information about users who have subscribed for a cloud computing service is stored in the user DB **14100**. The user information may include logging information, addresses, names, and personal credit information of the users. The user information may further include indexes of videos. Here, the indexes may include a list of videos that have already been reproduced, a list of videos that are being reproduced, a pausing point of a video that was being reproduced, and the like.

Information about a video stored in the user DB **14100** may be shared between user devices. For example, when a video service is provided to the notebook computer **14600** in response to a request from the notebook computer **14600**, a reproduction history of the video service is stored in the user DB **14100**. When a request to reproduce this video service is received from the smart phone **14500**, the cloud computing server **14000** searches for and reproduces this video service, based on the user DB **14100**. When the smart phone **14500** receives a video data stream from the cloud computing server **14000**, a process of reproducing video by decoding the video data stream is similar to an operation of the mobile phone **12500** described above with reference to FIG. 24.

The cloud computing server **14000** may refer to a reproduction history of a desired video service, stored in the user DB **14100**. For example, the cloud computing server **14000** receives a request to reproduce a video stored in the user DB **14100**, from a user terminal. If this video was being reproduced, then a method of streaming this video, performed by the cloud computing server **14000**, may vary according to the request from the user terminal, i.e., according to whether the video will be reproduced, starting from a start thereof or a pausing point thereof. For example, if the user terminal requests to reproduce the video, starting from the start thereof, the cloud computing server **14000** transmits streaming data of the video starting from a first frame thereof to the user terminal. If the user terminal requests to reproduce the video, starting from the pausing point thereof, the cloud com-

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puting server **14000** transmits streaming data of the video starting from a frame corresponding to the pausing point, to the user terminal.

In this case, the user terminal may include a video decoding apparatus as described above with reference to FIGS. 1A through 20. As another example, the user terminal may include a video encoding apparatus as described above with reference to FIGS. 1A through 20. Alternatively, the user terminal may include both the video decoding apparatus and the video encoding apparatus as described above with reference to FIGS. 1A through 20.

Various applications of a video encoding method, a video decoding method, a video encoding apparatus, and a video decoding apparatus according to the one or more exemplary embodiments described above with reference to FIGS. 1A through 20 have been described above with reference to FIGS. 21 to 27. However, methods of storing the video encoding method and the video decoding method in a storage medium or methods of implementing the video encoding apparatus and the video decoding apparatus in a device, according to various exemplary embodiments, are not limited to the embodiments described above with reference to FIGS. 21 to 27.

While the one or more exemplary embodiments have been particularly shown and described with reference to exemplary embodiments thereof, it will be understood by one of ordinary skill in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined by the following claims. The exemplary embodiments should be considered in a descriptive sense only and not for purposes of limitation. Therefore, the scope of the invention is defined not by the detailed description of the invention but by the following claims, and all differences within the scope will be construed as being included in the one or more exemplary embodiments.

The invention claimed is:

1. An apparatus for video decoding, the apparatus comprising at least one processor which implements:
 - a parser configured to obtain slice offset information indicating whether to apply an offset according to an offset type for a current slice, and
 - a sample compensator configured to compensate for samples of a current block among blocks included in the current slice, by using an offset parameter of the current block,
 wherein:
 - when the slice offset information indicates that the offset value is applied, the parser is configured to perform entropy decoding on a bitstream using a context mode and obtain left offset merging information of the current block,
 - when the left offset merging information indicates that an offset parameter of the current block is not determined according to an offset parameter of a left block, the parser is configured to perform entropy decoding on the bitstream using the context mode, and obtain upper offset merging information of the current block,
 - when the upper offset merging information indicates that the offset parameter of the current block is not determined according to the offset parameter of an upper block, the parser is configured to obtain the offset parameter of the current block from the bitstream,
 - when the offset parameter comprises at least one of offset type information and offset values,

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the offset type information indicates an offset type or whether to apply an offset to the current block, and the offset type is one of a band offset type and an edge offset type,

the parser is configured to obtain offset type information 5
for luma components of the current block by performing entropy decoding on the bitstream using a second context mode, and obtain offset type information for chroma components of the current block by performing entropy decoding on the bitstream using the second context 10
mode.

2. The apparatus of claim 1, wherein, when the upper offset merging information indicates that the offset parameter of the current block is determined according to the offset parameter of the upper block, the offset parameter of the current block is 15
not obtained from the bitstream.

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